



# **DUALITY OF SEQUENCE SPACES OF INFINITE MATRICES**

**By**

**Mr. Suchat Samphavat**

**A Thesis Submitted in Partial Fulfillment of the Requirements for the Degree**

**Master of Science Program in Mathematics**

**Department of Mathematics**

**Graduate School, Silpakorn University**

**Academic Year 2011**

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ภาวะคู่กันของปริภูมิลำดับของแมทริกซ์อันดับ

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วิทยานิพนธ์นี้เป็นส่วนหนึ่งของการศึกษาตามหลักสูตรปริญญาวิทยาศาสตรมหาบัณฑิต

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ลิขสิทธิ์ของบัณฑิตวิทยาลัย มหาวิทยาลัยศิลปากร

The Graduate School, Silpakorn University has approved and accredited the Thesis title of “Duality of sequence spaces of infinite matrices” submitted by MR.Suchat Samphavat as a partial fulfillment of the requirements for the degree of Master of Science in Mathematics

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In this thesis, we define, for each  $1 \leq r < \infty$ , the set  $\mathfrak{I}^r$  of infinite complex matrices as follows:

$$\mathfrak{I}^r := \left\{ \left[ a_{ji}^{(k)} \right]_{k=1}^{\infty} : \left[ \sum_{k=1}^{\infty} |a_{ji}^{(k)}|^r \right] \in B(l^2) \right\}.$$

We first show as a preliminary that equipped with the norm

$$\left\| \left[ a_{ji}^{(k)} \right]_{k=1}^{\infty} \right\|_r := \left\| \left[ \sum_{k=1}^{\infty} |a_{ji}^{(k)}|^r \right] \right\|^{1/r},$$

the set  $\mathfrak{I}^r$  is a Banach space. The main goal of this research is to decompose the dual  $(\mathfrak{I}^r)^*$  of  $\mathfrak{I}^r$  as an  $l^1$  direct-sum of its two closed subspaces by a way analogous to the classical theorem of Dixmier on decomposing the dual  $B(l^2)^*$  of  $B(l^2)$ .

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ในวิทยานิพนธ์นี้ เรานิยามเซต  $\mathfrak{S}^r$  ของเมทริกซ์อนันต์ของจำนวนเชิงซ้อน สำหรับ  
 $1 \leq r < \infty$  ดังนี้

$$\mathfrak{S}^r := \left\{ \left[ a_{ji}^{(k)} \right]_{k=1}^{\infty} : \left[ \sum_{k=1}^{\infty} |a_{ji}^{(k)}|^r \right] \in B(l^2) \right\}$$

ในขั้นแรก เราได้แสดงว่าเซต  $\mathfrak{S}^r$  พร้อมด้วยนอร์มที่นิยามโดย

$$\left\| \left[ a_{ji}^{(k)} \right]_{k=1}^{\infty} \right\|_r := \left\| \left[ \sum_{k=1}^{\infty} |a_{ji}^{(k)}|^r \right] \right\|^{1/r}$$

เป็นปริภูมิบานาค จุดประสงค์หลักของวิทยานิพนธ์นี้คือการแยกปริภูมิคู่กัน  $(\mathfrak{S}^r)^*$  ของ  $\mathfrak{S}^r$  เป็น  
ส่วน ในรูปของ  $l^1$  ผลบวกตรงของ 2 ปริภูมีย่อยปิดของ  $(\mathfrak{S}^r)^*$  ในทำนองเดียวกันกับทฤษฎีบท  
ของดิคซ์เมียร์ที่ว่าด้วยการแยกปริภูมิคู่กัน  $B(l^2)^*$  ของ  $B(l^2)$

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ภาควิชาคณิตศาสตร์

บัณฑิตวิทยาลัย มหาวิทยาลัยศิลปากร

ลายมือชื่อนักศึกษา.....

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# Chapter 1

## Introduction and Preliminaries

A beautiful decomposition of the dual  $\mathcal{B}(l^2)^*$  of the Banach algebra  $\mathcal{B}(l^2)$  of bounded linear operators on  $l^2$ , under the usual multiplication, was established by Dixmier (see [5] and [6]). He proved that every bounded linear functional  $f$  in  $\mathcal{B}(l^2)^*$  can uniquely be decomposed as the sum  $f = g + h$  of two bounded linear functionals  $h$  and  $g$  on  $\mathcal{B}(l^2)$  such that  $g$  is a *trace* functional defined associated with a trace class operator  $T$  by  $g(S) = \text{trace}(ST)$  for all  $S \in \mathcal{B}(l^2)$ , and  $h$  vanishes on the ideal  $\mathcal{K}(l^2)$  of compact operators on  $l^2$ . The most interesting part of the theorem of Dixmier mentioned above is that the norm of the decomposition  $f = g + h$  of each  $f$  in  $\mathcal{B}(l^2)^*$  is additive, i.e.,  $\|f\| = \|g\| + \|h\|$ . A part of Schatten's theorem (see [12]) states that  $\mathcal{K}(l^2)^* \cong \mathcal{C}^1$ , where  $\mathcal{C}^1$  denotes the class of all trace class operators on  $l^2$ . From the theorem of Schatten, it can easily be deduced that the space of all trace functionals on  $\mathcal{B}(l^2)$  is isometrically isomorphic to the dual  $\mathcal{K}(l^2)^*$  of  $\mathcal{K}(l^2)$ . Thus the theorem of Dixmier mentioned above can be symbolized as  $\mathcal{B}(l^2)^* = \mathcal{K}(l^2)^* \oplus_1 \mathcal{K}(l^2)_s$ , where  $\mathcal{K}(l^2)_s$  denotes the space of all linear functionals on  $\mathcal{B}(l^2)$  vanishing on  $\mathcal{K}(l^2)$ , which are called *singular functionals* on  $\mathcal{K}(l^2)$ , and the notation " $\oplus_1$ " is referred to as the  $l^1$  direct-sum.

Let  $1 \leq p, q, r < \infty$ . An infinite scalar matrix  $A = [a_{jk}]$  is said to *define a linear operator from  $l^p$  into  $l^q$*  if for every  $x = \{x_k\}_{k=1}^\infty$  in  $l^p$  the series  $\sum_{k=1}^\infty a_{jk}x_k$  converges for all  $j$ , and the sequence  $Ax := \left\{ \sum_{k=1}^\infty a_{jk}x_k \right\}_{j=1}^\infty$  is a member of  $l^q$ . If a matrix  $A$  defines a linear operator from  $l^p$  into  $l^q$ , we then call the operator  $x \mapsto Ax$  the *linear operator defined by  $A$* . In this case, it can be shown by the uniform boundedness principle that the linear operator defined by  $A$  is bounded. Let  $\mathcal{M}(l^p, l^q)$  be the set of all infinite matrices which define linear operators from  $l^p$  into  $l^q$ . For each matrix  $A$ , we call  $A$  a *bounded matrix* and define  $\|A\|$  to be the norm of the linear operator defined by  $A$  if  $A \in \mathcal{M}(l^p, l^q)$  and call  $A$  an *unbounded matrix* and define  $\|A\|$  to be  $\infty$  otherwise. It is well-known that  $\mathcal{M}(l^p, l^q)$  is a Banach space under the norm  $\|\cdot\|$ . Indeed, it coincides with the set of matrix representations of all bounded linear operators from  $l^p$  into  $l^q$  with respect to the standard Schauder bases of  $l^p$  and  $l^q$ , which is isometrically isomorphic to the Banach space  $\mathcal{B}(l^p, l^q)$  of all bounded linear operators from  $l^p$  into  $l^q$ . A matrix  $A$  is called a *compact matrix* if the linear operator defined by  $A$  is a compact operator.

For each matrix  $A = [a_{ji}]$  and positive integer  $n$ , let  $A_{n_s} = [b_{ji}]$  be the matrix with  $b_{ji} = a_{ji}$  for all  $1 \leq j, i \leq n$  and  $b_{ji} = 0$  otherwise, and let  $A_{n_r} = [c_{ji}]$  be the matrix with  $c_{ji} = a_{ji}$  for all  $j, i \geq n$  and  $c_{ji} = 0$  otherwise. The following are well-known facts about infinite matrices which are useful for the research.

**Theorem 1.1.**

- (1) If  $[a_{ji}]$  and  $[b_{ji}]$  are scalar matrices such that  $|a_{ji}| \leq b_{ji}$  for all  $j, i$ , then  $\|[a_{ji}]\| \leq \|[b_{ji}]\|$ .
- (2) A matrix  $A$  belongs to  $\mathcal{B}(l^p, l^q)$  if and only if  $\sup_n \|A_{n_s}\| < \infty$ .
- (3) For every matrix  $A$ ,  $\|A_{n_s}\| \nearrow \|A\|$ .
- (4) For each  $A \in \mathcal{B}(l^2)$  and positive integer  $n$ ,  $\|A_{n_s} + A_{n_r}\| = \max\{\|A_{n_s}\|, \|A_{n_r}\|\}$ .
- (5) A matrix  $A$  is compact as an operator on  $l^2$  if and only if  $\|A_{n_s} - A\| \rightarrow 0$ .

The *Schur product* or *Hardamard product* or *entry-wise product* of two scalar matrices  $A = [a_{jk}]$  and  $B = [b_{jk}]$  having the same size is defined by the matrix  $A \bullet B := [a_{jk}b_{jk}]$ . In [13], Schur proved that Banach space  $\mathcal{B}(l^2)$  is a commutative Banach algebra (without identity) under the operator norm and the Schur product multiplication. After that, Bennett extended in [1] the result of Schur referred to above. He showed for each  $1 \leq p, q < \infty$  that the Banach space  $\mathcal{B}(l^p, l^q)$  under the Schur product operation is also a Banach algebra. These beautiful results of Bennett motivated Chaisuriya and Ong [2] to study some classes of infinite matrices over Banach algebras with identity. In [2], for a fixed Banach algebra  $\mathcal{B}$  with identity and  $1 \leq p, q, r < \infty$ , the authors defined the class  $\mathcal{S}_{p,q}^r(\mathcal{B})$  of matrices  $A = [a_{jk}]$  over  $\mathcal{B}$  such that the absolute Schur  $r^{th}$ -power  $A^{[r]} := [\|a_{jk}\|^r]$  defines a linear operator from  $l^p$  into  $l^q$ . And then they proved that it is a Banach algebra under the *absolute Schur  $r$ -norm* defined by

$$\|A\|_{p,q,r} = \|A^{[r]}\|^{1/r}$$

and the Schur product, which is straightforwardly generalized to the setting of matrices over the Banach algebra  $\mathcal{B}$  by using the multiplication in  $\mathcal{B}$ . The authors also provided a beautiful relationship, which follows from the results of Schur and Bennett mentioned above, between the algebra  $\mathcal{B}(l^p, l^q)$  of all bounded operators from  $l^p$  into  $l^q$  and the algebra  $\mathcal{S}_{p,q}^r(\mathbb{C})$ . They found that  $\mathcal{B}(l^p, l^q)$  is contained in  $\mathcal{S}_{p,q}^r(\mathbb{C})$  as a non-closed ideal for all  $r \geq 2$ .

In [8], Livshits, Ong and Wang studied the duality of the absolute Schur algebras  $\mathcal{S}_{2,2}^r(\mathbb{C})$  by a way analogous to Dixmier's theorem and Schatten's theorem mentioned in the first paragraph. The authors defined the class  $\mathcal{K}^r$  of infinite matrices  $A$  such that  $A^{[r]}$  is compact as an operator on  $l^2$  for playing the role as the class  $\mathcal{K}(l^2)$  of all compact operators on  $l^2$ . They also constructed a class  $\mathcal{M}^r$  of infinite matrices for playing the role as the class  $\mathcal{C}^1$  of all trace class operators, which is known as the dual of  $\mathcal{K}(l^2)$ . They obtained that  $(\mathcal{K}^r)^* \cong \mathcal{M}^r$  and that each bounded

linear functional  $\varphi$  on  $\mathcal{S}_{2,2}^r(\mathbb{C})$  can uniquely be decomposed as the sum  $\varphi = \rho + \psi$ , where  $\rho$  is determined by a unique matrix in  $\mathcal{M}^r$  under a certain way and  $\psi$  is a singular functional on  $\mathcal{K}^r$ . Furthermore, the decomposition  $\varphi = \rho + \psi$  satisfies  $\|\varphi\| = \|\rho\| + \|\psi\|$ . Schatten's theorem also states that the trace class operators form a predual of  $\mathcal{B}(l^2)$ . An analogue of this result on the setting of Livshits, Ong and Wang:  $(\mathcal{M}^r)^* \cong \mathcal{S}_{2,2}^r(\mathbb{C})$ , was also obtained.

From the beautiful result of Chaisuriya and Ong that the absolute Schur algebra  $\mathcal{S}_{2,2}^2(\mathbb{C})$  contains  $\mathcal{B}(l^2)$  as a non-closed ideal, Rakbud and Ong defined three sequence spaces of matrices from  $\mathcal{S}_{2,2}^2(\mathbb{C})$  in [11] as follows:

$$\mathcal{O}_b = \left\{ \{A_k\}_{k=1}^\infty \subseteq \mathcal{S}_{2,2}^2(\mathbb{C}) : \text{the sequence } \left\{ \sum_{k=1}^n A_k^{[2]} \right\}_{n=1}^\infty \text{ is bounded in } \mathcal{B}(l^2) \right\},$$

$$\mathcal{O}_c = \left\{ \{A_k\}_{k=1}^\infty \subseteq \mathcal{S}_{2,2}^2(\mathbb{C}) : \text{the sequence } \left\{ \sum_{k=1}^n A_k^{[2]} \right\}_{n=1}^\infty \text{ converges in } \mathcal{B}(l^2) \right\},$$

and

$$\mathcal{O}_\kappa = \left\{ \left\{ [a_{ji}^{(k)}] \right\}_{k=1}^\infty \subseteq \mathcal{S}_{2,2}^2(\mathbb{C}) : \text{the matrix } \left[ \sum_{k=1}^\infty |a_{ji}^{(k)}|^2 \right] \in \mathcal{K}(l^2) \right\}.$$

The authors obtained the inclusion relation among these three spaces as follows:  $\mathcal{O}_\kappa \subsetneq \mathcal{O}_c \subsetneq \mathcal{O}_b$ . They defined naturally a norm on these three spaces by

$$\|\{A_k\}_{k=1}^\infty\| = \left( \sup_n \left\| \sum_{k=1}^n A_k^{[2]} \right\| \right)^{1/2}$$

and showed that all three sequence spaces equipped with this norm are Banach spaces. It was observed that because of the non-closedness of  $\mathcal{B}(l^2)$  in  $\mathcal{S}_{2,2}^2(\mathbb{C})$ , the restrictions of these sequence spaces to  $\mathcal{B}(l^2)$  are all not complete. The study on this paper was mainly focused on the sequence spaces  $\mathcal{O}_c$  and  $\mathcal{O}_\kappa$ . The authors studied sequential convergence in these two sequence spaces and duality and preduality of  $\mathcal{O}_\kappa$ .

From the idea of Rakbud and Ong referred to above, we obtain a way analogous to the classical sequence spaces  $l^p$  to define sequence spaces of infinite matrices as follows. Let  $\mathcal{M}_\infty$  be the vector space of all infinite complex matrices. For each  $1 \leq r < \infty$ , let

$$\mathcal{L}^r = \left\{ \left\{ [a_{ji}^{(k)}] \right\}_{k=1}^\infty \subseteq \mathcal{M}_\infty : \left[ \sum_{k=1}^\infty |a_{ji}^{(k)}|^r \right] \in \mathcal{B}(l^2) \right\}.$$

In this research, we study some elementary properties and provide some results on duality of the sequence spaces  $\mathcal{L}^r$ . The main goal is to establish a decomposition theorem for the dual space  $(\mathcal{L}^r)^*$  of  $\mathcal{L}^r$  by a way analogous to the theorem of Dixmier mentioned in the first paragraph.

# Chapter 2

## Theoretical Background

In this chapter, we provide some theoretical background which is necessary for the research.

Throughout this thesis, we let  $\mathbb{C}$  and  $\mathbb{R}$  denote the sets of all complex numbers and real numbers respectively.

### 2.1 Banach Spaces

**Definition 2.1.1.** [9] Let  $X$  be a vector space over a scalar field  $\mathbb{K}$  ( $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$ ). A *norm* on  $X$  is a real-valued function  $\|\cdot\|$  on  $X$  satisfying the following properties:

- (i)  $\|x\| \geq 0$ ;
- (ii)  $\|x\| = 0$  if and only if  $x = 0$ ;
- (iii)  $\|\alpha x\| = |\alpha| \|x\|$ ;
- (iv)  $\|x + y\| \leq \|x\| + \|y\|$  (*Triangle inequality*),

where  $x$  and  $y$  are arbitrary vectors in  $X$  and  $\alpha$  is any scalar in  $\mathbb{K}$ . A *normed space* is a pair  $(X, \|\cdot\|)$  of a non-empty set  $X$  and a norm  $\|\cdot\|$  on  $X$ . It may be sometimes written just  $X$  as a normed space by omitting the norm on  $X$ .

**Definition 2.1.2.** [9] A sequence  $\{x_n\}_{n=1}^{\infty}$  in a normed space  $X$  is said to *converge* or to be *convergent* if there is a point  $x$  in  $X$  satisfying the following property: for any  $\epsilon > 0$ , there is a positive integer  $N$  such that

$$\|x - x_n\| < \epsilon \quad \text{for all } n \geq N.$$

In this situation, we write  $\lim_{n \rightarrow \infty} x_n = x$ , or simply  $x_n \rightarrow x$  and call  $x$  the *limit* of  $\{x_n\}_{n=1}^{\infty}$ .

**Definition 2.1.3.** [9] A sequence  $\{x_n\}_{n=1}^{\infty}$  in a normed space  $X$  is said to be *bounded* if there is a positive real number  $c$  such that  $\|x_n\| \leq c$  for all positive integer  $n$ .

**Definition 2.1.4.** [9] A sequence  $\{x_n\}_{n=1}^{\infty}$  in a normed space  $X$  is said to be a *Cauchy sequence* in  $X$  if for any  $\epsilon > 0$ , there is a positive integer  $N$  such that

$$\|x_m - x_n\| < \epsilon$$

for all  $m, n \geq N$ . A normed space  $X$  is said to be a *Banach space* if it is *complete* under the metric  $d$  defined by  $d(x, y) = \|x - y\|$ , that is, every Cauchy sequence converges to an element in  $X$ .

**Definition 2.1.5.** [9] Let  $X$  and  $Y$  be vector spaces over the same scalar field. A function  $T : X \rightarrow Y$  is said to be a *linear operator* or *linear function* or *linear transformation* if

$$T(\alpha x_1 + \beta x_2) = \alpha T x_1 + \beta T x_2$$

for every  $x_1, x_2 \in X$  and any scalars  $\alpha$  and  $\beta$ .

**Definition 2.1.6.** [9] Let  $X$  and  $Y$  be normed spaces over the same scalar field. A linear operator  $T : X \rightarrow Y$  is said to be *bounded* if  $T(B)$  is bounded for all bounded subsets  $B$  of  $X$ .

**Definition 2.1.7.** Let  $T$  be a linear operator from a normed space  $X$  into a normed space  $Y$ . Then the range of  $T$  is denoted by  $\text{ran } T$ . We call the set  $\{x \in X : Tx = 0\}$  the *kernel* of  $T$  and denote by  $\ker T$ .

**Theorem 2.1.8.** [9] Let  $T : X \rightarrow Y$  be a linear operator from a normed space  $X$  into a normed space  $Y$ . Then the following are equivalent.

- (1)  $T$  is bounded.
- (2)  $T$  is continuous.
- (3) There is a constant  $M > 0$  such that  $\|Tx\| \leq M \|x\|$  for all  $x \in X$ .

Let  $\mathcal{B}(X, Y)$  be the set of all bounded linear operators from a normed space  $X$  into a normed space  $Y$ . We denote  $\mathcal{B}(X, X)$  by just  $\mathcal{B}(X)$ .

**Definition 2.1.9.** [9] Let  $X$  and  $Y$  be normed spaces. For each  $T$  in  $\mathcal{B}(X, Y)$ , the *norm* or *operator norm*  $\|T\|$  of  $T$  is the nonnegative real number  $\sup\{\|Tx\| : x \in X, \|x\| \leq 1\}$ . The operator norm on  $\mathcal{B}(X, Y)$  is the map  $T \mapsto \|T\|$ .

From Theorem 2.1.8, the following corollary is immediately obtained.

**Corollary 2.1.10.** [9] If  $T$  is a bounded linear operator from a normed space  $X$  into a normed space  $Y$ , then  $\|Tx\| \leq \|T\| \|x\|$  for all  $x$  in  $X$ . Furthermore, the number  $\|T\|$  is the smallest nonnegative real number  $M$  such that  $\|Tx\| \leq M \|x\|$  for all  $x \in X$ .

**Definition 2.1.11.** [9] Let  $T$  be a linear operator from a normed space  $X$  onto a normed space  $Y$ . The operator  $T$  is an *isometric isomorphism* if  $\|T(x)\| = \|x\|$  whenever  $x \in X$ .

Notice that the condition  $\|T(x)\| = \|x\|$  for all  $x \in X$  implies  $T$  is an one-to-one function.

**Theorem 2.1.12.** [9] If  $X$  is a normed space and  $Y$  is a Banach space, then the set  $\mathcal{B}(X, Y)$  equipped with the operator norm is a Banach space.

**Theorem 2.1.13.** [9] (The Uniform Boundedness Principle) Let  $\mathcal{F}$  be a nonempty family of bounded linear operators from a Banach space  $X$  into a normed space  $Y$ . If  $\sup \{\|Tx\| : T \in \mathcal{F}\}$  is finite for each  $x$  in  $X$ , then  $\sup\{\|T\| : T \in \mathcal{F}\}$  is finite.

**Definition 2.1.14.** [9] A normed space  $X$  is said to be the *direct sum* of its two subspaces  $Y$  and  $Z$ , written by  $X = Y \oplus Z$ , if each  $x \in X$  has a unique representation of the form  $x = y + z$ , where  $y \in Y$  and  $z \in Z$ . If, in addition, the condition  $\|x\| = \|y\| + \|z\|$  is satisfied for all  $x \in X$ , we say specifically that  $X$  is the  $l^1$  *direct-sum* of  $Y$  and  $Z$  and write  $X = Y \oplus_1 Z$  in this situation.

**Theorem 2.1.15.** [9] Let  $X$  be a normed space and  $Y$  and  $Z$  be subspaces of  $X$ . Then  $X = Y \oplus Z$  if and only if for  $X \cap Y = \{0\}$  and for every  $x$  in  $X$ , there are  $y \in Y$  and  $z \in Z$  such that  $x = y + z$ .

**Definition 2.1.16.** [9] A *linear functional*  $f$  is a linear operator from a normed space  $X$  into the scalar field  $\mathbb{K}$  of  $X$ , where  $\mathbb{K}$  is regarded as a normed space under the usual norm on  $\mathbb{K}$ .

If  $X$  is a normed space, then the set of all bounded linear functionals on  $X$  is denoted by  $X^*$ . By Theorem 2.1.11, the normed space  $X^*$  is immediately a Banach space.

**Theorem 2.1.17.** [9] (Hahn-Banach extension theorem) Let  $X$  be a Banach space and  $Y$  a closed subspace of  $X$ . If  $f_0$  is a bounded linear functional on  $Y$ , then there is a unique bounded linear functional  $f$  on  $X$  such that  $f(x) = f_0(x)$  for all  $x \in Y$  and  $\|f\| = \|f_0\|$ .

**Definition 2.1.18.** [9] Let  $X$  be a normed space and  $Y$  a subspace of  $X$ . The *annihilator* of  $Y$ , denoted by  $Y^\perp$ , is the set  $\{f \in X^* : f(x) = 0 \text{ for all } x \in Y\}$ .

**Theorem 2.1.19.** [9] If  $X$  is a normed space and  $Y$  is a subspace of  $X$ , then  $Y^\perp$  is a closed subspace of  $X^*$ .

**Definition 2.1.20.** [9] Let  $X$  and  $Y$  be Banach spaces. A linear operator  $T : X \rightarrow Y$  is *compact* if  $\overline{T(B)}$  is compact for all bounded subset  $B$  of  $X$ . The set of all compact operators from  $X$  into  $Y$  will be denoted by  $\mathcal{K}(X, Y)$ . For the case where  $X = Y$ , we write  $\mathcal{K}(X)$  instead of  $\mathcal{K}(X, Y)$ .

**Proposition 2.1.21.** [9] Let  $X$  and  $Y$  be Banach spaces. Then the following hold.

- (1)  $\mathcal{K}(X, Y) \subseteq \mathcal{B}(X, Y)$ .
- (2)  $\mathcal{K}(X, Y)$  is a closed subspace of  $\mathcal{B}(X, Y)$ .
- (3) If  $X = Y$ , then  $\mathcal{K}(X)$  is an ideal of  $\mathcal{B}(X)$ .

**Definition 2.1.22.** [9] A linear operator  $T$  from a Banach space  $X$  into a Banach space  $Y$  is said to be of *finite rank* if  $T(X)$  is finite dimensional.

**Theorem 2.1.23.** [9] A finite rank operator from a Banach space  $X$  into a Banach space  $Y$  is bounded if and only if it is compact.

## 2.2 $l^p$ Spaces

**Definition 2.2.1.** [9] For  $1 \leq p \leq \infty$  and a sequence  $\{\lambda_k\}_{k=1}^{\infty}$  of complex numbers, the  $p$ -norm of  $\{\lambda_k\}_{k=1}^{\infty}$  is defined by

$$\|\{\lambda_k\}_{k=1}^{\infty}\|_p = \begin{cases} \left( \sum_{k=1}^{\infty} |\lambda_k|^p \right)^{1/p} & \text{if } 1 \leq p < \infty, \\ \sup\{|\lambda_k| : k = 1, 2, 3, \dots\} & \text{if } p = \infty. \end{cases}$$

For each  $1 \leq p < \infty$ , let

$$l^p = \left\{ \{\lambda_k\}_{k=1}^{\infty} \subseteq \mathbb{C} : \sum_{k=1}^{\infty} |\lambda_k|^p < \infty \right\}$$

and

$$l^{\infty} = \{ \{\lambda_k\}_{k=1}^{\infty} \subseteq \mathbb{C} : \sup\{|\lambda_k| : k = 1, 2, 3, \dots\} < \infty \}.$$

**Theorem 2.2.2.** [9] (Hölder's inequality) For any  $1 \leq p \leq \infty$  with  $\frac{1}{p} + \frac{1}{q} = 1$  and sequences  $\mathbf{x}$  and  $\mathbf{y}$  of complex numbers,  $\|\mathbf{xy}\|_1 \leq \|\mathbf{x}\|_p \|\mathbf{y}\|_q$ .

In particular, Hölder's inequality is also called Cauchy-Schwartz's inequality when  $p = q = 2$ . From Hölder's inequality, the following Minkowski's inequality is obtained.

**Theorem 2.2.3.** [9] (Minkowski's inequality) *For any  $1 \leq p \leq \infty$  and sequences  $\mathbf{x}$  and  $\mathbf{y}$  of complex numbers,  $\|\mathbf{x} + \mathbf{y}\|_p \leq \|\mathbf{x}\|_p + \|\mathbf{y}\|_p$ .*

**Theorem 2.2.4.** [9] *For any  $1 \leq p \leq \infty$ , the set  $l^p$  endowed with the  $p$ -norm  $\|\cdot\|_p$  is a Banach space.*

For  $1 \leq p < \infty$  with  $\frac{1}{p} + \frac{1}{q} = 1$ , we define, for each  $\mathbf{x} = \{x_k\}_{k=1}^\infty \in l^q$ , the function  $f_{\mathbf{x}} : l^p \rightarrow \mathbb{C}$  by

$$f_{\mathbf{x}}(\{y_k\}_{k=1}^\infty) = \sum_{k=1}^{\infty} x_k y_k \text{ for all } \{y_k\}_{k=1}^\infty \in l^p.$$

By Hölder's inequality, we have that the function  $f_{\mathbf{x}}$  is well-defined.

**Theorem 2.2.5.** [9] *Let  $1 \leq p < \infty$  with  $\frac{1}{p} + \frac{1}{q} = 1$ . Then  $l^q$  is isometrically isomorphic to  $(l^p)^*$  by the isomorphism defined by  $\mathbf{x} \mapsto f_{\mathbf{x}}$ .*

The following result is closely related to the duality theorem stated above.

**Theorem 2.2.6.** [9] *Let  $1 \leq p < \infty$  with  $\frac{1}{p} + \frac{1}{q} = 1$ . Then a sequence  $\{x_k\}_{k=1}^\infty$  of complex numbers belongs to  $l^q$  if and only if  $\{x_k y_k\}_{k=1}^\infty$  belongs to  $l^1$  for all  $\{y_k\}_{k=1}^\infty$  in  $l^p$ .*

## 2.3 Hilbert Spaces

**Definition 2.3.1.** [4] Let  $\mathcal{H}$  be a vector space over a scalar  $\mathbb{K}$  ( $\mathbb{K}$  is either  $\mathbb{R}$  or  $\mathbb{C}$ ), a *semi-inner product* on  $\mathcal{H}$  is a function  $\langle \cdot, \cdot \rangle : \mathcal{H} \times \mathcal{H} \rightarrow \mathbb{K}$  having the following properties:

- (i)  $\langle \alpha x + \beta y, z \rangle = \alpha \langle x, z \rangle + \beta \langle y, z \rangle$ ;
- (ii)  $\langle x, x \rangle \geq 0$ ;
- (iii)  $\langle x, y \rangle = \overline{\langle y, x \rangle}$ .

If  $\langle \cdot, \cdot \rangle$  has the following additional property:

- (iv) if  $\langle x, x \rangle = 0$ , then  $x = 0$ ,

we call  $\langle \cdot, \cdot \rangle$  an *inner product* on  $\mathcal{H}$ .

From (i), we have  $\langle 0, y \rangle = \langle 0x, y \rangle = 0 \langle x, y \rangle = 0$ , and similarly,  $\langle x, 0 \rangle = 0$ . In particular,  $\langle 0, 0 \rangle = 0$ . Hence if  $\langle \cdot, \cdot \rangle$  is an inner-product, then  $\langle x, x \rangle = 0$  if and only if  $x = 0$ . If  $\langle \cdot, \cdot \rangle$  is an inner-product on  $\mathcal{H}$ , then

$$\|x\| = \langle x, x \rangle^{1/2}$$



defines a norm on  $\mathcal{H}$ . We call a vector space  $\mathcal{H}$  equipped with an inner product on  $\mathcal{H}$  an *inner product space*. Every inner product space is a normed space under the norm defined by  $\|x\| = \langle x, x \rangle^{1/2}$ . If  $\mathcal{H}$  equipped with the norm  $\|\cdot\|$  is a Banach space, we call  $\mathcal{H}$  a *Hilbert space*.

Let, in the sequel,  $\mathcal{H}$  and  $\mathcal{L}$  be Hilbert spaces.

**Definition 2.3.2.** [4] If  $f, g \in \mathcal{H}$ , then  $f$  and  $g$  are *orthogonal* if  $\langle f, g \rangle = 0$ , in symbols,  $f \perp g$ . If  $A, B \subseteq \mathcal{H}$ , we say that  $A$  and  $B$  are *orthogonal* and write  $A \perp B$  provided  $f \perp g$  for every  $f$  in  $A$  and  $g$  in  $B$ . If  $A \subseteq \mathcal{H}$  and  $f \in \mathcal{H}$  satisfying  $\{f\} \perp A$ , then we write  $f \perp A$ . If  $A \subseteq \mathcal{H}$ , then the set  $A^\perp$  is defined by  $A^\perp = \{h \in \mathcal{H} : h \perp g \text{ for all } g \in A\}$ .

**Definition 2.3.3.** [4] An *orthonormal set* in  $\mathcal{H}$  is a subset  $\mathcal{E}$  of  $\mathcal{H}$  having the following properties:

- (i) for  $e \in \mathcal{E}$ ,  $\|e\| = 1$ ;
- (ii) if  $e_1, e_2 \in \mathcal{E}$  and  $e_1 \neq e_2$ , then  $e_1 \perp e_2$ .

An *orthonormal basis* for  $\mathcal{H}$  is a maximal orthonormal set.

**Proposition 2.3.4.** [4] If  $\mathcal{E}$  is an orthonormal set in  $\mathcal{H}$ , then there is an orthonormal basis for  $\mathcal{H}$  that contains  $\mathcal{E}$ .

**Theorem 2.3.5.** [4] If  $\mathcal{E}$  is an orthonormal set in  $\mathcal{H}$  and  $h \in \mathcal{H}$ , then  $\{e \in \mathcal{E} : \langle h, e \rangle \neq 0\}$  is countable.

**Theorem 2.3.6.** [4] Let  $\mathcal{E}$  be an orthonormal set in  $\mathcal{H}$ . Then the following statements are equivalent.

- (1)  $\mathcal{E}$  is an orthonormal basis.
- (2) If  $h \in \mathcal{H}$  and  $h \perp \mathcal{E}$ , then  $h = 0$ .
- (3)  $\bigvee \mathcal{E} = \mathcal{H}$ , where  $\bigvee \mathcal{E}$  is the smallest closed subspace of  $\mathcal{H}$  containing  $\mathcal{E}$ .
- (4)  $h = \sum \{\langle h, e \rangle e : e \in \mathcal{E}\}$  for all  $h \in \mathcal{H}$ , where  $\sum \{\langle h, e \rangle e : e \in \mathcal{E}\}$  denotes the limit of the net  $\left\{ \sum_{e \in F} \langle h, e \rangle e : F \text{ is a finite subset of } \mathcal{E} \right\}$ .

**Theorem 2.3.7.** Any two orthonormal bases of  $\mathcal{H}$  have the same cardinality.

**Definition 2.3.8.** [4] The *dimension* of  $\mathcal{H}$  is the cardinality of an orthonormal basis and is denoted by  $\dim \mathcal{H}$ .

**Definition 2.3.9.** [4] A subset  $D$  of  $\mathcal{H}$  is said to be *dense* in  $\mathcal{H}$  if  $\overline{D} = \mathcal{H}$ .  $\mathcal{H}$  is said to be *separable* if it has a countable subset which is dense in  $\mathcal{H}$ .

**Theorem 2.3.10.** [4] Let  $\mathcal{H}$  be an infinite dimensional Hilbert space. Then  $\mathcal{H}$  is separable if and only if  $\dim \mathcal{H} = \aleph_0$ , where  $\aleph_0$  is the cardinality of the set of all positive integers.

**Definition 2.3.11.** [4] A function  $u : \mathcal{H} \times \mathcal{L} \rightarrow \mathbb{K}$  is a *sesquilinear form* if for  $h, g$  in  $\mathcal{H}$ ,  $k, f$  in  $\mathcal{L}$ , and  $\alpha, \beta$  in  $\mathbb{K}$ ,

$$(i) \quad u(\alpha h + \beta g, k) = \alpha u(h, k) + \beta u(g, k);$$

$$(ii) \quad u(h, \alpha k + \beta f) = \overline{\alpha} u(h, k) + \overline{\beta} u(h, f).$$

**Definition 2.3.12.** [4] A sesquilinear form  $u$  is *bounded* if there is a constant  $M$  such that  $|u(h, k)| \leq M \|h\| \|k\|$  for all  $h$  in  $\mathcal{H}$  and  $k$  in  $\mathcal{L}$ . The constant  $M$  is called a *bound* for  $u$ .

**Theorem 2.3.13.** [4] If  $u : \mathcal{H} \times \mathcal{L} \rightarrow \mathbb{K}$  is a bounded sesquilinear form with a bound  $M$ , then there are unique operators  $A$  in  $\mathcal{B}(\mathcal{H}, \mathcal{L})$  and  $B$  in  $\mathcal{B}(\mathcal{L}, \mathcal{H})$  such that

$$u(h, k) = \langle Ah, k \rangle = \langle h, Bk \rangle$$

for all  $h$  in  $\mathcal{H}$  and  $k$  in  $\mathcal{L}$  and both  $\|A\|$  and  $\|B\|$  are not greater than  $M$ .

**Definition 2.3.14.** [4] If  $A \in \mathcal{B}(\mathcal{H}, \mathcal{L})$ , then the unique operator  $B$  in  $\mathcal{B}(\mathcal{L}, \mathcal{H})$  satisfying  $\langle Ah, k \rangle = \langle h, Bk \rangle$  is called the *adjoint* of  $A$  and is denoted by  $A^*$ .

**Proposition 2.3.15.** [4] If  $A, B \in \mathcal{B}(\mathcal{H})$ , where  $\mathcal{B}(\mathcal{H}) = \mathcal{B}(\mathcal{H}, \mathcal{H})$  and  $\alpha \in \mathbb{K}$ , then the following hold.

$$(1) \quad (\alpha A + B)^* = \overline{\alpha} A^* + B^*.$$

$$(2) \quad (AB)^* = B^* A^*.$$

$$(3) \quad A^{**} = (A^*)^* = A.$$

$$(4) \quad \text{If } A \text{ is invertible in } \mathcal{B}(\mathcal{H}), \text{ then } (A^*)^{-1} = (A^{-1})^*.$$

**Theorem 2.3.16.** [4] Let  $\mathcal{H}$  be an infinite dimensional separable Hilbert space with an orthonormal basis  $\{e_n\}$ . If  $T \in \mathcal{B}(\mathcal{H})$  with the matrix representation  $A = [a_{ji}]$  with respect to the basis  $\{e_n\}$ , then the matrix representation of  $T^*$  with respect to  $\{e_n\}$  is the matrix  $[\overline{a_{ji}}]^t$ .

**Definition 2.3.17.** [4] A bounded linear operator  $A$  on a Hilbert space  $\mathcal{H}$  is said to be *self-adjoint* if  $A = A^*$ .

**Theorem 2.3.18.** [4] If  $T \in \mathcal{B}(\mathcal{H}, \mathcal{L})$ , the following statements are equivalent.

- (1)  $T$  is compact.
- (2)  $T^*$  is compact.
- (3) There is a sequence  $\{T_n\}$  of operators of finite rank such that  $\|T - T_n\| \rightarrow 0$ .

**Definition 2.3.19.** [4] If  $A \in \mathcal{B}(\mathcal{H})$ , a scalar  $\alpha$  is an *eigenvalue* of  $A$  if  $\ker(A - \alpha I) \neq \{0\}$ .

**Definition 2.3.20.** [4] If  $T \in \mathcal{B}(\mathcal{H})$ , then  $T$  is *positive* if  $\langle Th, h \rangle \geq 0$  for all  $h \in \mathcal{H}$ .

In symbols, this is denoted by  $T \geq 0$ . Note that every positive operator on a complex Hilbert space is self-adjoint.

**Theorem 2.3.21.** [4] If  $T$  is a positive compact operator on a Hilbert space  $\mathcal{H}$ , then there is a unique positive compact operator  $A$  such that  $A^2 = T$ .

**Definition 2.3.22.** [4] If  $T$  is a positive compact operator on a Hilbert space  $\mathcal{H}$ , then the unique positive compact operator  $A$  such that  $A^2 = T$  according to Theorem 2.3.21 is called the *positive square root* of  $T$  and denoted by  $|T|$ .

**Definition 2.3.23.** [4] A *partial isometry* is a linear operator  $W$  such that  $\|Wh\| = \|h\|$  for all  $h \in (\ker W)^\perp$ . The space  $(\ker W)^\perp$  is called the *initial space* of  $W$  and the space  $\text{ran } W$  is called the *final space* of  $W$ .

**Theorem 2.3.24.** [4] (Polar Decomposition) If  $T \in \mathcal{B}(\mathcal{H})$ , then there is a partial isometry  $W$  with  $(\ker T)^\perp$  as its initial space and  $\overline{\text{ran } T}$  as its final space such that  $T = W|T|$ . Moreover, if  $T = UP$  where  $P \geq 0$  and  $U$  is a partial isometry with  $\ker U = \ker P$ , then  $P = |T|$  and  $U = W$ .

**Theorem 2.3.25.** [4] (Spectral Theorem) If  $T$  is a compact self-adjoint operator on  $\mathcal{H}$ , then  $T$  has only a countable number of distinct eigenvalues. If  $\{\lambda_1, \lambda_2, \dots\}$  are the distinct nonzero eigenvalue of  $T$  with  $|\lambda_1| \geq |\lambda_2| \geq |\lambda_3| \geq \dots$ , and  $P_n$  is the projection of  $\mathcal{H}$  onto  $\ker(T - \lambda_n)$ , then  $P_n P_m = P_m P_n = 0$  if  $n \neq m$ , each  $\lambda_n$  is an real, and

$$T = \sum_{n=1}^{\infty} \lambda_n P_n,$$

where the series converges to  $T$  in the metric defined by the norm of  $\mathcal{B}(\mathcal{H})$ .

**Corollary 2.3.26.** [4] *With the notation of Spectral Theorem. One has the following.*

- (1)  $\ker T = \overline{\text{span}(\bigcup_{n=1}^{\infty} P_n \mathcal{H})} = (\text{ran } T)^\perp$ ;
- (2) *each  $P_n$  has finite rank*;
- (3)  $\|T\| = \sup\{|\lambda_n| : n \geq 1\}$  and  $\lambda_n \rightarrow 0$  as  $n \rightarrow \infty$ .

Let  $T$  be a compact self-adjoint operator on  $\mathcal{H}$ . By Spectral Theorem,  $T$  has precisely finite or countable number of distinct eigenvalues. Let  $\{\lambda_n\}_{n=1}^{\infty}$  be the sequence of eigenvalues of  $T$  with  $|\lambda_1| \geq |\lambda_2| \geq |\lambda_3| \geq \dots$ . For each  $n$ , let  $N_n$  be the dimension of  $\ker(T - \lambda_n)$ , and let  $\{\mu_n\}_{n=1}^{\infty} = \{\underbrace{\lambda_1, \dots, \lambda_1}_{N_1}, \underbrace{\lambda_2, \dots, \lambda_2}_{N_2}, \dots\}$ . If  $T$  has only  $k$  eigenvalues, then we let  $\mu_n = 0$  for all  $n > N_1 + N_2 + \dots + N_k$ .

**Corollary 2.3.27.** [4] *If  $T$  is a compact self-adjoint operator on  $\mathcal{H}$ , then there is an orthonormal basis  $\{e_n\}$  for  $(\ker T)^\perp$  such that*

$$Th = \sum_{n=1}^{\infty} \mu_n \langle h, e_n \rangle e_n$$

for all  $h \in \mathcal{H}$ .

## 2.4 Schatten $p$ -Classes

Let  $\mathcal{H}$  be an infinite dimensional separable Hilbert space and  $K$  a compact operator on  $\mathcal{H}$ . Since  $0 \leq \|Kh\|^2 = \langle Kh, Kh \rangle = \langle K^*Kh, h \rangle$  for all  $h \in \mathcal{H}$ , it follows that  $K^*K$  is a positive compact operator. Whence, by Theorem 2.3.21, there is a unique positive compact operator  $|K|$  such that  $|K|^2 = K^*K$ . Since  $|K|$  is positive,  $|K|$  is self-adjoint. Thus, by Corollary 2.3.27, we have  $|K|h = \sum_{n=1}^{\infty} \mu_n \langle h, e_n \rangle e_n$  for all  $h \in \mathcal{H}$ , where  $\{\mu_n\}_{n=1}^{\infty}$  is the sequence of eigenvalues of  $|K|$  and  $\{e_n\}_{n=1}^{\infty}$  is an orthonormal basis for  $(\ker |K|)^\perp$ . Notice that  $\ker K = \ker |K|$  due to the fact that  $\|Kh\|^2 = \langle Kh, Kh \rangle = \langle h, K^*Kh \rangle = \langle h, |K|^2h \rangle = \langle |K|h, |K|h \rangle = \| |K|h \|^2$  for all  $h \in \mathcal{H}$ . We call the sequence  $\{\mu_n\}_{n=1}^{\infty}$  the sequence of singular values of the compact operator  $K$  and denote  $\mu_n$  by  $s_n(K)$  for all  $n$ . By Corollary 2.3.26, we have  $\|K\| = s_1(K) \geq s_2(K) \geq \dots \geq 0$  and  $\lim_{n \rightarrow \infty} s_n(K) = 0$ .

For  $1 \leq p \leq \infty$ , let

$$\mathcal{C}^p = \{K \in \mathcal{K}(\mathcal{H}) : \{s_k(K)\}_{k=1}^{\infty} \in l^p\}.$$

The set  $\mathcal{C}^p$  is called the *Schatten  $p$ -class*. We define, for  $1 \leq p < \infty$ , the norm  $\|\cdot\|_p$  on  $\mathcal{C}^p$  by

$$\|K\|_p = \left( \sum_{n=1}^{\infty} s_n(K)^p \right)^{1/p}.$$

For  $p = \infty$ , we define  $\|K\|_\infty = \sup_n s_n(K)$ . It is obvious that for any compact operator  $K$  on  $\mathcal{H}$ ,  $\|K\|_\infty = s_1(K) = \|K\|$ . Thus  $\mathcal{C}^\infty = \mathcal{K}(\mathcal{H})$ .

**Theorem 2.4.1.** [5] *If  $K \in \mathcal{C}^1$ , then for each orthonormal basis  $\{e_n\}$  of  $\mathcal{H}$  the sum  $\sum_{n=1}^{\infty} \langle K e_n, e_n \rangle$  is absolutely convergent and*

$$\sum_{n=1}^{\infty} \langle K e_n, e_n \rangle = \sum_{n=1}^{\infty} s_n(K) \langle U e_n, e_n \rangle,$$

where  $U$  is the unique partial isometry such that  $K = U|K|$ .

**Definition 2.4.2.** [5] For each  $K \in \mathcal{C}^1$ , the number

$$\sum_{n=1}^{\infty} \langle K e_n, e_n \rangle,$$

where  $\{e_n\}$  is an orthonormal basis of  $\mathcal{H}$ , is called the *trace* of  $K$ .

**Remark 2.4.3.** [5] *If  $\{e_n\}$  is an ordered orthonormal basis of  $\mathcal{H}$  and  $K \in \mathcal{C}^1$  with the matrix representation  $A$  with respect to  $\{e_n\}$ , then the trace of  $K$  is exactly the sum of all entries in the main diagonal of  $A$ .*

**Theorem 2.4.4.** [5] *For each  $1 < p \leq \infty$  with  $\frac{1}{p} + \frac{1}{q} = 1$ ,  $(\mathcal{C}^p)^* \cong \mathcal{C}^q$ .*

**Theorem 2.4.5.** [5]  $(\mathcal{C}^1)^* \cong \mathcal{B}(\mathcal{H})$ .

# Chapter 3

## Duality of Sequence Spaces of Infinite Matrices

### 3.1 Basic Results

Recall that, for any  $1 \leq r < \infty$ , the set  $\mathcal{L}^r$  of sequences of infinite matrices is defined by

$$\mathcal{L}^r = \left\{ \left\{ \left[ a_{ji}^{(k)} \right] \right\}_{k=1}^{\infty} \subseteq \mathcal{M}_{\infty} : \left[ \sum_{k=1}^{\infty} \left| a_{ji}^{(k)} \right|^r \right] \in \mathcal{B}(l^2) \right\}.$$

It is clear that if  $\{A_k\}_{k=1}^{\infty} \in \mathcal{L}^r$ , then  $A_k$  is necessarily a member of the absolute Schur algebra  $\mathcal{S}_{2,2}^r(\mathbb{C})$  for all  $k$ .

The following theorem was first stated in [3] by A. Charearnpol. It is a generalization of the characterization of the sequence spaces  $\mathcal{O}_b$  provided by J. Rakbud and S.-C. Ong in [11].

**Theorem 3.1.1.** *Let  $\left\{ \left[ a_{ji}^{(k)} \right] \right\}_{k=1}^{\infty}$  be a sequence in  $\mathcal{B}(l^2)$  with  $a_{ji}^{(k)} \geq 0$  for all  $i, j, k$ .*

(1) *The sequence  $\left\{ \sum_{k=1}^n \left[ a_{ji}^{(k)} \right] \right\}_{n=1}^{\infty}$  is bounded in  $\mathcal{B}(l^2)$  if and only if  $\left[ \sum_{k=1}^{\infty} a_{ji}^{(k)} \right] \in \mathcal{B}(l^2)$ .*

(2) *If  $\left[ \sum_{k=1}^{\infty} a_{ji}^{(k)} \right] \in \mathcal{B}(l^2)$ , then  $\left\| \left[ \sum_{k=1}^{\infty} a_{ji}^{(k)} \right] \right\| = \sup_n \left\| \sum_{k=1}^n \left[ a_{ji}^{(k)} \right] \right\|$ .*

From the above theorem, the following characterization of the set  $\mathcal{L}^r$  is immediately obtained.

**Corollary 3.1.2.** *Let  $\{A_k\}_{k=1}^{\infty}$  be a sequence in  $\mathcal{M}_{\infty}$  and  $1 \leq r < \infty$ . Then the following are equivalent:*

(1)  $\{A_k\}_{k=1}^{\infty}$  belongs to  $\mathcal{L}^r$ ;

(2)  $A_k \in \mathcal{S}_{2,2}^r(\mathbb{C})$  for all  $k$  and the sequence  $\left\{ \sum_{k=1}^n A_k^{[r]} \right\}_{n=1}^\infty$  is bounded in  $\mathcal{B}(l^2)$ ;

(3) the sequence  $\left\{ \left\| \sum_{k=1}^n A_k^{[r]} \right\| \right\}_{n=1}^\infty$  is bounded.

For any sequence  $\left\{ [a_{ji}^{(k)}] \right\}_{k=1}^\infty$  in  $\mathcal{M}_\infty$  and  $1 \leq r < \infty$ , we define

$$\left\| \left\{ [a_{ji}^{(k)}] \right\}_{k=1}^\infty \right\|_r = \begin{cases} \left\| \left[ \sum_{k=1}^\infty |a_{ji}^{(k)}|^r \right] \right\|^{1/r} & \text{if } \left\{ [a_{ji}^{(k)}] \right\}_{k=1}^\infty \in \mathcal{L}^r, \\ \infty & \text{otherwise.} \end{cases}$$

The following Hölder-type inequality was first established in [2] by Chaisuriya and Ong. It is useful for the research.

**Theorem 3.1.3.** (Hölder-type inequality) *For any  $A, B \in \mathcal{M}_\infty$  and  $1 < r < \infty$  with  $\frac{1}{r} + \frac{1}{r^*} = 1$ ,*

$$\|(A \bullet B)^{[1]}\| \leq \|A^{[r]}\|^{1/r} \|B^{[r^*]}\|^{1/r^*}$$

*under the conventions that  $\infty \cdot 0 = 0 \cdot \infty = 0$ ,  $\infty \cdot \alpha = \alpha \cdot \infty = \infty$  for all positive real number  $\alpha$  and  $\infty \cdot \infty = \infty$ .*

The Hölder and Minkowski-type inequalities below are extensions of the ones in [11].

**Theorem 3.1.4.** (Hölder-type inequality for sequences of matrices) *For any sequences  $\{A_k\}_{k=1}^\infty$  and  $\{B_k\}_{k=1}^\infty$  in  $\mathcal{M}_\infty$ ,*

$$\left\| \{A_k \bullet B_k\}_{k=1}^\infty \right\|_1 \leq \left\| \{A_k\}_{k=1}^\infty \right\|_r \left\| \{B_k\}_{k=1}^\infty \right\|_{r^*},$$

*where  $1 < r < \infty$  with  $\frac{1}{r} + \frac{1}{r^*} = 1$ , under the same convention as in Theorem 3.1.3.*

*Proof.* Let  $\left\{ A_k = [a_{ji}^{(k)}] \right\}_{k=1}^\infty$  and  $\left\{ B_k = [b_{ji}^{(k)}] \right\}_{k=1}^\infty$  be sequences in  $\mathcal{M}_\infty$ . If either  $\left\| \{A_k\}_{k=1}^\infty \right\|_r$  or  $\left\| \{B_k\}_{k=1}^\infty \right\|_{r^*}$  is  $\infty$ , then we are done. Suppose that both  $\left\| \{A_k\}_{k=1}^\infty \right\|_r$  and  $\left\| \{B_k\}_{k=1}^\infty \right\|_{r^*}$  are finite. Then  $\left[ \sum_{k=1}^\infty |a_{ji}^{(k)}|^r \right]$  and  $\left[ \sum_{k=1}^\infty |b_{ji}^{(k)}|^{r^*} \right]$  belong to  $\mathcal{B}(l^2)$ . Thus, by Hölder's inequality, we have for each  $i, j$  that

$$\sum_{k=1}^\infty |a_{ji}^{(k)} b_{ji}^{(k)}| \leq \left( \sum_{k=1}^\infty |a_{ji}^{(k)}|^r \right)^{1/r} \left( \sum_{k=1}^\infty |b_{ji}^{(k)}|^{r^*} \right)^{1/r^*} < \infty.$$

Hence the matrix  $\left[ \sum_{k=1}^{\infty} |a_{ji}^{(k)} b_{ji}^{(k)}| \right] \in \mathcal{M}_{\infty}$ . We want to show that  $\left[ \sum_{k=1}^{\infty} |a_{ji}^{(k)} b_{ji}^{(k)}| \right] \in \mathcal{B}(l^2)$  and  $\| \{A_k \bullet B_k\}_{k=1}^{\infty} \|_1 \leq \| \{A_k\}_{k=1}^{\infty} \|_r \| \{B_k\}_{k=1}^{\infty} \|_{r^*}$ . By the Hölder-type inequality, we have

$$\begin{aligned} \left\| \left[ \sum_{k=1}^{\infty} |a_{ji}^{(k)} b_{ji}^{(k)}| \right] \right\| &\leq \left\| \left[ \left( \sum_{k=1}^{\infty} |a_{ji}^{(k)}|^r \right)^{1/r} \left( \sum_{k=1}^{\infty} |b_{ji}^{(k)}|^{r^*} \right)^{1/r^*} \right] \right\| \\ &= \left\| \left[ \left( \sum_{k=1}^{\infty} |a_{ji}^{(k)}|^r \right)^{1/r} \right] \cdot \left[ \left( \sum_{k=1}^{\infty} |b_{ji}^{(k)}|^{r^*} \right)^{1/r^*} \right] \right\| \\ &\leq \left\| \left[ \sum_{k=1}^{\infty} |a_{ji}^{(k)}|^r \right] \right\|^{1/r} \left\| \left[ \sum_{k=1}^{\infty} |b_{ji}^{(k)}|^{r^*} \right] \right\|^{1/r^*} \\ &= \| \{A_k\}_{k=1}^{\infty} \|_r \| \{B_k\}_{k=1}^{\infty} \|_{r^*} < \infty. \end{aligned}$$

This implies that  $\left[ \sum_{k=1}^{\infty} |a_{ji}^{(k)} b_{ji}^{(k)}| \right] \in \mathcal{B}(l^2)$ , which is equivalent to that  $\{A_k \bullet B_k\}_{k=1}^{\infty} \in \mathcal{L}^1$ , and  $\| \{A_k \bullet B_k\}_{k=1}^{\infty} \|_1 \leq \| \{A_k\}_{k=1}^{\infty} \|_r \| \{B_k\}_{k=1}^{\infty} \|_{r^*}$ .  $\square$

**Theorem 3.1.5.** (Minkowski-type inequality for sequences of matrices) *For any sequences  $\{A_k\}_{k=1}^{\infty}$  and  $\{B_k\}_{k=1}^{\infty}$  in  $\mathcal{M}_{\infty}$  and  $1 \leq r < \infty$ ,*

$$\| \{A_k + B_k\}_{k=1}^{\infty} \|_r \leq \| \{A_k\}_{k=1}^{\infty} \|_r + \| \{B_k\}_{k=1}^{\infty} \|_r$$

*under the conventions that  $\infty + \alpha = \alpha + \infty = \infty$  for all non-negative real number  $\alpha$  and  $\infty + \infty = \infty$ .*

*Proof.* For the case where either  $\| \{A_k\}_{k=1}^{\infty} \|_r = \infty$  or  $\| \{B_k\}_{k=1}^{\infty} \|_r = \infty$ , there is nothing to prove. Suppose that both  $\| \{A_k\}_{k=1}^{\infty} \|_r$  and  $\| \{B_k\}_{k=1}^{\infty} \|_r$  are finite. We assume first that  $1 < r < \infty$ . Then by the Hölder-type inequality for sequences of matrices, we have for each positive integer  $n$  that

$$\begin{aligned} \left\| \sum_{k=1}^n (A_k + B_k)^{[r]} \right\| &= \left\| \sum_{k=1}^n (A_k + B_k)^{[1]} \bullet (A_k + B_k)^{[r-1]} \right\| \\ &\leq \left\| \sum_{k=1}^n \left( A_k^{[1]} + B_k^{[1]} \right) \bullet (A_k + B_k)^{[r-1]} \right\| \\ &= \left\| \sum_{k=1}^n A_k^{[1]} \bullet (A_k + B_k)^{[r-1]} + \sum_{k=1}^n B_k^{[1]} \bullet (A_k + B_k)^{[r-1]} \right\| \\ &\leq \left\| \sum_{k=1}^n A_k^{[1]} \bullet (A_k + B_k)^{[r-1]} \right\| + \left\| \sum_{k=1}^n B_k^{[1]} \bullet (A_k + B_k)^{[r-1]} \right\| \end{aligned}$$



$$\begin{aligned}
&\leq \left\| \sum_{k=1}^n A_k^{[r]} \right\|^{1/r} \left\| \sum_{k=1}^n ((A_k + B_k)^{[r-1]})^{[r^*]} \right\|^{1/r^*} \\
&\quad + \left\| \sum_{k=1}^n B_k^{[r]} \right\|^{1/r} \left\| \sum_{k=1}^n ((A_k + B_k)^{[r-1]})^{[r^*]} \right\|^{1/r^*} \\
&= \left( \left\| \sum_{k=1}^n A_k^{[r]} \right\|^{1/r} + \left\| \sum_{k=1}^n B_k^{[r]} \right\|^{1/r} \right) \left\| \sum_{k=1}^n (A_k + B_k)^{[r]} \right\|^{1/r^*},
\end{aligned}$$

where  $\frac{1}{r} + \frac{1}{r^*} = 1$ , which implies that

$$\begin{aligned}
\left\| \sum_{k=1}^n (A_k + B_k)^{[r]} \right\|^{1/r} &\leq \left\| \sum_{k=1}^n A_k^{[r]} \right\|^{1/r} + \left\| \sum_{k=1}^n B_k^{[r]} \right\|^{1/r} \\
&\leq \|\{A_k\}_{k=1}^\infty\|_r + \|\{B_k\}_{k=1}^\infty\|_r.
\end{aligned}$$

For the case where  $r = 1$ , we easily have for each positive integer  $n$  that

$$\begin{aligned}
\left\| \sum_{k=1}^n (A_k + B_k)^{[1]} \right\| &\leq \left\| \sum_{k=1}^n A_k^{[1]} + \sum_{k=1}^n B_k^{[1]} \right\| \\
&\leq \left\| \sum_{k=1}^n A_k^{[1]} \right\| + \left\| \sum_{k=1}^n B_k^{[1]} \right\| \\
&\leq \|\{A_k\}_{k=1}^\infty\|_1 + \|\{B_k\}_{k=1}^\infty\|_1
\end{aligned}$$

as well. Thus, by Corollary 3.1.2, the sequence  $\{A_k + B_k\}_{k=1}^\infty$  belongs to  $\mathcal{L}^r$  and

$$\|\{A_k + B_k\}_{k=1}^\infty\|_r \leq \|\{A_k\}_{k=1}^\infty\|_r + \|\{B_k\}_{k=1}^\infty\|_r$$

for all  $1 \leq r < \infty$ . The proof is complete.  $\square$

The following lemma was first stated and proved in [10]. It is a beautiful consequence of the Hölder-type inequality.

**Lemma 3.1.6.** *For any  $1 \leq r < \infty$  and matrices  $A$  and  $B$  in  $\mathcal{S}_{2,2}^r(\mathbb{C})$ ,*

$$\|A^{[r]} - B^{[r]}\| \leq (\|A\|_{2,2,r} + \|B\|_{2,2,r}) \|A - B\|_{2,2,r}.$$

The proposition below was first stated and proved in [10] as well. We can see that it follows easily from the lemma above.

**Proposition 3.1.7.** *For any  $1 \leq r < \infty$ , the map  $A \mapsto A^{[r]}$  from  $\mathcal{S}_{2,2}^r(\mathbb{C})$  into  $\mathcal{B}(l^2)$  is continuous.*

**Theorem 3.1.8.** *For each  $1 \leq r < \infty$ , the set  $\mathcal{L}^r$  equipped with the norm  $\|\cdot\|_r$  is a Banach space.*

*Proof.* From Minkowski's inequality for sequences of matrices, we have that the set  $\mathcal{L}^r$  endowed with the norm  $\|\cdot\|_r$  is a normed space. To see that it is a Banach space, let  $\{\mathbf{A}_n = \{A_k^{(n)}\}_{k=1}^\infty\}_{n=1}^\infty$  be a Cauchy sequence in  $\mathcal{L}^r$ . As we have for each  $k$  that

$$\|A_k^{(n)} - A_k^{(m)}\|_{2,2,r} \leq \|\mathbf{A}_n - \mathbf{A}_m\|_r \text{ for all } n, m,$$

it follows that the sequence  $\{A_k^{(n)}\}_{n=1}^\infty$  is a Cauchy sequence in  $\mathcal{S}_{2,2}^r(\mathbb{C})$  for all  $k$ . So, for each  $k$ , we obtain by the completeness of  $\mathcal{S}_{2,2}^r(\mathbb{C})$  that there exists an  $A_k$  in  $\mathcal{S}_{2,2}^r(\mathbb{C})$  such that  $A_k^{(n)} \rightarrow A_k$ . Let  $\mathbf{A} = \{A_k\}_{k=1}^\infty$ . We claim that  $\mathbf{A} \in \mathcal{L}^r$  and  $\mathbf{A}_n \rightarrow \mathbf{A}$ . To prove these, let  $\epsilon > 0$  be given. Then there is a positive integer  $N$  such that for each positive integer  $K$ ,

$$\left\| \sum_{k=1}^K (A_k^{(n)} - A_k^{(m)})^{[r]} \right\|^{1/r} \leq \|\mathbf{A}_n - \mathbf{A}_m\|_r < \frac{\epsilon}{2} \text{ for all } n, m \geq N. \quad (*)$$

Since  $A_k^{(m)} \rightarrow A_k$  in  $\mathcal{S}_{2,2}^r(\mathbb{C})$  for all  $k$ , it follows for each fixed  $n$  that  $A_k^{(n)} - A_k^{(m)} \rightarrow A_k^{(n)} - A_k$  in  $\mathcal{S}_{2,2}^r(\mathbb{C})$  for all  $k$ . Thus, by Proposition 3.1.7, we obtain for each fixed  $n$  that  $(A_k^{(n)} - A_k^{(m)})^{[r]} \rightarrow (A_k^{(n)} - A_k)^{[r]}$  in  $\mathcal{B}(l^2)$  for all  $k$ . From this we have for each fixed  $n$  and  $K$  that  $\sum_{k=1}^K (A_k^{(n)} - A_k^{(m)})^{[r]} \rightarrow \sum_{k=1}^K (A_k^{(n)} - A_k)^{[r]}$  in  $\mathcal{B}(l^2)$ . Whence, by taking the limits as  $m \rightarrow \infty$  on both sides of (\*), we obtain by the continuity of the operator norm on  $\mathcal{B}(l^2)$  that for each  $n \geq N$ ,

$$\left\| \sum_{k=1}^K (A_k^{(n)} - A_k)^{[r]} \right\|^{1/r} \leq \frac{\epsilon}{2} \text{ for all } K \geq 1.$$

Therefore, by Theorem 3.1.1,

$$\|\mathbf{A}_n - \mathbf{A}\|_r = \sup_K \left\| \sum_{k=1}^K (A_k^{(n)} - A_k)^{[r]} \right\|^{1/r} < \epsilon \text{ for all } n \geq N. \quad (**)$$

The inequality (\*\*) yields that  $\mathbf{A}_N - \mathbf{A}$  belongs to  $\mathcal{L}^r$ , which implies that  $\mathbf{A} = \mathbf{A}_N - (\mathbf{A}_N - \mathbf{A})$  is an element of  $\mathcal{L}^r$ . Consequently, by (\*\*) again, we get  $\mathbf{A}_n \rightarrow \mathbf{A}$ .  $\square$

## 3.2 Duality

In this section, we study the duality of the sequence spaces  $\mathcal{L}^r$ . The aim is to decompose the dual space  $(\mathcal{L}^r)^*$  of  $\mathcal{L}^r$  as an  $l^1$  direct-sum of its two closed subspaces. Before getting the results, we need some notational conventions.

For any  $z \in \mathbb{C}$ , we define the function  $\text{sgn}(\cdot)$  on  $\mathbb{C}$  by

$$\text{sgn}(z) = \begin{cases} \frac{\bar{z}}{|z|} & \text{if } z \neq 0, \\ 1 & \text{if } z = 0. \end{cases}$$

For any sequences  $\mathbf{A} = \{A_k\}_{k=1}^\infty$  and  $\mathbf{B} = \{B_k\}_{k=1}^\infty$  in  $\mathcal{M}_\infty$  and any positive integer  $n$ , we let  $\mathbf{A} \bullet \mathbf{B} = \{A_k \bullet B_k\}_{k=1}^\infty$ ,  $\mathbf{A}_{n_{\downarrow}} = \{(A_k)_{n_{\downarrow}}\}_{k=1}^\infty$ ,  $\mathbf{A}_{n_{\uparrow}} = \{(A_k)_{n_{\uparrow}}\}_{k=1}^\infty$ , and  $\mathbf{A}_{[n]} = \{A_1, A_2, \dots, A_n, 0, 0, \dots\}$ . It is clear that  $(\mathbf{A}_{[K]})_{n_{\downarrow}} = (\mathbf{A}_{n_{\downarrow}})_{[K]}$  for all positive integers  $n$  and  $K$ . Notice that for each  $1 \leq r < \infty$ , if  $\mathbf{A} = \left\{A_k = \left[a_{ji}^{(k)}\right]\right\}_{k=1}^\infty \in \mathcal{L}^r$ , then each of the following holds true:

$$(i) \quad \|\mathbf{A}_{n_{\downarrow}}\|_r = \left\| \left[ \sum_{k=1}^\infty |a_{ji}^{(k)}|^r \right]_{n_{\downarrow}} \right\|^{1/r},$$

$$(ii) \quad \|\mathbf{A}_{[K]}\|_r = \left\| \sum_{k=1}^K A_k^{[r]} \right\|^{1/r} = \left\| \left[ \sum_{k=1}^K |a_{ji}^{(k)}|^r \right] \right\|^{1/r} \text{ and}$$

$$(iii) \quad \|\mathbf{A}_{n_{\downarrow}} - \mathbf{A}\|_r = \|\{(A_k)_{n_{\downarrow}} - A_k\}_{k=1}^\infty\|_r = \left\| \left[ \sum_{k=1}^\infty |a_{ji}^{(k)}|^r \right]_{n_{\downarrow}} - \left[ \sum_{k=1}^\infty |a_{ji}^{(k)}|^r \right] \right\|^{1/r},$$

for all  $n$  and  $K$ . The first and second equations imply that  $\|\mathbf{A}\|_r = \sup_n \|\mathbf{A}_{n_{\downarrow}}\|_r$  and  $\|\mathbf{A}\|_r = \sup_K \|\mathbf{A}_{[K]}\|_r$  respectively. And the last one implies that the matrix  $\left[ \sum_{k=1}^\infty |a_{ji}^{(k)}|^r \right]$  is compact if and only if  $\|\mathbf{A}_{n_{\downarrow}} - \mathbf{A}\|_r \rightarrow 0$ . For each  $A = [a_{ji}]$

in  $\mathcal{M}_\infty$  and positive integer  $k$ , let  $\sum A = \sum_{j=1}^\infty \sum_{i=1}^\infty a_{ji}$  if the series converges, let

$\text{sgn}A = [\text{sgn}(a_{ji})]$ , and let  $s(A; k)$  be the sequence whose  $k$ -th term is the matrix  $A$  and all other terms are 0. Finally, for any  $\lambda \in \mathbb{C}$  and pair  $(j, i)$  of positive integers, let  $E(\lambda; (j, i))$  be the matrix whose  $(j, i)$ -th entry is the number  $\lambda$  and all other entries are 0.

On the classical sequence spaces  $l^p$ , there is a result closely related to their duality as follows: for  $1 \leq p < \infty$  with  $\frac{1}{p} + \frac{1}{q} = 1$ , a sequence  $\mathbf{x}$  belongs to  $l^q$  if and only if  $\mathbf{x}$  “Schur multiplies” every  $\mathbf{y}$  in  $l^p$  into  $l^1$ . An analogue of this result is also obtained for our sequence spaces  $\mathcal{L}^r$  of infinite matrices.

**Theorem 3.2.1.** *Let  $1 < r < \infty$  with  $\frac{1}{r} + \frac{1}{r^*} = 1$ .*

(1)  *$\{A_k\}_{k=1}^\infty \in \mathcal{L}^{r^*}$  if and only if  $\{A_k \bullet B_k\}_{k=1}^\infty \in \mathcal{L}^1$  for all  $\{B_k\}_{k=1}^\infty \in \mathcal{L}^r$ .*

(2) *If  $\{A_k\}_{k=1}^\infty \in \mathcal{L}^{r^*}$ , then*

$$\|\{A_k\}_{k=1}^\infty\|_{r^*} = \sup\{\|\{A_k \bullet B_k\}_{k=1}^\infty\|_1 : \{B_k\}_{k=1}^\infty \in \mathcal{L}^r, \|\{B_k\}_{k=1}^\infty\|_r \leq 1\}.$$

*Proof.* (1). Let  $\mathbf{A} = \left\{A_k = \left[a_{ji}^{(k)}\right]\right\}_{k=1}^\infty$  be a sequence in  $\mathcal{M}_\infty$ . Suppose that  $\{A_k \bullet B_k\}_{k=1}^\infty \in \mathcal{L}^1$  for all  $\{B_k\}_{k=1}^\infty \in \mathcal{L}^r$ . We want to show that  $\{A_k\}_{k=1}^\infty \in \mathcal{L}^{r^*}$ . By the assumption, a map  $\Psi : \mathcal{L}^r \rightarrow \mathcal{L}^1$  can be defined as follows:  $\Psi(\{B_k\}_{k=1}^\infty) = \{A_k \bullet B_k\}_{k=1}^\infty$  for all  $\{B_k\}_{k=1}^\infty \in \mathcal{L}^r$ . For any positive integer  $n$ , let  $\Psi_n : \mathcal{L}^r \rightarrow \mathcal{L}^1$  be

defined by  $\Psi_n(\{B_k\}_{k=1}^\infty) = \mathbf{A}_n \bullet \mathbf{B}$  for all  $\mathbf{B} = \{B_k\}_{k=1}^\infty \in \mathcal{L}^r$ . Then by the Hölder-type inequality for sequences of matrices, we have for every  $\mathbf{B} = \{B_k\}_{k=1}^\infty \in \mathcal{L}^r$  that

$$\|\Psi_n(\{B_k\}_{k=1}^\infty)\|_1 = \|\mathbf{A}_n \bullet \mathbf{B}\|_1 \leq \|\mathbf{A}_n\|_{r^*} \|\mathbf{B}\|_r.$$

So the operator  $\Psi_n$  is bounded for all  $n$ . For each  $\{B_k = [b_{ji}^{(k)}]\}_{k=1}^\infty \in \mathcal{L}^r$ , we have

$$\begin{aligned} \|\Psi_n(\{B_k\}_{k=1}^\infty)\|_1 &= \left\| \left[ \sum_{k=1}^n |a_{ji}^{(k)} b_{ji}^{(k)}| \right] \right\| \leq \left\| \left[ \sum_{k=1}^\infty |a_{ji}^{(k)} b_{ji}^{(k)}| \right] \right\| \\ &= \|\{A_k \bullet B_k\}_{k=1}^\infty\|_1 \text{ for all } n. \end{aligned}$$

Hence, by the uniform boundedness principle, the set  $\{\|\Psi_n\| : n = 1, 2, 3, \dots\}$  is bounded. For every  $\mathbf{B} = \{B_k\}_{k=1}^\infty \in \mathcal{L}^r$  with  $\|\mathbf{B}\|_r \leq 1$ , we have by Theorem 3.1.1 that

$$\begin{aligned} \|\Psi(\mathbf{B})\|_1 &= \sup_n \left\| \sum_{k=1}^n (A_k \bullet B_k)^{[1]} \right\| = \sup_n \|\mathbf{A}_n \bullet \mathbf{B}\|_1 \\ &= \sup_n \|\Psi_n(\mathbf{B})\|_1 \leq \sup_n \|\Psi_n\|. \end{aligned}$$

Thus, by the boundedness of the set  $\{\|\Psi_n\| : n = 1, 2, 3, \dots\}$ , the operator  $\Psi$  is bounded. Next, let  $\mathbf{D} = \{A_k^{[r^*-1]}\}_{k=1}^\infty$ . Then  $(\mathbf{D}_{n_\downarrow})_K \in \mathcal{L}^r$  for all  $n, K$ . Thus

$$\begin{aligned} \left\| \left( \sum_{k=1}^K A_k^{[r^*]} \right)_{n_\downarrow} \right\| &= \left\| \sum_{k=1}^K (A_k^{[r^*]})_{n_\downarrow} \right\| = \left\| \sum_{k=1}^K A_k^{[1]} \bullet (A_k^{[r^*-1]})_{n_\downarrow} \right\| \\ &= \|\mathbf{A} \bullet (\mathbf{D}_{n_\downarrow})_K\|_1 = \|\Psi((\mathbf{D}_{n_\downarrow})_K)\|_1 \\ &\leq \|\Psi\| \|(\mathbf{D}_{n_\downarrow})_K\|_r = \|\Psi\| \left\| \left( \sum_{k=1}^K (A_k^{[r^*-1]})^{[r]} \right)_{n_\downarrow} \right\|^{1/r} \\ &= \|\Psi\| \left\| \left( \sum_{k=1}^K A_k^{[r^*]} \right)_{n_\downarrow} \right\|^{1/r} \text{ for all } n, K. \end{aligned} \quad (\star)$$

It follows that

$$\left\| \left( \sum_{k=1}^K A_k^{[r^*]} \right)_{n_\downarrow} \right\| \leq \|\Psi\|^{r^*} \text{ for all } n, K.$$

Whence, by Theorem 1.1(2), we obtain for each  $K$  that  $\sum_{k=1}^K A_k^{[r^*]} \in \mathcal{B}(l^2)$  and by Theorem 1.1(3),

$$\left\| \sum_{k=1}^K A_k^{[r^*]} \right\| = \sup_n \left\| \left( \sum_{k=1}^K A_k^{[r^*]} \right)_{n_\downarrow} \right\| \leq \|\Psi\|^{r^*}.$$

Therefore, by Corollary 3.1.2, the sequence  $\mathbf{A}$  belongs to  $\mathcal{L}^{r^*}$ . Conversely, suppose that  $\mathbf{A} \in \mathcal{L}^{r^*}$ . Then for any  $\{B_k\}_{k=1}^\infty \in \mathcal{L}^r$ , we have by the Hölder-type inequality for sequences of matrices that  $\{A_k \bullet B_k\}_{k=1}^\infty \in \mathcal{L}^1$ .

(2). Suppose that  $\{A_k\}_{k=1}^\infty \in \mathcal{L}^{r*}$ . Then by (1), the linear operator  $\Psi : \mathcal{L}^r \rightarrow \mathcal{L}^1$  defined by  $\{B_k\}_{k=1}^\infty \mapsto \{A_k \bullet B_k\}_{k=1}^\infty$  is well-defined, and by the Hölder-type inequality for sequences of matrices, it is obvious that  $\|\Psi\| \leq \|\{A_k\}_{k=1}^\infty\|_{r*}$ . By the same argument as given in the proof of (1) (see the argument to obtain the inequality  $(\star)$ ), we have

$$\left\| \sum_{k=1}^n A_k^{[r*]} \right\|^{1/r*} \leq \|\Psi\| \text{ for all } n.$$

It follows from Theorem 3.1.1 that  $\|\{A_k\}_{k=1}^\infty\|_{r*} \leq \|\Psi\|$ . Consequently, we obtain

$$\|\{A_k\}_{k=1}^\infty\|_{r*} = \|\Psi\| = \sup\{\|\{A_k \bullet B_k\}_{k=1}^\infty\|_1 : \{B_k\}_{k=1}^\infty \in \mathcal{L}^r, \|\{B_k\}_{k=1}^\infty\|_r \leq 1\}$$

as required. The proof is complete.  $\square$

For each  $1 \leq r < \infty$ , let

$$\mathcal{L}_\kappa^r = \left\{ \left\{ \left[ a_{ji}^{(k)} \right] \right\}_{k=1}^\infty \subseteq \mathcal{M}_\infty : \left[ \sum_{k=1}^\infty \left| a_{ji}^{(k)} \right|^r \right] \in \mathcal{K}(l^2) \right\}.$$

The following results on the sets  $\mathcal{L}_\kappa^r$  are evident.

- (i)  $\mathcal{L}_\kappa^r \subsetneq \mathcal{L}^r$ .
- (ii) A sequence  $\mathbf{A}$  in  $\mathcal{M}_\infty$  belongs to  $\mathcal{L}_\kappa^r$  if and only if  $\|\mathbf{A} - \mathbf{A}_{n_\perp}\|_r \rightarrow 0$ .
- (iii) If a sequence  $\mathbf{A}$  belongs to  $\mathcal{L}_\kappa^r$ , then  $\mathbf{A} - \mathbf{A}_{n_\perp}$  belongs to  $\mathcal{L}_\kappa^r$  for all  $n$ .

The following theorem is a more general version of the characterization of the sequence space  $\mathcal{O}_\kappa$  provided by Rakbud et al. in [11].

**Theorem 3.2.2.** *Let  $\left\{ A_k = \left[ a_{ji}^{(k)} \right] \right\}_{k=1}^\infty$  be a sequence in  $\mathcal{M}_\infty$  with  $a_{jk}^{(k)} \geq 0$  for all  $i, j, k$ . Then  $\left[ \sum_{k=1}^\infty a_{ji}^{(k)} \right] \in \mathcal{K}(l^2)$  if and only if  $A_k \in \mathcal{K}(l^2)$  for all  $k$  and the sequence  $\left\{ \sum_{k=1}^n A_k \right\}_{k=1}^\infty$  converges in  $\mathcal{B}(l^2)$ .*

*Proof.* Suppose that the matrix  $A = \left[ \sum_{k=1}^\infty a_{ji}^{(k)} \right]$  is compact. Then for each  $k$ , we have by Theorem 1.1(1) that  $A_k \in \mathcal{B}(l^2)$  and

$$\|A_k - (A_k)_{n_\perp}\| \leq \|A - A_{n_\perp}\| \rightarrow 0.$$

Thus  $A_k$  is compact for all  $k$ . To see that the sequence  $\left\{ \sum_{k=1}^n A_k \right\}_{k=1}^\infty$  converges in  $\mathcal{B}(l^2)$ , let  $\epsilon > 0$  be given. Then by the compactness of the matrix  $A$ , there exists

a positive integer  $N$  such that  $\|A_{N_J} - A\| < \frac{\epsilon}{3}$ . As the series  $\sum_{k=1}^{\infty} a_{ji}^{(k)}$  converges for all  $1 \leq j, i \leq N$ , there is a positive integer  $K_0$  such that for each  $1 \leq j, i \leq N$ ,  $\sum_{k=K}^{\infty} a_{ji}^{(k)} < \frac{\epsilon}{3N^{3/2}}$  for all  $K \geq K_0$ . Hence for each  $K \geq K_0$ ,

$$\begin{aligned} \left\| \sum_{k=1}^K A_k - A \right\| &\leq \left\| A_{N_J} - \left( \sum_{k=1}^K A_k \right)_{N_J} \right\| + \left\| \left( \sum_{k=1}^K A_k \right)_{N_J} - \sum_{k=1}^K A_k \right\| \\ &\quad + \|A_{N_J} - A\| \\ &\leq \left\{ \sum_{j=1}^N \left( \sum_{i=1}^N \sum_{k=K}^{\infty} a_{ji}^{(k)} \right)^2 \right\}^{1/2} + 2\|A_{N_J} - A\| \\ &< \frac{\epsilon}{3} + \frac{2\epsilon}{3} = \epsilon. \end{aligned}$$

This yields  $\sum_{k=1}^{\infty} A_k = A$  in  $\mathcal{B}(l^2)$ . Conversely, suppose that  $A_k$  is compact for all  $k$  and

that the sequence  $\left\{ \sum_{k=1}^n A_k \right\}_{n=1}^{\infty}$  converges in  $\mathcal{B}(l^2)$ . It is clear that  $\sum_{k=1}^{\infty} A_k = \left[ \sum_{k=1}^{\infty} a_{ji}^{(k)} \right]$ .

Since  $\mathcal{K}(l^2)$  is closed in  $\mathcal{B}(l^2)$ , it follows that  $\sum_{k=1}^{\infty} A_k$  is compact. Thus we obtain that

$\left[ \sum_{k=1}^{\infty} a_{ji}^{(k)} \right]$  is compact as required.  $\square$

The following characterization of the set  $\mathcal{L}_{\kappa}^r$  is an immediate consequence of Theorem 3.2.2 above.

**Corollary 3.2.3.** *Let  $\{A_k\}_{k=1}^{\infty}$  be a sequence in  $\mathcal{M}_{\infty}$  and  $1 \leq r < \infty$ . Then  $\{A_k\}_{k=1}^{\infty} \in \mathcal{L}_{\kappa}^r$  if and only if  $A_k^{[r]}$  is compact for all  $k$  and the sequence  $\left\{ \sum_{k=1}^n A_k^{[r]} \right\}_{n=1}^{\infty}$  converges in  $\mathcal{B}(l^2)$ .*

**Theorem 3.2.4.** *For each  $1 \leq r < \infty$ , the set  $\mathcal{L}_{\kappa}^r$  is a Banach subspace of  $\mathcal{L}^r$ .*

*Proof.* For any matrix  $A \in \mathcal{M}_{\infty}$  and positive integer  $n$ , we let here for convenience  $A_{\lrcorner n} = A - A_{n-1_J}$ . We will show first that  $\mathcal{L}_{\kappa}^r$  is a normed subspace of  $\mathcal{L}^r$ . Let  $\{A_k\}_{k=1}^{\infty}, \{B_k\}_{k=1}^{\infty} \in \mathcal{L}_{\kappa}^r$ . Then

$$\begin{aligned} \|\{(A_k + B_k)_{\lrcorner n}\}_{k=1}^{\infty}\|_r &= \|\{(A_k)_{\lrcorner n}\}_{k=1}^{\infty} + \{(B_k)_{\lrcorner n}\}_{k=1}^{\infty}\|_r \\ &\leq \|\{(A_k)_{\lrcorner n}\}_{k=1}^{\infty}\|_r + \|\{(B_k)_{\lrcorner n}\}_{k=1}^{\infty}\|_r \rightarrow 0. \end{aligned}$$

Thus  $\mathcal{L}_{\kappa}^r$  is closed under addition. It clear that  $\lambda\{A_k\}_{k=1}^{\infty} \in \mathcal{L}_{\kappa}^r$  for any complex number  $\lambda$ . Hence  $\mathcal{L}_{\kappa}^r$  is a normed subspace of  $\mathcal{L}^r$ . To show that  $\mathcal{L}_{\kappa}^r$  is a Banach space, it suffices to show that  $\mathcal{L}_{\kappa}^r$  is a closed subspace of  $\mathcal{L}^r$ . Suppose that

$\left\{ \mathbf{A}_n = \left\{ A_k^{(n)} \right\}_{k=1}^\infty \right\}_{n=1}^\infty$  is a sequence in  $\mathcal{L}_\kappa^r$  converging to an element  $\mathbf{A} = \{A_k\}_{k=1}^\infty$  in  $\mathcal{L}^r$ , and let  $\epsilon > 0$  be given. Then there is a positive integer  $N$  such that

$$\|\mathbf{A}_N - \mathbf{A}\|_r < \frac{\epsilon}{2}.$$

Due to the membership of  $\mathbf{A}_N$  in  $\mathcal{L}_\kappa^r$ , we have that there exists a positive integer  $J_0$  such that

$$\left\| \left\{ \left( A_k^{(N)} \right)_{\downarrow J} \right\}_{k=1}^\infty \right\|_r < \frac{\epsilon}{2} \text{ for all } J \geq J_0.$$

It follows that

$$\begin{aligned} \left\| \{ (A_k)_{\downarrow J} \}_{k=1}^\infty \right\|_r &\leq \left\| \left\{ \left( A_k^{(N)} \right)_{\downarrow J} \right\}_{k=1}^\infty - \{ (A_k)_{\downarrow J} \}_{k=1}^\infty \right\|_r + \left\| \left\{ \left( A_k^{(N)} \right)_{\downarrow J} \right\}_{k=1}^\infty \right\|_r \\ &= \left\| \left\{ \left( A_k^{(N)} - A_k \right)_{\downarrow J} \right\}_{k=1}^\infty \right\|_r + \left\| \left\{ \left( A_k^{(N)} \right)_{\downarrow J} \right\}_{k=1}^\infty \right\|_r \\ &\leq \|\mathbf{A}_N - \mathbf{A}\|_r + \left\| \left\{ \left( A_k^{(N)} \right)_{\downarrow J} \right\}_{k=1}^\infty \right\|_r \\ &< \frac{\epsilon}{2} + \frac{\epsilon}{2} = \epsilon \text{ for all } J \geq J_0. \end{aligned}$$

Consequently,  $\{A_k\}_{k=1}^\infty$  belongs to  $\mathcal{L}_\kappa^r$ .  $\square$

The following is the main theorem in this thesis. It tells us that the annihilator  $(\mathcal{L}_\kappa^r)^\perp$  of  $\mathcal{L}_\kappa^r$  is complemented in  $(\mathcal{L}^r)^*$ . Furthermore, the norm of the decomposition of any bounded linear functional on  $\mathcal{L}^r$  is additive.

**Theorem 3.2.5.** *Let  $1 \leq r < \infty$ . Then the following hold.*

- (1) *The annihilator  $(\mathcal{L}_\kappa^r)^\perp$  of  $\mathcal{L}_\kappa^r$  is a non-trivial closed subspace of the dual  $(\mathcal{L}^r)^*$  of  $\mathcal{L}^r$ .*
- (2) *There is a subspace  $\mathcal{P}$  of  $(\mathcal{L}^r)^*$  such that  $\mathcal{P}$  is isometrically isomorphic to  $(\mathcal{L}_\kappa^r)^*$  and  $(\mathcal{L}^r)^* = \mathcal{P} \oplus (\mathcal{L}_\kappa^r)^\perp$ .*
- (3) *For any  $f \in (\mathcal{L}^r)^*$ , the decomposition  $f = g + h$ , where  $g \in \mathcal{P}$  and  $h \in (\mathcal{L}_\kappa^r)^\perp$ , satisfies  $\|f\| = \|g\| + \|h\|$ .*

*Proof.* (1). By the Hahn-Banach extension theorem, we have in general that if  $A$  is a non-trivial closed subspace of a Banach space  $X$ , then the annihilator  $A^\perp$  of  $A$  is a non-trivial closed subspace of the dual  $X^*$  of  $X$ . Thus, by this fact, the assertion (1) holds.

(2). Let  $\varphi \in (\mathcal{L}^r)^*$ . For each  $k$ , let  $\varphi_k : \mathcal{S}_{2,2}^r(\mathbb{C}) \rightarrow \mathbb{C}$  be defined by  $\varphi_k(A) = \varphi(s(A; k))$  for all  $A \in \mathcal{S}_{2,2}^r(\mathbb{C})$ . It is easy to see that  $\varphi_k$  is linear and  $\|\varphi_k\| \leq \|\varphi\|$  for all  $k$ . Hence, for each  $k$ , the map  $\varphi_k$  belongs to  $(\mathcal{S}_{2,2}^r(\mathbb{C}))^*$ . Next, let  $B_k^{(\varphi)} = [\varphi_k(E(1; (j, i)))]$  for all  $k$ , and let  $\mathbf{B}^{(\varphi)} = \{B_k^{(\varphi)}\}_{k=1}^\infty$ . We want to show first that  $\sum_{k=1}^\infty \sum \left( A_k \bullet B_k^{(\varphi)} \right)^{[1]} < \infty$  for all  $\{A_k\}_{k=1}^\infty \in \mathcal{L}^r$ . To see this,

let  $\mathbf{A} = \left\{ A_k = \left[ a_{ji}^{(k)} \right]_{k=1}^\infty \right\} \in \mathcal{L}^r$ . Notice that  $\mathbf{A} \bullet \mathbf{B}^{(\varphi)}$  belongs to  $\mathcal{L}^r$  due to the fact that  $\sum_{k=1}^\infty \left| a_{ji}^{(k)} \varphi_k(E(1; (j, i))) \right|^r \leq \|\varphi\|^r \sum_{k=1}^\infty \left| a_{ji}^{(k)} \right|^r$  for all  $j, i$ . For each  $k$ , let  $\widetilde{A}_k = \left[ \left( \operatorname{sgn} \left( \varphi_k \left( E \left( a_{ji}^{(k)}; (j, i) \right) \right) \right) \right) a_{ji}^{(k)} \right]$ , and let  $\widetilde{\mathbf{A}} = \left\{ \widetilde{A}_k \right\}_{k=1}^\infty$ . Then  $\widetilde{\mathbf{A}} \in \mathcal{L}^r$  with the same norm as  $\mathbf{A}$ . Let  $\nu, \mu$  and  $K$  be positive integers, and let  $n = \max\{\nu, \mu\}$ . Then

$$\begin{aligned}
\sum_{k=1}^K \sum_{j=1}^\nu \sum_{i=1}^\mu \left| a_{ji}^{(k)} \varphi_k(E(1; (j, i))) \right| &< \sum_{k=1}^K \sum_{j=1}^n \sum_{i=1}^n \left| \varphi_k \left( E \left( a_{ji}^{(k)}; (j, i) \right) \right) \right| \\
&= \sum_{k=1}^K \sum_{j=1}^n \sum_{i=1}^n \left( \operatorname{sgn} \left( \varphi_k \left( E \left( a_{ji}^{(k)}; (j, i) \right) \right) \right) \right) \varphi_k \left( E \left( a_{ji}^{(k)}; (j, i) \right) \right) \\
&= \sum_{k=1}^K \sum_{j=1}^n \sum_{i=1}^n \varphi_k \left( E \left( \left( \operatorname{sgn} \left( \varphi_k \left( E \left( a_{ji}^{(k)}; (j, i) \right) \right) \right) \right) a_{ji}^{(k)}; (j, i) \right) \right) \\
&= \sum_{k=1}^K \varphi_k \left( \sum_{j=1}^n \sum_{i=1}^n E \left( \left( \operatorname{sgn} \left( \varphi_k \left( E \left( a_{ji}^{(k)}; (j, i) \right) \right) \right) \right) a_{ji}^{(k)}; (j, i) \right) \right) \\
&= \sum_{k=1}^K \varphi_k \left( \left( \widetilde{A}_k \right)_{n_\perp} \right) = \sum_{k=1}^K \varphi \left( s \left( \left( \widetilde{A}_k \right)_{n_\perp}; k \right) \right) \\
&= \varphi \left( \sum_{k=1}^K s \left( \left( \widetilde{A}_k \right)_{n_\perp}; k \right) \right) = \varphi \left( \left( \widetilde{\mathbf{A}}_{n_\perp} \right)_{K\downarrow} \right) \\
&\leq \|\varphi\| \left\| \left( \widetilde{\mathbf{A}}_{n_\perp} \right)_{K\downarrow} \right\|_r \leq \|\varphi\| \left\| \widetilde{\mathbf{A}} \right\|_r = \|\varphi\| \left\| \mathbf{A} \right\|_r.
\end{aligned}$$

It follows that

$$\sum_{k=1}^\infty \sum_{j=1}^\infty \sum_{i=1}^\infty \left| a_{ji}^{(k)} \varphi_k(E(1; (j, i))) \right| \leq \|\varphi\| \left\| \mathbf{A} \right\|_r.$$

From this result, we can define a bounded linear functional  $\psi_\varphi$  on  $\mathcal{L}^r$  by  $\{A_k\}_{k=1}^\infty \mapsto \sum_{k=1}^\infty \sum A_k \bullet B_k^{(\varphi)}$  with  $\|\psi_\varphi\| \leq \|\varphi\|$ . Notice that for any  $\mathbf{A} = \{A_k\}_{k=1}^\infty \in \mathcal{L}^r$  and positive integer  $K$ , we have by the absolute convergence of the series  $\sum A_k \bullet B_k^{(\varphi)}$  ( $k = 1, 2, \dots, K$ ) that

$$\begin{aligned}
\psi_\varphi(\mathbf{A}_{K\downarrow}) &= \sum_{k=1}^K \sum A_k \bullet B_k^{(\varphi)} = \sum_{k=1}^K \lim_{n \rightarrow \infty} \sum \left( A_k \bullet B_k^{(\varphi)} \right)_{n_\perp} \\
&= \lim_{n \rightarrow \infty} \sum_{k=1}^K \sum \left( A_k \bullet B_k^{(\varphi)} \right)_{n_\perp} = \lim_{n \rightarrow \infty} \sum_{k=1}^K \varphi_k \left( (A_k)_{n_\perp} \right) \\
&= \lim_{n \rightarrow \infty} \sum_{k=1}^K \varphi \left( s \left( (A_k)_{n_\perp}; k \right) \right) = \lim_{n \rightarrow \infty} \varphi \left( \sum_{k=1}^K s \left( (A_k)_{n_\perp}; k \right) \right) \\
&= \lim_{n \rightarrow \infty} \varphi \left( (\mathbf{A}_{n_\perp})_{K\downarrow} \right) = \lim_{n \rightarrow \infty} \varphi \left( (\mathbf{A}_{K\downarrow})_{n_\perp} \right). \tag{§}
\end{aligned}$$



Next, let  $\rho_\varphi = \varphi - \psi_\varphi$ . We will show that  $\rho_\varphi \in (\mathcal{L}_\kappa^r)^\perp$ . To see this, let  $\mathbf{A} \in \mathcal{L}_\kappa^r$ . Then  $\mathbf{A}_{K\downarrow} \in \mathcal{L}_\kappa^r$ , and thus  $\left\| (\mathbf{A}_{K\downarrow})_{n_\downarrow} - \mathbf{A}_{K\downarrow} \right\|_r \rightarrow 0$  for all  $K$ . Whence, by (§) and the continuity of  $\varphi$ , we get  $\psi_\varphi(\mathbf{A}_{K\downarrow}) = \lim_{n \rightarrow \infty} \varphi((\mathbf{A}_{K\downarrow})_{n_\downarrow}) = \varphi(\mathbf{A}_{K\downarrow})$  for all  $K$ . Since by Corollary 3.2.3, we have  $\left\| \mathbf{A}_{K\downarrow} - \mathbf{A} \right\|_r \rightarrow 0$ , it follows from the continuity of  $\psi_\varphi$  and  $\varphi$  that  $\psi_\varphi(\mathbf{A}) = \psi_\varphi\left(\lim_{K \rightarrow \infty} \mathbf{A}_{K\downarrow}\right) = \lim_{K \rightarrow \infty} \psi_\varphi(\mathbf{A}_{K\downarrow}) = \lim_{K \rightarrow \infty} \varphi(\mathbf{A}_{K\downarrow}) = \varphi\left(\lim_{K \rightarrow \infty} \mathbf{A}_{K\downarrow}\right) = \varphi(\mathbf{A})$ . Hence  $\psi_\varphi = \varphi$  on  $\mathcal{L}_\kappa^r$ , which implies that  $\rho_\varphi \in (\mathcal{L}_\kappa^r)^\perp$ . Put  $\mathcal{P} = \{\psi_\varphi : \varphi \in (\mathcal{L}^r)^*\}$ . We claim that  $(\mathcal{L}^r)^* = \mathcal{P} \oplus (\mathcal{L}_\kappa^r)^\perp$  and  $\mathcal{P}$  is isometrically isomorphic to  $(\mathcal{L}_\kappa^r)^*$ . From the definition of  $\mathcal{P}$ , we have already had that  $(\mathcal{L}^r)^* = \mathcal{P} + (\mathcal{L}_\kappa^r)^\perp$ . The decomposition  $(\mathcal{L}^r)^* = \mathcal{P} \oplus (\mathcal{L}_\kappa^r)^\perp$  will be obtained once it can be shown that  $\mathcal{P} \cap (\mathcal{L}_\kappa^r)^\perp = \{0\}$ . To see this, let  $\psi_\varphi \in \mathcal{P} \cap (\mathcal{L}_\kappa^r)^\perp$  for some  $\varphi \in (\mathcal{L}^r)^*$ . Then for every  $\mathbf{A} = \{A_k\}_{k=1}^\infty \in \mathcal{L}^r$ , we have by the absolute convergence of the series  $\sum_{k=1}^\infty \sum A_k \bullet B_k^{(\varphi)}$  and the fact that the sequence  $\mathbf{A}_{n_\downarrow} \in \mathcal{L}_\kappa^r$  for all  $n$  that  $\psi_\varphi(\mathbf{A}) = \lim_{n \rightarrow \infty} \psi_\varphi(\mathbf{A}_{n_\downarrow}) = 0$ . Therefore,  $\psi_\varphi = 0$ , which yields  $\mathcal{P} \cap (\mathcal{L}_\kappa^r)^\perp = \{0\}$ . Accordingly, we have  $(\mathcal{L}^r)^* = \mathcal{P} \oplus (\mathcal{L}_\kappa^r)^\perp$  as asserted. The rest is to prove that  $\mathcal{P}$  is isometrically isomorphic to  $(\mathcal{L}_\kappa^r)^*$ . To get this, we need to show first that  $\|\psi_\varphi|_{\mathcal{L}_\kappa^r}\| = \|\psi_\varphi\|$ . It is obvious that  $\|\psi_\varphi|_{\mathcal{L}_\kappa^r}\| \leq \|\psi_\varphi\|$ . To have that  $\|\psi_\varphi|_{\mathcal{L}_\kappa^r}\| \geq \|\psi_\varphi\|$ , let  $\epsilon > 0$  be given. Then there is a sequence  $\mathbf{A} = \{A_k\}_{k=1}^\infty \in \mathcal{L}^r$  such that  $\|\mathbf{A}\|_r \leq 1$  and  $\|\psi_\varphi\| < |\psi_\varphi(\mathbf{A})| + \epsilon$ . Thus, by the absolute convergence of the series  $\sum_{k=1}^\infty \sum A_k \bullet B_k^{(\varphi)}$ ,

there is a positive integer  $n$  such that  $\|\psi_\varphi\| < |\psi_\varphi(\mathbf{A}_{n_\downarrow})| + \epsilon < \|\psi_\varphi|_{\mathcal{L}_\kappa^r}\| + \epsilon$  for all  $\epsilon > 0$ . This implies that  $\|\psi_\varphi\| \leq \|\psi_\varphi|_{\mathcal{L}_\kappa^r}\|$ , and hence we obtain  $\|\psi_\varphi|_{\mathcal{L}_\kappa^r}\| = \|\psi_\varphi\|$  as desired. From this result, the map  $\psi_\varphi \mapsto \psi_\varphi|_{\mathcal{L}_\kappa^r}$  is now an isometric isomorphism from  $\mathcal{P}$  into  $(\mathcal{L}_\kappa^r)^*$ . To see that it is onto, let  $\varphi_0 \in (\mathcal{L}_\kappa^r)^*$ . We then have by the Hahn Banach extension theorem that  $\varphi_0$  can extend uniquely to a bounded linear functional  $\varphi$  on  $\mathcal{L}^r$  with  $\|\varphi\| = \|\varphi_0\|$ . Since  $\psi_\varphi$  agrees with  $\varphi$  on  $\mathcal{L}_\kappa^r$ , it follows  $\psi_\varphi|_{\mathcal{L}_\kappa^r} = \varphi_0$ . Consequently, the map  $\psi_\varphi \mapsto \psi_\varphi|_{\mathcal{L}_\kappa^r}$  is an isometric isomorphism from  $\mathcal{P}$  onto  $(\mathcal{L}_\kappa^r)^*$ .

(3). Let  $\varphi = \psi_\varphi + \rho_\varphi \in (\mathcal{L}^r)^*$ . It is apparent that  $\|\varphi\| \leq \|\psi_\varphi\| + \|\rho_\varphi\|$ . We want to show that the reverse inequality holds. To prove this, let  $\epsilon > 0$  be given. Then there is a sequence  $\mathbf{A} = \{A_k\}_{k=1}^\infty \in \mathcal{L}^r$  with  $\|\mathbf{A}\|_r \leq 1$  such that  $|\psi_\varphi(\mathbf{A})| > \|\psi_\varphi\| - \frac{\epsilon}{3}$ . From this, we have by the absolute convergence of the series  $\sum_{k=1}^\infty \sum A_k \bullet B_k^{(\varphi)}$

that there exists a positive integer  $N$  such that  $|\psi_\varphi(\mathbf{A}_{N_\downarrow})| > \|\psi_\varphi\| - \frac{\epsilon}{3}$ . Let  $\mathbf{C} = (\text{sgn} \psi_\varphi(\mathbf{A}_{N_\downarrow})) \mathbf{A}_{N_\downarrow}$ . Then  $\|\mathbf{C}\|_r = \|\mathbf{A}_{N_\downarrow}\|_r \leq \|\mathbf{A}\|_r \leq 1$  and  $\psi_\varphi(\mathbf{C}) = |\psi_\varphi(\mathbf{A}_{N_\downarrow})| > \|\psi_\varphi\| - \frac{\epsilon}{3}$ . Next, let  $\mathbf{D} = \{D_k\}_{k=1}^\infty \in \mathcal{L}^r$  be such that  $\|\mathbf{D}\|_r \leq 1$ ,  $\rho_\varphi(\mathbf{D}) > 0$  and

$\rho_\varphi(\mathbf{D}) > \|\rho_\varphi\| - \frac{\epsilon}{3}$ . Then by the absolute convergence of the series  $\sum_{k=1}^\infty \sum D_k \bullet B_k^{(\varphi)}$ , we

have  $\psi_\varphi(\mathbf{D}_{n_r}) \rightarrow 0$ . Whence there is a positive integer  $J > N$  such that  $|\psi_\varphi(\mathbf{D}_{J_r})| < \frac{\epsilon}{3}$ . Since  $\mathbf{D} - \mathbf{D}_{J_r} \in \mathcal{L}_\kappa^r$ , it follows that  $\rho_\varphi(\mathbf{D}_{J_r}) = \rho_\varphi(\mathbf{D})$ . Let  $\mathbf{E} = \mathbf{C} + \mathbf{D}_{J_r}$ . Then

$\mathbf{E} \in \mathcal{L}^r$  and by Theorem 1.1(4), we have  $\|\mathbf{E}\|_r = \max\{\|\mathbf{C}\|_r, \|\mathbf{D}_{J_r}\|_r\} \leq 1$ . Thus

$$\begin{aligned}
\|\varphi\| &\geq |\varphi(\mathbf{E})| = |\psi_\varphi(\mathbf{E}) + \rho_\varphi(\mathbf{E})| \\
&= |\psi_\varphi(\mathbf{C}) + \psi_\varphi(\mathbf{D}_{J_r}) + \rho_\varphi(\mathbf{C}) + \rho_\varphi(\mathbf{D}_{J_r})| \\
&= |\psi_\varphi(\mathbf{C}) + \psi_\varphi(\mathbf{D}_{J_r}) + \rho_\varphi(\mathbf{D})| \\
&\geq \psi_\varphi(\mathbf{C}) + \rho_\varphi(\mathbf{D}) - |\psi_\varphi(\mathbf{D}_{J_r})| \\
&> \|\psi_\varphi\| - \frac{\epsilon}{3} + \|\rho_\varphi\| - \frac{\epsilon}{3} - \frac{\epsilon}{3} \\
&= \|\psi_\varphi\| + \|\rho_\varphi\| - \epsilon.
\end{aligned}$$

Since  $\epsilon > 0$  was given arbitrarily, it follows that  $\|\psi_\varphi\| + \|\rho_\varphi\| \leq \|\varphi\|$ . Hence the equation  $\|\varphi\| = \|\psi_\varphi\| + \|\rho_\varphi\|$  is obtained.  $\square$

**Remark 3.2.6.** Since  $(\mathcal{L}_\kappa^r)^*$  is isometrically isomorphic to  $\mathcal{P}$ , we may treat  $(\mathcal{L}_\kappa^r)^*$  as a subspace of  $(\mathcal{L}^r)^*$ . Thus Theorem 3.2.5 can be symbolized analogously to Dixmier's theorem as follows:

$$(\mathcal{L}^r)^* = (\mathcal{L}_\kappa^r)^* \oplus_1 (\mathcal{L}_\kappa^r)_s.$$

# Chapter 4

## Conclusion

Let  $\mathcal{M}_\infty$  be the set of all infinite complex matrices. For each  $1 \leq r < \infty$ , we define a class of sequences of infinite complex matrices  $\mathcal{L}^r$  as follows:

$$\mathcal{L}^r = \left\{ \left\{ [a_{ji}^{(k)}] \right\}_{k=1}^\infty \subseteq \mathcal{M}_\infty : \left[ \sum_{k=1}^\infty |a_{ji}^{(k)}|^r \right] \in \mathcal{B}(l^2) \right\},$$

and for any sequence  $\left\{ [a_{ji}^{(k)}] \right\}_{k=1}^\infty$  in  $\mathcal{M}_\infty$ , we define

$$\left\| \left\{ [a_{ji}^{(k)}] \right\}_{k=1}^\infty \right\|_r = \begin{cases} \left\| \left[ \sum_{k=1}^\infty |a_{ji}^{(k)}|^r \right] \right\|^{1/r} & \text{if } \left\{ [a_{ji}^{(k)}] \right\}_{k=1}^\infty \in \mathcal{L}^r, \\ \infty & \text{otherwise.} \end{cases}$$

In this thesis, we study some elementary properties and provide some results on the duality of  $\mathcal{L}^r$ . The main goal is to decompose the dual space  $(\mathcal{L}^r)^*$  of  $\mathcal{L}^r$  as an  $l^1$  direct-sum of its two closed subspaces by a way analogous to a beautiful theorem of Dixmier on decomposing the dual  $\mathcal{B}(l^2)^*$  of  $\mathcal{B}(l^2)$ . The following are the results.

We first obtain some characterizations of the sets  $\mathcal{L}^r$ .

**Theorem 4.1.** *Let  $\{A_k\}_{k=1}^\infty$  be a sequence in  $\mathcal{M}_\infty$  and  $1 \leq r < \infty$ . Then the following are equivalent:*

- (1)  $\{A_k\}_{k=1}^\infty$  belongs to  $\mathcal{L}^r$ ;
- (2)  $A_k \in \mathcal{S}_{2,2}^r(\mathbb{C})$  for all  $k$  and the sequence  $\left\{ \sum_{k=1}^n A_k^{[r]} \right\}_{n=1}^\infty$  is bounded in  $\mathcal{B}(l^2)$ ;
- (3) the sequence  $\left\{ \left\| \sum_{k=1}^n A_k^{[r]} \right\| \right\}_{n=1}^\infty$  is bounded.

To obtain that  $\|\cdot\|_r$  is precisely a norm on  $\mathcal{L}^r$ , the following Hölder-type inequality is constructed.

**Theorem 4.2.** (Hölder-type inequality for sequences of matrices) *For any sequences  $\{A_k\}_{k=1}^\infty$  and  $\{B_k\}_{k=1}^\infty$  in  $\mathcal{M}_\infty$ ,*

$$\|\{A_k \bullet B_k\}_{k=1}^\infty\|_1 \leq \|\{A_k\}_{k=1}^\infty\|_r \|\{B_k\}_{k=1}^\infty\|_{r^*},$$

where  $1 < r < \infty$  with  $\frac{1}{r} + \frac{1}{r^*} = 1$ , under the conventions that  $\infty \cdot 0 = 0 \cdot \infty = 0$ ,  $\infty \cdot \alpha = \alpha \cdot \infty = \infty$  for all positive real number  $\alpha$  and  $\infty \cdot \infty = \infty$ .

From the Hölder-type inequality, the corresponding Minkowski's inequality is obtained.

**Theorem 4.3.** (Minkowski-type inequality for sequences of matrices) *For any sequences  $\{A_k\}_{k=1}^\infty$  and  $\{B_k\}_{k=1}^\infty$  in  $\mathcal{M}_\infty$  and  $1 \leq r < \infty$ ,*

$$\|\{A_k + B_k\}_{k=1}^\infty\|_r \leq \|\{A_k\}_{k=1}^\infty\|_r + \|\{B_k\}_{k=1}^\infty\|_r,$$

under the conventions that  $\infty + \alpha = \alpha + \infty = \infty$  for all non-negative real number  $\alpha$  and  $\infty + \infty = \infty$ .

From the Minkowski's inequality, we have that the set  $\mathcal{L}^r$  equipped with the norm  $\|\cdot\|_r$  is a normed space. A Rieze-fischer-type theorem for completeness of the sequence spaces  $\mathcal{L}^r$  is obtained below.

**Theorem 4.4.** *For each  $1 \leq r < \infty$ , the set  $\mathcal{L}^r$  equipped with the norm  $\|\cdot\|_r$  is a Banach space.*

For the classical sequence spaces  $l^p$  ( $1 \leq p < \infty$ ), there is a result closely related to their duality stating that for every  $1 \leq p < \infty$  with  $\frac{1}{p} + \frac{1}{q} = 1$ , a sequence  $\{x_k\}_{k=1}^\infty$  belongs to  $l^q$  if and only if  $\{x_k y_k\}_{k=1}^\infty \in l^1$  for all  $\{y_k\} \in l^p$ . We obtain a similar duality-type result for the sequence spaces  $\mathcal{L}^r$  as follows.

**Theorem 4.5.** *Let  $1 < r < \infty$  with  $\frac{1}{r} + \frac{1}{r^*} = 1$ .*

- (1) *A sequence  $\{A_k\}_{k=1}^\infty \in \mathcal{L}^{r^*}$  if and only if  $\{A_k \bullet B_k\}_{k=1}^\infty \in \mathcal{L}^1$  for all  $\{B_k\}_{k=1}^\infty \in \mathcal{L}^r$ .*
- (2) *If  $\{A_k\}_{k=1}^\infty \in \mathcal{L}^{r^*}$ , then*

$$\|\{A_k\}_{k=1}^\infty\|_{r^*} = \sup\{\|\{A_k \bullet B_k\}_{k=1}^\infty\|_1 : \{B_k\}_{k=1}^\infty \in \mathcal{L}^r, \|\{B_k\}_{k=1}^\infty\|_r \leq 1\}.$$

Next, we define the class

$$\mathcal{L}_\kappa^r = \left\{ \left\{ \left[ a_{ji}^{(k)} \right] \right\}_{k=1}^\infty \subseteq \mathcal{M}_\infty : \left[ \sum_{k=1}^\infty \left| a_{ji}^{(k)} \right|^r \right] \in \mathcal{K}(l^2) \right\},$$

We obtain a characterization of  $\mathcal{L}_\kappa^r$  as follows.

**Theorem 4.6.** *Let  $\{A_k\}_{k=1}^\infty$  be a sequence in  $\mathcal{M}_\infty$  and  $1 \leq r < \infty$ . Then  $\{A_k\}_{k=1}^\infty \in \mathcal{L}_\kappa^r$  if and only if  $A_k^{[r]}$  is compact for all  $k$  and the sequence  $\left\{ \sum_{k=1}^n A_k^{[r]} \right\}_{k=1}^\infty$  converges in  $\mathcal{B}(l^2)$ .*

**Theorem 4.7.** *For each  $1 \leq r < \infty$ , the set  $\mathcal{L}_\kappa^r$  is a Banach subspace of  $\mathcal{L}^r$ .*

We finally obtain a decomposition theorem for the dual  $(\mathcal{L}^r)^*$  of  $\mathcal{L}^r$  as follows.

**Theorem 4.8.** *Let  $1 \leq r < \infty$ . Then the following hold.*

- (1) *The annihilator  $(\mathcal{L}_\kappa^r)^\perp$  of  $\mathcal{L}_\kappa^r$  is a non-trivial closed subspace of the dual  $(\mathcal{L}^r)^*$  of  $\mathcal{L}^r$ .*
- (2) *There is a subspace  $\mathcal{P}$  of  $(\mathcal{L}^r)^*$  such that  $\mathcal{P}$  is isometrically isomorphic to  $(\mathcal{L}_\kappa^r)^*$  and  $(\mathcal{L}^r)^* = \mathcal{P} \oplus (\mathcal{L}_\kappa^r)^\perp$ .*
- (3) *For any  $f \in (\mathcal{L}^r)^*$ , the decomposition  $f = g + h$ , where  $g \in \mathcal{P}$  and  $h \in (\mathcal{L}_\kappa^r)^\perp$ , satisfies  $\|f\| = \|g\| + \|h\|$ .*

Notice that Theorem 4.8 can be symbolized analogously to Dixmier's theorem as follows:

$$(\mathcal{L}^r)^* = (\mathcal{L}_\kappa^r)^* \oplus_1 (\mathcal{L}_\kappa^r)_s.$$

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