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**CONGRUENCE LATTICES OF FINITE UNARY ALGEBRAS**

**By**

**Supharat Thiranantanakorn**

**A Thesis Submitted in Partial Fulfillment of the Requirements for the Degree**

**MASTER OF SCIENCE**

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The Graduate School, Silpakorn University has approved and accredited the Thesis title of “Congruence Lattices of Finite Unary Algebras” submitted by Miss Supharat Thiranantanakorn as a partial fulfillment of the requirements for the degree of Master of Science in Mathematics

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For a finite set  $A$ , let  $f$  be a unary operation on  $A$  and let  $\lambda(f)$  denote the least non-negative integer with  $\text{Im } f^{\lambda(f)} = \text{Im } f^{\lambda(f)+1}$ . We call  $\lambda(f)$  the pre-period of  $f$ . Denecke and Wismath [3] have characterized all unary operations  $f$  on a finite set  $A$  with  $\lambda(f) = |A| - 1$  and have proved that  $\lambda(f) = |A| - 1$  if and only if there exists a  $d \in A$  such that  $A = \{d, f(d), f^2(d), \dots, f^{n-1}(d)\}$ . Furthermore, C.Ratanaprasert and K.Denecke [9] have characterized all unary operations  $f$  on a finite set  $A$  with  $\lambda(f) = |A| - 2$  for all finite sets  $A$  with  $|A| \geq 3$ ; and by the form of all elements in a finite set  $A$  which classifies by  $\lambda(f)$ , C.Ratanaprasert and K.Denecke [9] have characterized all equivalence relations on  $A$  which are invariant under a unary operation  $f$  with  $\lambda(f) = |A| - 1$  and  $\lambda(f) = |A| - 2$ .

In this thesis, we study finite unary algebras  $(A; f)$  with  $\lambda(f) = 0$  and  $\lambda(f) = 1$  for  $|A| \geq 3$  which are called symmetric algebras and near-symmetric algebras, respectively. We characterize all unary operations  $f$  whose  $(A; f)$  is congruence distributive and congruence modular. And also, we characterize all congruence modular symmetric and near-symmetric algebras by proving that:

1. A symmetric algebra  $(A; f)$  is congruence modular if and only if the lattice of all congruence relations on  $(A; f)$  is either a product of chains or a linear sum of a product of chains with one element chain or a  $M_3$ -head lattice.

2. A near-symmetric algebra  $(A; f)$  is congruence modular if and only if the lattice of all congruence relations on  $(A; f)$  is one of the following forms:

$$\begin{array}{ccccccc} \underline{2} \times P & \text{or} & \underline{2} \times (P \oplus \underline{1}) & \text{or} & \underline{2} \times L & \text{or} & \\ M_3 \times P & \text{or} & M_3 \times (P \oplus \underline{1}) & \text{or} & M_3 \times L & & \end{array}$$

where  $P$  is a product of chains and  $L$  is a  $M_3$ -head lattice.

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สำหรับแต่ละเซตจำกัด  $A$  ให้  $f$  แทนการดำเนินการเอกภาคบน  $A$  และให้  $\lambda(f)$  แทนจำนวนเต็มไม่เป็นลบน้อยสุดที่ทำให้  $\text{Im } f^{\lambda(f)} = \text{Im } f^{\lambda(f)+1}$  โดยเรียก  $\lambda(f)$  ว่า pre-period ของ  $f$  Denecke และ Wismath [3] ได้ให้ลักษณะเฉพาะของการดำเนินการเอกภาค  $f$  บนเซตจำกัด  $A$  ซึ่ง  $\lambda(f) = |A| - 1$  โดยพิสูจน์ว่า  $\lambda(f) = |A| - 1$  ก็ต่อเมื่อ มีสมาชิก  $d \in A$  ซึ่งทำให้  $A = \{d, f(d), f^2(d), \dots, f^{n-1}(d)\}$  นอกจากนี้ C. Ratanaprasert และ K. Denecke [9] ได้ให้ลักษณะเฉพาะของการดำเนินการเอกภาค  $f$  บนเซตจำกัด  $A$  ซึ่ง  $\lambda(f) = |A| - 2$  สำหรับทุกเซตจำกัด  $A$  ซึ่ง  $|A| \geq 3$  และด้วยลักษณะของสมาชิกในเซตจำกัด  $A$  ซึ่งจำแนกโดย  $\lambda(f)$  C. Ratanaprasert และ K. Denecke [9] ได้ให้ลักษณะเฉพาะของความสัมพันธ์สมมูลซึ่งขึ้นกับ  $f$  บนเซต  $A$  สำหรับ  $f$  ซึ่ง  $\lambda(f) = |A| - 1$  และ  $\lambda(f) = |A| - 2$

ในวิทยานิพนธ์นี้ เราศึกษาพีชคณิตเอกนามจำกัด  $(A; f)$  ซึ่ง  $\lambda(f) = 0$  และ  $\lambda(f) = 1$  สำหรับ  $|A| \geq 3$  โดยเรียกว่าพีชคณิตสมมาตรและพีชคณิตเกือบสมมาตรตามลำดับ โดยได้พิสูจน์ลักษณะเฉพาะของการดำเนินการเอกภาค  $f$  ที่ทำให้  $(A; f)$  เป็นพีชคณิตสมภาคแจกแจงและพีชคณิตสมภาคมอดูลาร์ และได้จำแนกพีชคณิตสมมาตรและพีชคณิตเกือบสมมาตรทั้งหมดซึ่งเป็นพีชคณิตสมภาคมอดูลาร์ โดยพิสูจน์ว่า

1. พีชคณิตสมมาตร  $(A; f)$  เป็นพีชคณิตสมภาคมอดูลาร์ ก็ต่อเมื่อแลตทิซคอนกรูเอนซ์ของ  $(A; f)$  อยู่ในรูปผลคูณของโซ่หรือผลรวมเชิงเส้นของผลคูณของโซ่กับโซ่ขนาด 1 หรือแลตทิซ  $M_3$ -head

2. พีชคณิตเกือบสมมาตร  $(A; f)$  เป็นพีชคณิตสมภาคมอดูลาร์ก็ต่อเมื่อแลตทิซคอนกรูเอนซ์ของ  $(A; f)$  อยู่ในรูป

$$\underline{2} \times P \text{ หรือ } \underline{2} \times (P \oplus 1) \text{ หรือ } \underline{2} \times L \text{ หรือ } M_3 \times P \text{ หรือ } M_3 \times (P \oplus 1) \text{ หรือ } M_3 \times L$$

โดยที่  $P$  แทนผลคูณของโซ่ และ  $L$  แทนแลตทิซ  $M_3$ -head

ภาควิชาคณิตศาสตร์

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ปีการศึกษา 2552

ลายมือชื่อนักศึกษา.....

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# Chapter 1

## Introduction

An algebra is a pair consisting of a nonempty set  $A$  of objects and a set  $F$  of operations defined on  $A$  which are called **fundamental operations**. An algebra is finite if  $A$  is a finite set and every fundamental operation is finitary. Finite algebras are important in many branches where finiteness plays a crucial role; for instance, in computer science (computer can work only with finite set of data). An importance area of activity has been tried to classify all finite algebras; for example, classification of all finite groups is a longstanding but to now unsolved mathematical problem.

At the beginning of the eighties, R.McKenzie and D.Hobby [8] developed a new theory, called “Tame Congruence Theory” which offers a structure theory for finite algebras.

For a fixed finite set  $A$ , let  $n := |A| \geq 2$  denote the cardinality of  $A$ . Let denote by  $H_A$  the set of all unary operations (transformations) defined on  $A$  and by  $S_A$  the set of all permutations defined on  $A$ . If  $f : A \rightarrow A$  is not a permutation, then  $|A| > |Imf|$  and there is a least natural number  $\lambda(f)$  with  $Im^{\lambda(f)} = Im^{\lambda(f)+1}$ . For  $f \in H_A$ , let  $Imf := \{f(a) | a \in A\}$  be the image of  $f$  and let  $\lambda(f)$  be the least non-negative integer  $m$  such that  $Imf^m = Imf^{m+1}$ . The number  $\lambda(f)$  is called the **pre-period** of  $f$ , sometimes also the **stabilizer** of  $f$ . Denecke and Wismath [3] proved the followings:-

- (i)  $0 \leq \lambda(f) \leq |Imf|$  and  $\lambda(f) \leq n - 1$ ,
- (ii)  $\lambda(f) = 0$  if and only if  $f$  is a permutation on  $A$ ,
- (iii)  $\lambda(f) = n - 1$  if and only if there exists an element  $d \in A$  such that

$$A = \{d, f(d), f^2(d), \dots, f^{n-1}(d)\} \text{ where } f^{n-1}(d) = f^n(d).$$

Note that Condition (iii) shows a characterization of all longest pre-periods  $f$ .

It is well-known that the congruence lattice of an algebra is uniquely determined by the unary polynomial operations of the algebra.

Let  $A$  be a finite set with  $|A| = n$  and let  $f$  be a unary operation on  $A$ . We call  $(A; f)$  a finite **unary algebra** and if  $|Imf| = |A|$  or  $|Imf| = 1$ , then  $(A; f)$  is called a **permutation algebra**. Permutation algebras play an important role in tame congruence theory. C. Ratanaprasert and K. Denecke [9] have characterized

all unary operations  $f$  on a finite set  $A$  with  $\lambda(f) = n - 2$  for  $n \geq 3$  and they also have characterized all equivalence relations on  $A$  which are invariant under a unary operation  $f$  with  $\lambda(f) = n - 1$  for  $n \geq 2$  and  $\lambda(f) = n - 2$  for  $n \geq 3$ . For applications, they showed that every finite group which has a unary polynomial operation with one of these properties is simple or has only normal subgroup of index 2. The results convince us that those pre-period of unary functions defined on a finite set will be a kind of notions for classifications of finite algebras.

In the thesis, we are interested in formulating a characterization of all unary operations defined on a finite set  $A$  with pre-period  $\lambda(f) = 0$  and  $\lambda(f) = 1$ .

In chapter 2, we collect some important basic concepts which will be used in the sequel.

In chapter 3, we study those results from C. Ratanaprasert and K. Dencke [9] which have characterized all unary operations  $f$  on a finite set  $A$  with  $\lambda(f) = n - 1$  for  $n \geq 2$  and  $\lambda(f) = n - 2$  for  $n \geq 3$  and they have also characterized all equivalence relations on  $A$  which are invariant under such unary operations.

In chapter 4 and 5, we define a symmetric algebra and a near-symmetric algebra to be a unary algebra  $(A; f)$  with  $\lambda(f) = 0$  and  $\lambda(f) = 1$ , respectively; and then we prove necessary and sufficient conditions of  $f$  whose symmetric and near-symmetric algebra are congruence distributive and congruence modular. We characterize all congruence modular symmetric and near-symmetric algebras by proving that:

1. a symmetric algebra  $(A; f)$  is congruence modular if and only if the lattice of all congruence relations on  $(A; f)$  is either a product of chains or a linear sum of a product of chains with one element chain or a  $M_3$ -head lattice.
2. A near-symmetric algebra is congruence modular if and only if the lattice of all congruence relations on  $(A; f)$  is one of the following forms:

$$\underline{2} \times P \text{ or } \underline{2} \times (P \oplus \underline{1}) \text{ or } \underline{2} \times L$$

or

$$M_3 \times P \text{ or } M_3 \times (P \oplus \underline{1}) \text{ or } M_3 \times L$$

where  $P$  is a product of chains and  $L$  is a  $M_3$ -head lattice.

# Chapter 2

## Basic Concepts

In this chapter, we study related topics which will be referred in sequel. All theorems are stated without proofs.

### 2.1 Basic Concepts in Universal Algebras

In this section, we will give some important concepts in algebra which will be referred in the sequel.

**Definition 2.1.** Let  $A$  be a set. A **partition**  $\mathcal{O}$  of  $A$  is a system not containing  $\emptyset$ , satisfying the property that: every  $a \in A$  is an element of exactly one  $B \in \mathcal{O}$ . The members of  $\mathcal{O}$  are called **blocks** of the partition  $\mathcal{O}$ .

**Definition 2.2.** Let  $A$  be a set. For a positive integer  $n$ , an  **$n$ -ary relation**  $r$  on  $A$  is defined as a subset of  $A^n$ .

**Definition 2.3.** A binary relation  $\theta$  on a set  $A$  is called an **equivalence relation** on  $A$  if the following three conditions hold for all  $a, b, c \in A$ :

- (i)  $a\theta a$ , (reflexivity)
- (ii)  $a\theta b$  implies  $b\theta a$ , (symmetry)
- (iii)  $a\theta b$  and  $b\theta c$  imply  $a\theta c$ . (transitivity)

**Lemma 2.4.** Let  $A$  be a finite set.

- (i) If  $E$  is an equivalence relation on  $A$ , then the set  $A/E$  of all equivalence classes with respect to  $E$  is a partition of  $A$ .
- (ii) If  $\mathcal{O}$  is a partition of  $A$ , then the relation  $E_{\mathcal{O}} = \{(x, y) \in A \times A \mid x, y \in P \text{ for some } P \in \mathcal{O}\}$  is an equivalence relation on  $A$ .

**Definition 2.5.** Let  $A$  be a set and  $n$  be a non-negative integer. An  **$n$ -ary operation** on the set  $A$  is a mapping  $f$  from  $A^n$  into  $A$ . If  $f$  is a mapping from  $A$  into  $A$  we called  $f$  a **unary operation** on  $A$ . Moreover,  $f$  is called a **permutation** on  $A$  if  $f$  is bijective.

**Remark 2.6.** [3] Any  $n$ -ary operation  $f$  on  $A$  can be regarded as an  $(n+1)$ -ary relation defined on  $A$ , called **graph** of  $f$ . This relation is defined by  $\{(a_1, \dots, a_{n+1}) \in A^{n+1} \mid f(a_1, \dots, a_n) = a_{n+1}\}$ .

**Definition 2.7.** Let  $A$  be a non-empty set. Let  $I$  be some non-empty index set, and let  $(f_i^A)_{i \in I}$  be a function which assigns to every element of  $I$  an  $n_i$ -ary operation  $f_i^A$  defined on  $A$ . Then the pair  $\bar{A} = (A; (f_i^A)_{i \in I})$  is called an **(indexed) algebra** (indexed by set  $I$ ). The set  $A$  is called the **base** or **carrier set** or **universe** of  $\bar{A}$ , and  $(f_i^A)_{i \in I}$  is called the **sequence of fundamental operations** of  $\bar{A}$ . For each  $i \in I$  the natural number  $n_i$  is called the **arity** of  $f_i^A$ . The sequence  $\tau := (n_i)_{i \in I}$  of all the arities is called the **type** of the algebra  $\bar{A}$ .

An algebra  $\bar{A} = (A; f)$  of type  $\tau = (1)$  with one unary operation is called a **unary algebra**.

**Definition 2.8.** Let  $\bar{B} = (B; (f_i^B)_{i \in I})$  be an algebra of type  $\tau$ . Then an algebra  $\bar{A}$  is called a **subalgebra** of  $\bar{B}$ , written as  $\bar{A} \subseteq \bar{B}$ , if the following conditions are satisfied:

- (i)  $\bar{A} = (A; (f_i^A)_{i \in I})$  is an algebra of type  $\tau$ ,
- (ii)  $A \subseteq B$ ,
- (iii) for each  $i \in I$ , the graph of  $f_i^A$  is a subset of the graph of  $f_i^B$ .

**Remark 2.9.** [3] Condition (iii) of the Definition refers to the graph of an operation, as defined in Remark 2.6. This condition means that the graph of  $f_i^A$  is the **restriction** of the graph  $f_i^B$  to  $A^{n_i} \subseteq B^{n_i}$ . We write  $f_i^A = f_i^B|_{A^{n_i}}$  for all  $i \in I$ , using  $f_i^B|_{A^{n_i}}$ , or just  $f_i^B|_A$  to denote the restriction of  $f_i^B$  to  $A^{n_i}$ .

**Definition 2.10.** Let  $A$  be a set, let  $\theta \subseteq A \times A$  be an equivalence relation on  $A$ , and let  $f$  be an  $n$ -ary operation on  $A$ . Then  $f$  is said to be **compatible** with  $\theta$ , or to **preserve**  $\theta$  or  $\theta$  is **invariant with respect to**  $f$ , if for all  $a_1, \dots, a_n, b_1, \dots, b_n \in A$ ,

$$(a_1, b_1) \in \theta, \dots, (a_n, b_n) \in \theta \text{ implies } (f(a_1, \dots, a_n), f(b_1, \dots, b_n)) \in \theta.$$

**Definition 2.11.** Let  $\bar{A} = (A; (f_i^A)_{i \in I})$  be an algebra of type  $\tau$ . An equivalence relation  $\theta$  on  $A$  is called a **congruence relation** on  $\bar{A}$  if all fundamental operations  $f_i^A$  are compatible with  $\theta$ . We denote by  $\text{Con } \bar{A}$  the set of all congruence relations of the algebra  $\bar{A}$ .

For every algebra  $\bar{A} = (A; (f_i^A)_{i \in I})$ , the trivial equivalence relations

$$\Delta_A := \{(a, a) \mid a \in A\} \quad \text{and} \quad \nabla_A := A \times A$$

are congruence relations.

**Theorem 2.12.** [3] The intersection  $\theta_1 \cap \theta_2$  of two congruence relations on an algebra  $\bar{A} = (A; (f_i^A)_{i \in I})$  is again a congruence relation on  $\bar{A}$ .

**Remark 2.13.** [3] Theorem 2.12 is also satisfied for arbitrary families of congruence relations on  $\bar{A}$ . But in general, the union of two congruence relations of an algebra  $\bar{A}$  is not a congruence relation, since this does not hold even for equivalence relations, as the following example shows. Let  $A = \{1, 2, 3\}$ . Define

$$\theta_1 = \{(1, 1), (2, 2), (3, 3), (1, 2), (2, 1)\} \quad \text{and} \quad \theta_2 = \{(1, 1), (2, 2), (3, 3), (2, 3), (3, 2)\}.$$

The relations  $\theta_1$  and  $\theta_2$  are equivalence relations, but

$$\theta_1 \cup \theta_2 = \{(1, 1), (2, 2), (3, 3), (1, 2), (2, 1), (2, 3), (3, 2)\}$$

is not an equivalence relation on  $A$  since it is not transitive:

$$(1, 2) \in \theta_1 \cup \theta_2 \text{ and } (2, 3) \in \theta_1 \cup \theta_2 \text{ but } (1, 3) \notin \theta_1 \cup \theta_2.$$

But although the union of two congruence relations  $\theta_1$  and  $\theta_2$  need not be a congruence relation, as in the subalgebra case we can use intersections of congruences to define a smallest congruence generated by the union. This motivates the following definition.

**Definition 2.14.** Let  $\bar{A}$  be an algebra and let  $\theta$  be a binary relation on  $A$ . We define the congruence relation  $\langle \theta \rangle_{\text{Con } \bar{A}}$  on  $\bar{A}$  generated by  $\theta$  to be the intersection of all congruence relations  $\theta'$  on  $\bar{A}$  which contain  $\theta$ :

$$\langle \theta \rangle_{\text{Con } \bar{A}} := \cap \{ \theta' \mid \theta' \in \text{Con } \bar{A} \text{ and } \theta \subseteq \theta' \}.$$

## 2.2 Number Theory

In this section, we introduce and present some basic properties of a number theory.

**Theorem 2.15.** [4] **The Division Algorithm**

Let  $a$  be an integer and  $b$  a positive integer. Then there exist unique integers  $q$  and  $r$  such that

$$a = bq + r$$

where  $0 \leq r < b$ .

In particular, if  $r = 0$  then  $a = bq$ .

**Definition 2.16.** Let  $d$  and  $n$  be integers where  $d \neq 0$ . We say that  $d$  **divides**  $n$  if there is an integer  $k$  such that  $n = dk$  and denoted by  $d|n$ .

**Definition 2.17.** Let  $a, b$  and  $m$  be integers with  $m > 0$ . We say that  $a$  **is congruent to  $b$  modulo  $m$** , and we write  $a \equiv b \pmod{m}$ , if  $m$  divides the difference  $a - b$ ; that is,  $m|(a - b)$ . The number  $m$  is called the **modulus of the congruence**.

In particular,  $a \equiv 0 \pmod{m}$  if and only if  $m|a$ . Hence,  $a \equiv b \pmod{m}$  if and only if  $a - b \equiv 0 \pmod{m}$ .

If  $m \nmid (a - b)$  we write  $a \not\equiv b \pmod{m}$  and say that  $a$  and  $b$  are incongruent mod  $m$ .

**Theorem 2.18.** [4] Let  $a$  and  $b$  be integers and let  $m$  and  $d$  be positive integers. If  $a \equiv b \pmod{m}$  and  $d|m$ , then  $a \equiv b \pmod{d}$ .

**Theorem 2.19.** [4] *Congruence is an equivalence relation on the set of all integers. That is, we have*

- (i)  $a \equiv a \pmod{m}$ , (reflexivity)
- (ii)  $a \equiv b \pmod{m}$  implies  $b \equiv a \pmod{m}$ , (symmetry)
- (iii)  $a \equiv b \pmod{m}$  and  $b \equiv c \pmod{m}$  imply  $a \equiv c \pmod{m}$ . (transitivity)

**Theorem 2.20.** [4] *For arbitrary integers  $a$  and  $b$ ,  $a \equiv b \pmod{m}$  if and only if  $a$  and  $b$  leave the same non-negative remainder when divided by  $m$ .*

**Definition 2.21.** *Let  $m$  be a positive integer. If  $ab \equiv 1 \pmod{m}$  then both  $a$  and  $b$  are **relatively prime** to  $m$ ; that is,  $\gcd(a, m) = 1$  and  $\gcd(b, m) = 1$ .*

**Definition 2.22.** *Let  $m$  be a positive integer. For each integer  $a$  we define*

$$[a] = \{x \mid x \equiv a \pmod{m}\}.$$

*In other words,  $[a]$  is the set of all integers that are congruent to  $a$  modulo  $m$ . We call  $[a]$  **residue class of  $a$  modulo  $m$** . Some people call  $[a]$  the congruence class or equivalence class of  $a$  modulo  $m$ .*

**Theorem 2.23.** [4] *For  $m > 0$  we have*

$$[a] = \{mq + a \mid q \in \mathbb{Z}\}.$$

The following properties of residue classes are easy consequences of the definition.

**Theorem 2.24.** [4] *For a given modulus  $m > 0$ , we have:*

- (i)  $[a] = [b]$  if and only if  $a \equiv b \pmod{m}$ .
- (ii) Two integers  $x$  and  $y$  are in the same residue class if and only if  $x \equiv y \pmod{m}$ .
- (iii) There are exactly  $m$  distinct residue classes modulo  $m$ , namely

$$[0], [1], [2], \dots, [m-1].$$

*Moreover, their union is the set of all integers.*

**Remark 2.25.** [4] *Theorem 2.24 (iii) shows that  $\{[0], [1], [2], \dots, [m-1]\}$  is a partition of integer,  $\mathbb{Z}$ .*

**Definition 2.26.** *We define*

$$\mathbb{Z}_m = \{[a] \mid a \in \mathbb{Z}\},$$

*that is,  $\mathbb{Z}_m$  is the set of all residue classes modulo  $m$ .*

From Theorem 2.24 (iii), we have

$$\mathbb{Z}_m = \{[0], [1], [2], \dots, [m-1]\}$$

and since no two of the residue classes  $[0], [1], [2], \dots, [m-1]$  are equal we see that  $\mathbb{Z}_m$  has exactly  $m$  elements. If we choose

$$a_0 \in [0], a_1 \in [1], \dots, [m-1]$$

then

$$[a_0] = [0], [a_1] = [1], \dots, [a_{m-1}] = [m-1].$$

So, we have

$$\mathbb{Z}_m = \{[a_0], [a_1], \dots, [a_{m-1}]\}.$$

**Definition 2.27.** A set of  $m$  integers

$$\{a_0, a_1, \dots, a_{m-1}\}$$

is called a **complete residue system modulo  $m$**  if

$$\mathbb{Z}_m = \{[a_0], [a_1], \dots, [a_{m-1}]\}.$$

**Example 1.** For  $m > 0$ , the set

$$\{0, 1, 2, \dots, m-1\}$$

is a complete residue system modulo  $m$ .

**Theorem 2.28.** [4] Let  $a$  and  $b$  be integers and let  $m$  be a positive integer. Then  $a \equiv b \pmod{m}$  if and only if  $a$  and  $b$  have the same least residue modulo  $m$ .

## 2.3 Ordered Sets

In this section, we introduce and present some basic properties of an ordered set.

**Definition 2.29.** Let  $P$  be a nonempty set. An **order** (or **partial order**) on  $P$  is a binary relation  $\leq$  on  $P$  satisfying the following three conditions for all  $x, y, z \in P$ ,

- (i)  $x \leq x$ , (reflexivity)
- (ii)  $x \leq y$  and  $y \leq x$  imply  $x = y$ , (anti-symmetry)
- (iii)  $x \leq y$  and  $y \leq z$  imply  $x \leq z$ . (transitivity)

A set  $P$  equipped with an order relation  $\leq$  is said to be an **ordered set** (or **partially ordered set**) and denoted by  $(P; \leq)$ . Some authors use the shorthand **poset**.

**Example 2.** The set of all non-negative integers  $\mathbb{N}_0$  with division form an ordered set which denoted by  $(\mathbb{N}_0; |)$ .



**Definition 2.30.** Let  $P$  be an ordered set. Then  $P$  is a **chain** if for all  $x, y \in P$ , either  $x \leq y$  or  $y \leq x$  (that is, if any two elements of  $P$  are comparable). Alternative names for a chain are **linearly ordered set** and **totally ordered set**. At the opposite extreme from a chain is an anti-chain. The ordered set  $P$  is an **anti-chain** if  $x \leq y$  in  $P$  only if  $x = y$ .

Let  $P$  be the  $n$ -element set  $\{0, 1, 2, \dots, n-1\}$ . We write  $\underline{n}$  to denote the chain obtained by giving  $P$  the order in which  $0 < 1 < 2 < \dots < n-1$  and  $\bar{n}$  for  $P$  regarded as an anti-chain.

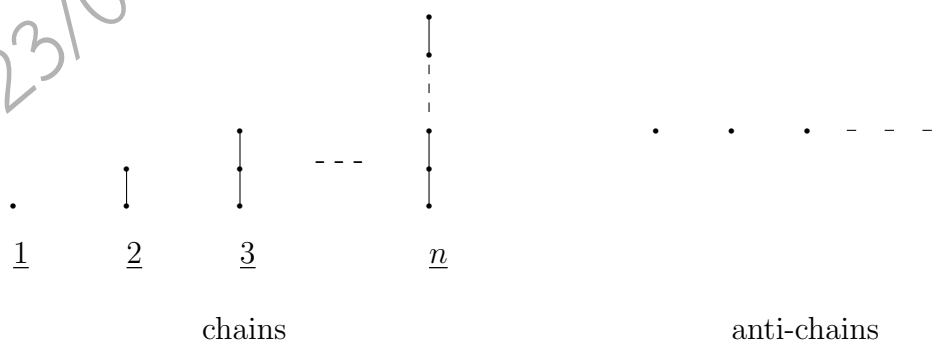


Figure 1. Chains and anti-chains

**Definition 2.31.** Let  $P$  and  $Q$  be ordered sets. A map  $\varphi : P \rightarrow Q$  is said to be  
 (i) **order-preserving** (or, alternatively, **monotone**) if  $x \leq y$  in  $P$  implies  $\varphi(x) \leq \varphi(y)$  in  $Q$ ;  
 (ii) an **order-embedding** if  $x \leq y$  in  $P$  if and only if  $\varphi(x) \leq \varphi(y)$  in  $Q$ ;  
 (iii) an **order-isomorphism** if it is an order-embedding mapping  $P$  onto  $Q$ .

Whenever  $\varphi : P \rightarrow Q$  is an order-embedding we will write  $\varphi : P \hookrightarrow Q$ . And if there exists an order-isomorphism from  $P$  to  $Q$ , we say that  $P$  and  $Q$  are **order-isomorphic** and denote by  $P \cong Q$ .

**Definition 2.32.** Let  $P$  be an ordered set and let  $\varphi : P \rightarrow P$  be a map. We say that  $x \in P$  is a **fixed point** of  $\varphi$  if  $\varphi(x) = x$ .

**Remark 2.33.** [2] (i) An order-embedding is automatically a one-to-one mapping.  
 (ii) An order-isomorphism is bijective.  
 (iii) Ordered sets  $P$  and  $Q$  are order-isomorphic if and only if there exist order-preserving maps  $\varphi : P \rightarrow Q$  and  $\psi : Q \rightarrow P$  such that  $\varphi \circ \psi = id_Q$  and  $\psi \circ \varphi = id_P$  (where  $id_S : S \rightarrow S$  denotes the **identity map** on  $S$  given by  $id_S(x) = x$  for all  $x \in S$ ).

**Definition 2.34.** Let  $P$  be an ordered set and  $Q \subseteq P$ .

- (i)  $Q$  is a **down-set** (alternative terms include **decreasing set** or **order ideal**) if, whenever  $x \in Q, y \in P$  and  $y \leq x$ , we have  $y \in Q$ .
- (ii) Dually,  $Q$  is an **up-set** (alternative terms are **increasing set** or **order filter**) if, whenever  $x \in Q, y \in P$  and  $y \geq x$ , we have  $y \in Q$ .

Given an arbitrary subset  $Q$  of  $P$  and  $x \in P$ , we define

$$\downarrow Q = \{y \in P \mid (\exists x \in Q)y \leq x\} \text{ and } \uparrow Q = \{y \in P \mid (\exists x \in Q)y \geq x\},$$

$$\downarrow x = \{y \in P \mid y \leq x\} \text{ and } \uparrow x = \{y \in P \mid y \geq x\}.$$

These are read “down  $Q$ ”, etc. It is easily checked that  $\downarrow Q$  is the smallest down-set containing  $Q$  and that  $Q$  is a down-set if and only if  $Q = \downarrow Q$ , and dually for  $\uparrow Q$ . Clearly,  $\downarrow \{x\} = \downarrow x$ .

**Definition 2.35.** Let  $P$  be an ordered set and  $Q \subseteq P$ . Then

(i)  $a \in Q$  is a **maximal** element of  $Q$  if  $a \leq x \in Q$  implies  $a = x$ ;

(ii)  $a \in Q$  is the **greatest** (or **maximum**) element of  $Q$  if  $a \geq x$  for every  $x \in Q$ , and in this case we write  $a = \max Q$ .

**Definition 2.36.** Let  $P$  and  $Q$  be ordered sets. The **linear sum**  $P \oplus Q$  is defined by taking the following order relation on  $P \cup Q$ :  $x \leq y$  if and only if

(i)  $x, y \in P$  and  $x \leq y$  in  $P$ ,

(ii)  $x, y \in Q$  and  $x \leq y$  in  $Q$ ,

(iii)  $x \in P, y \in Q$ .

A diagram for  $P \oplus Q$  is obtained by placing a diagram for  $P$  directly below a diagram for  $Q$  and then adding a line segment from each maximal element of  $Q$  to each minimal element of  $Q$ . The lifting construction is a special case of a linear sum  $1 \oplus P$ . Similarly,  $P \oplus 1$  represents  $P$  with a (new) top element added.

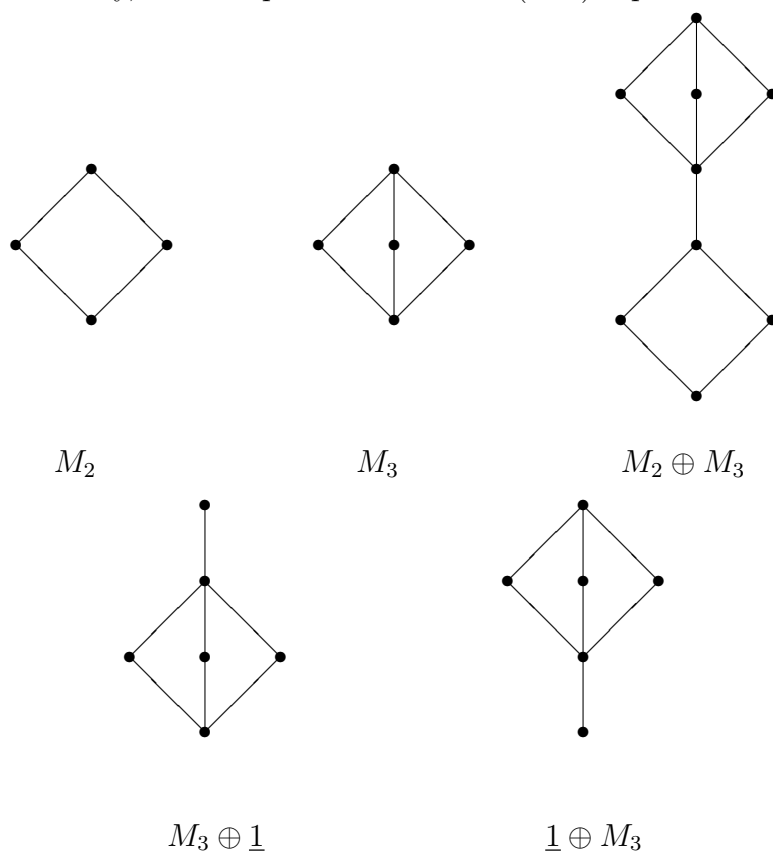


Figure 2. Linear sum

**Definition 2.37.** Let  $P_1, P_2, \dots, P_n$  be ordered sets. The **cartesian product**  $P_1 \times P_2 \times \dots \times P_n$  can be made into an ordered set by imposing the coordinatewise order defined by

$$(x_1, x_2, \dots, x_n) \leq (y_1, y_2, \dots, y_n) \iff x_i \leq y_i \text{ in } P_i \text{ for all } i \in \{1, 2, \dots, n\}.$$

Given an ordered set  $P$ , the notation  $P_n$  is used as shorthand for the  $n$ -fold product  $P \times P \times \dots \times P$ .

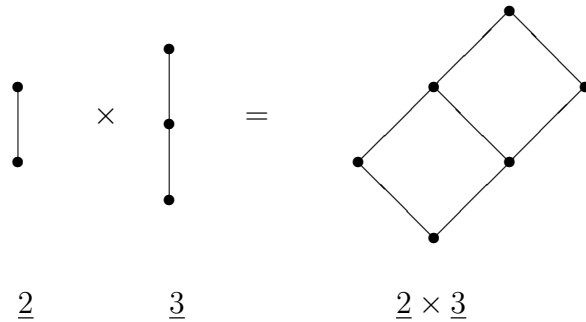


Figure 3. Some cartesian products

## 2.4 Lattices

It is a fundamental property of the real numbers,  $\mathbb{R}$ , that if  $I$  is a closed and bounded interval in  $\mathbb{R}$ , then every subset of  $I$  has both a least upper bound (or supremum) and a greatest lower bound (or infimum) in  $I$ . These concepts pertain to any ordered set.

**Definition 2.38.** Let  $P$  be an ordered set and let  $S \subseteq P$ . An element  $x \in P$  is an **upper bound** of  $S$  if  $s \leq x$  for all  $s \in S$ . A **lower bound** is defined dually. The set of all upper bounds of  $S$  is denoted by  $S^u$  (read as ‘ $S$  upper’) and the set of all lower bounds of  $S$  is denoted by  $S^l$  (read as ‘ $S$  lower’):

$$S^u = \{x \in P \mid (\forall s \in S) s \leq x\} \text{ and } S^l = \{x \in P \mid (\forall s \in S) s \geq x\}.$$

If  $S^u$  has a least element,  $x$ , then  $x$  is called the **least upper bound** of  $S$  and is denoted by  $\sup S$ . Equivalently,  $x$  is the least upper bound of  $S$  if

- (i)  $x$  is an upper bound of  $S$ , and
- (ii)  $x \leq y$  for all upper bound  $y$  of  $S$ .

Dually, if  $S^l$  has a largest element,  $x$ , then  $x$  is called the **greatest lower bound** of  $S$  or the **infimum** of  $S$  and is denoted by  $\inf S$ .

Notation: We write  $\vee S$  instead of  $\sup S$  whenever  $\sup S$  exists, similarly we write  $\wedge S$  instead of  $\inf S$  whenever  $\inf S$  exists.

Notation: We write  $x \vee y$  (read as ‘ $x$  joint  $y$ ’) in place of  $\sup\{x, y\}$  when it exists and  $x \wedge y$  (read as ‘ $x$  meet  $y$ ’) in place of  $\inf\{x, y\}$  when it exists.

**Definition 2.39.** Let  $P$  be a non-empty ordered set.

- (i) If  $x \vee y$  and  $x \wedge y$  exist for all  $x, y \in P$ , then  $P$  is called a **lattice**.
- (ii) If  $\vee S$  and  $\wedge S$  exist for all  $S \subseteq P$ , then  $P$  is called a **complete lattice**.

If  $P$  is a lattice, then  $\vee$  and  $\wedge$  can be considered as binary operations on  $P$  and we have an algebraic structure  $(P; \vee, \wedge)$ .

Recall that  $k$  is the greatest common divisor of  $m$  and  $n$  if

- (i)  $k$  divides both  $m$  and  $n$  (that is,  $k|m$  and  $k|n$ ),
- (ii) if  $l$  divides both  $m$  and  $n$ , then  $l$  divides  $k$  (that is,  $l|k$  for all  $k \in \{m, n\}^l$ ).

Thus the greatest common divisor of  $m$  and  $n$  is precisely the meet of  $m$  and  $n$  in  $(\mathbb{N}_0; |)$ . Dually, the join of  $m$  and  $n$  in  $(\mathbb{N}_0; |)$  is given by their least common multiple. These statement remain valid when  $m$  or  $n$  equals 0. Thus  $(\mathbb{N}_0; |)$  is a lattice in which

$$m \vee n = \text{lcm}\{m, n\} \quad \text{and} \quad m \wedge n = \text{gcd}\{m, n\}.$$

**Example 3.** Consider the ordered set  $(\mathbb{N}_0; |)$  of non-negative integers ordered by division.

**Theorem 2.40.** [3] For every algebra  $\bar{A}$ , the structure  $(\text{Con } \bar{A}; \wedge, \vee)$  with  $\wedge : \text{Con } \bar{A} \times \text{Con } \bar{A} \longrightarrow \text{Con } \bar{A}$  define by  $(\theta_1, \theta_2) \longmapsto \theta_1 \cap \theta_2$ ,  $\vee : \text{Con } \bar{A} \times \text{Con } \bar{A} \longrightarrow \text{Con } \bar{A}$  define by  $(\theta_1, \theta_2) \longmapsto \langle \theta_1 \cup \theta_2 \rangle_{\text{Con } \bar{A}}$  is a lattice, called the congruence lattice  $\text{Con}(\bar{A})$  of  $\bar{A}$ .

**Definition 2.41.** Let  $L$  be a lattice and  $\emptyset \neq M \subseteq L$ . Then  $M$  is a **sublattice** of  $L$  if  $a, b \in M$  implies  $a \vee b \in M$  and  $a \wedge b \in M$ .

**Definition 2.42.** Let  $L$  and  $K$  be lattices. Define  $\vee$  and  $\wedge$  coordinatewise on  $L \times K$ , as follows:

$$\begin{aligned} (l_1, k_1) \vee (l_2, k_2) &= (l_1 \vee l_2, k_1 \vee k_2), \\ (l_1, k_1) \wedge (l_2, k_2) &= (l_1 \wedge l_2, k_1 \wedge k_2). \end{aligned}$$

It is routine to check that  $L \times K$  is a lattice. Also

$$\begin{aligned} (l_1, k_1) \vee (l_2, k_2) &= (l_2, k_2) \iff l_1 \vee l_2 = l_2 \quad \text{and} \quad k_1 \vee k_2 = k_2 \\ &\iff l_1 \leq l_2 \quad \text{and} \quad k_1 \leq k_2 \\ &\iff (l_1, k_1) \vee (l_2, k_2), \quad \text{with respect to order on } L \times K. \end{aligned}$$

**Definition 2.43.** Let  $L$  be a lattice with the greatest element 1 and let  $c \in L$ . We say that  $c$  is a **co-atom** of  $L$  if no elements  $x \in L$  such that  $c < x < 1$ .

**Theorem 2.44.** Let  $L$  be a finite lattice. Then for each element  $x \in L$ , there exist a co-atom  $a \in L$  such that  $x \leq a$ .

**Definition 2.45.** Let  $L$  and  $K$  be lattices. A map  $f : L \longrightarrow K$  is said to be a **homomorphism** (or, for emphasis, **lattice homomorphism**) if for all  $a, b \in L$ ,

$$f(a \vee b) = f(a) \vee f(b) \quad \text{and} \quad f(a \wedge b) = f(a) \wedge f(b).$$

A bijective homomorphism is a **(lattice-)isomorphism**.

**Definition 2.46.** Let  $L$  be a lattice.

(i)  $L$  is said to be **distributive** if it satisfies the distributive law,

$$a \wedge (b \vee c) = (a \wedge b) \vee (a \wedge c) \text{ for all } a, b, c \in L.$$

(ii)  $L$  is said to be **modular** if it satisfies the modular law,

$$a \geq c \Rightarrow a \wedge (b \vee c) = (a \wedge b) \vee c \text{ for all } a, b, c \in L.$$

**Theorem 2.47.** [2] *The  $M_3 - N_5$  Theorem.*

Let  $L$  be a lattice and let  $M_3$  and  $N_5$  be lattices as shown in Figure 4. Then

(i)  $L$  is distributive if and only if  $L$  has no sublattices isomorphic to both  $N_5$  and  $M_3$ .

(ii)  $L$  is modular if and only if  $L$  has no sublattices isomorphic to  $N_5$ .

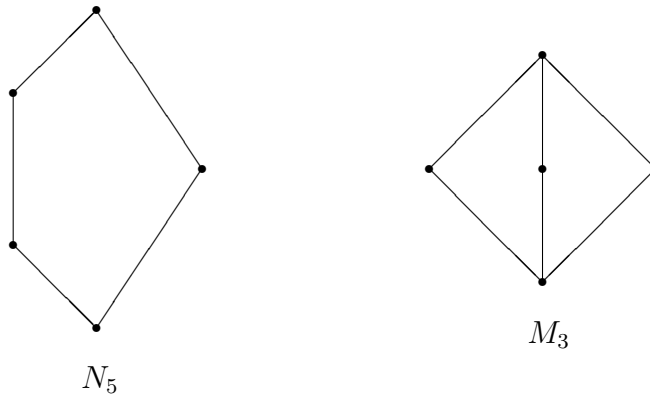


Figure 4. The  $M_3 - N_5$

**Lemma 2.48.** [2] *Every chain is distributive.*

**Theorem 2.49.** [2] *If  $L$  is a distributive lattice, then  $L$  is a modular lattice.*

**Proposition 2.50.** [2]

(i) If  $L$  is a modular (distributive) lattice, then every sublattice of  $L$  is modular (distributive).

(ii) If  $L$  is a modular (distributive) lattice and  $K$  is a lattice isomorphic to the lattice  $L$ , then  $K$  is modular (distributive).

(iii) If  $L$  and  $K$  are modular (distributive) lattices, then  $L \times K$  is modular (distributive).

(iv) If  $L$  is a lattice isomorphic to a sublattice of a product of modular (distributive) lattice, then  $L$  is modular (distributive).

(v) If  $L$  is a modular (distributive) lattice and  $K$  is the image of  $L$  under a homomorphism, then  $K$  is modular (distributive).

**Definition 2.51.** Let  $\bar{A}$  be an algebra.

(i)  $\bar{A}$  is called **congruence-distributive** if its congruence lattice  $Con(\bar{A})$  is distributive.

(ii)  $\bar{A}$  is called **congruence-modular** if its congruence lattice  $Con(\bar{A})$  is modular.

**Proposition 2.52.** [2] For each  $n \in \mathbb{N}$ , let  $n = p_1^{k_1} p_2^{k_2} \dots p_r^{k_r}$  where the  $p_i$  are pairwise distinct primes. Then

$$\mathbb{1}_n \cong (k_1 \oplus 1) \times (k_2 \oplus 1) \times \dots \times (k_r \oplus 1).$$

# Chapter 3

## Unary Algebras with Long Pre-periods

In 2002, K. Denecke and S.L. Wismath[3] have characterized all unary operations  $f$  on a finite set  $A$  with  $\lambda(f) = |A| - 1$  and in 2007, C. Ratanaprasert and K. Denecke[9] have characterized all unary operations  $f$  on a finite set  $A$  with  $\lambda(f) = |A| - 2$  and they have also characterized all equivalence relations on  $A$  which are invariant under a unary operation  $f$  with  $\lambda(f) = |A| - 1$  and  $\lambda(f) = |A| - 2$  which shown in the chapter.

### 3.1 Unary Operations with Long Pre-periods

In the section, we consider unary operations  $f$  on  $n$  - element set  $A$  with  $\lambda(f) = n - 1$  and  $\lambda(f) = n - 2$  and study some elementary properties.

**Definition 3.1.** Let  $A$  be a finite set and let  $f$  be a unary operation on  $A$ . Then **pre-period** (or the stabilizer) of  $f$  is denoted by  $\lambda(f)$ , is the least non-negative integer such that  $Im f^{\lambda(f)} = Im f^{\lambda(f)+1}$  where  $f^0 = id_A$ .

**Example 4.** Let  $A = \{0, 1, 2, 3, 4, 5\}$  and let  $f : A \rightarrow A$  be defined on  $A$  by the following table:

$a$	0	1	2	3	4	5
$f(a)$	1	2	3	4	2	4

Then, we have

	$f$	$f^2$	$f^3$
0	1	2	3
1	2	3	4
2	3	4	2
3	4	2	3
4	2	3	4
5	4	2	3

So,  $Im f^2 = \{2, 3, 4\} = Im f^3$ . It follows that the pre-period  $\lambda(f) = 2$ .

**Lemma 3.2.** [9] Let  $A$  be a finite set with  $|A| = n \geq 2$  and let  $f$  be a unary operation on  $A$ . Then

- (i)  $Imf^{k+1} \subseteq Imf^k$  for all integer  $k \geq 0$ ,
- (ii)  $0 \leq \lambda(f) \leq |Imf|$  and  $\lambda(f) \leq n - 1$ ,
- (iii)  $\lambda(f) = 0$  if and only if  $f$  is the permutation on  $A$ ,
- (iv)  $\lambda(f) = n - 1$  if and only if there exists an element  $d \in A$  such that

$$A = \{d, f(d), f^2(d), \dots, f^{n-1}(d)\} \text{ where } f^{n-1}(d) = f^n(d).$$

*Proof.* (i) Let  $k$  be a non-negative integer and  $f^0 = id_A$ . We will show that  $Imf^{k+1} \subseteq Imf^k$ . Let  $y \in Imf^{k+1}$ . Then there is a  $x \in A$  such that  $y = f^{k+1}(x) = f^k(f(x))$ . Since  $f$  is a function from  $A$  into  $A$  and  $x \in A$ , we have  $f(x) \in Imf \subseteq A$ , it follows that  $y \in Imf^k$ . Hence  $Imf^{k+1} \subseteq Imf^k$  for all integer  $k \geq 0$ .

(ii) Since  $\lambda(f)$  is the least natural number such that  $Imf^{\lambda(f)} = Imf^{\lambda(f)+1}$  and by part (i), we have  $Imf^{k+1} \subset Imf^k$  for all  $k \in \{0, 1, \dots, \lambda(f) - 1\}$  and  $0 \leq \lambda(f) \leq |Imf|$ . If  $Imf = A$ , then  $\lambda(f) = 0 < n - 1$ . Assume that  $Imf \subset A$ . Then  $|Imf| < |A| = n$ . Thus  $|Imf| \leq n - 1$ , and so,  $\lambda(f) \leq n - 1$ .

(iii) Assume that  $\lambda(f) = 0$ . Then  $A = Imid_A = Imf^0 = Imf^{0+1} = Imf$ , which implies that  $f$  is surjective; and so, it is injective since  $A$  is finite. Hence  $f$  is bijective.

Conversely, assume that  $f$  is a permutation on  $A$ . Since  $f$  is onto,  $Imf = A = Imid_A = Imf^0$ . It follows that  $\lambda(f) = 0$ .

(iv) Assume that  $\lambda(f) = n - 1$ . Then  $n - 1$  is the least natural number such that  $Imf^{n-1} = Imf^n$ . By the part (i), we have  $Imf^{k+1} \subseteq Imf^k$  for  $k \in \{0, 1, 2, \dots\}$ . If  $Imf^{k+1} = Imf^k$  for some  $k$ , then by definition of pre-period of  $f$  we have  $n - 1 \leq k$ . Thus for  $k < n - 1$ , we have  $Imf^{k+1} \subset Imf^k$ . Since  $Imf \subset A$ , there is a  $d \in A$  such that  $d \notin Imf$  and  $f^k(d) \in Imf^k$  for  $k \in \{1, 2, \dots, n - 1\}$ . Therefore,  $\{d, f(d), f^2(d), \dots, f^{n-1}(d)\} \subseteq A$ . Next, we want to show that  $d, f(d), f^2(d), \dots, f^{n-1}(d)$  are different. Suppose that there are integer  $i$  and  $j$  with  $0 \leq i < j \leq n - 1$  such that  $f^i(d) = f^j(d)$ . Then  $Imf^i = \{f^i(d), f^{i+1}(d), \dots, f^{j-1}(d)\} = Imf^{i+1}$ , which contradicts to the fact that  $\lambda(f) = n - 1$ . Therefore,  $d, f(d), f^2(d), \dots, f^{n-1}(d)$  are different. Since  $|\{d, f(d), f^2(d), \dots, f^{n-1}(d)\}| = n = |A|$ , we have  $\{d, f(d), f^2(d), \dots, f^{n-1}(d)\} = A$ . Also, since  $Imf^{n-1} = Imf^n$ , we get  $f^{n-1}(d) = f^n(d)$ .

Conversely, assume that  $A = \{d, f(d), f^2(d), \dots, f^{n-1}(d)\}$  where  $f^{n-1}(d) = f^n(d)$ . Then  $Imf^{n-1} = \{f^{n-1}(d)\} = \{f^n(d)\} = Imf^n$ . It remain to show that  $n - 1$  is the least natural number such that  $Imf^{n-1} = Imf^n$ . Suppose that there exists an integer  $m < n - 1$  such that  $Imf^m = Imf^{m+1}$ . Since  $A = \{d, f(d), f^2(d), \dots, f^{n-1}(d)\}$ , we get  $Imf^m = \{f^m(d), f^{m+1}(d), \dots, f^{n-1}(d)\}$  and  $Imf^{m+1} = \{f^{m+1}(d), f^{m+2}(d), \dots, f^{n-1}(d)\}$ . Also, since  $Imf^m = Imf^{m+1}$ , we get



$f^m(d) = f^k(d)$  for some integer  $k$  with  $m+1 \leq k \leq n-1$ , which is a contradiction. Therefore,  $n-1$  is the least natural number such that  $Imf^{n-1} = Imf^n$ . Hence,  $\lambda(f) = n-1$ .  $\square$

**Definition 3.3.** A unary operation  $f : A \longrightarrow A$  with  $|A| = n \geq 2$  and  $\lambda(f) = n-1$  is called a **long-tailed function**, for short, **LT-function**.

Note that Lemma 3.2 (iv) give a characterization of LT-functions.

**Example 5.** Let  $A = \{1, 2, 3, 4, 5\}$  and let  $g : A \longrightarrow A$  be a unary operation defined by:

$a$	1	2	3	4	5
$g(a)$	2	3	4	5	5

Then, we have

	$g$	$g^2$	$g^3$	$g^4$	$g^5$
1	2	3	4	5	5
2	3	4	5	5	5
3	4	5	5	5	5
4	5	5	5	5	5
5	5	5	5	5	5

So,  $Img^4 = \{5\} = Img^5$ . It follows that the pre-period  $\lambda(g) = 4 = 5 - 1$ . Therefore,  $g$  is a LT-function. By Lemma 3.2 (iv), there is  $1 \in A$  such that

$$A = \{1, g(1), g^2(1), g^3(1), g^4(1)\}.$$

**Definition 3.4.** Let  $A$  be a finite set with  $|A| = n \geq 3$ . Then a unary operation  $f$  defined on  $A$  with  $\lambda(f) = n-2$  is said to be **LT<sub>1</sub>-function**.

The following lemma shows some properties of LT<sub>1</sub>-function.

**Lemma 3.5.** [9] Let  $A$  be a finite set with  $|A| = n \geq 3$  and let  $f$  be a LT<sub>1</sub>-function on  $A$ . Then the following properties are satisfied :

- (i)  $A \supset Imf \supset Imf^2 \supset \dots \supset Imf^{n-2}$ ,
- (ii)  $|Imf^k| = |Imf^{k+1}| + 1$  for  $k = 1, \dots, n-3$ ,
- (iii)  $|Imf^{n-2}| = 1$  or  $|Imf^{n-2}| = 2$ ,
- (iv) if  $|Imf^{n-2}| = 1$ , then  $|A| = |Imf| + 2$ ,
- (v) if  $|Imf^{n-2}| = 2$ , then  $|A| = |Imf| + 1$ .

*Proof.* (i) By Lemma 3.2(i), we have  $A \supseteq Imf \supseteq Imf^2 \supseteq \dots \supseteq Imf^{n-2}$ . If  $Imf^{k+1} = Imf^k$  for some  $k \in \{0, 1, \dots, n-2\}$ , then the pre-period of  $f$  implies that  $k = n-2$ . Therefore,  $A \supset Imf \supset Imf^2 \supset \dots \supset Imf^{n-2}$ .

(ii) By part (i), we have  $|Imf^{k+1}| \leq |Imf^k| - 1$  for all  $0 \leq k < n-2$ . Thus  $|Imf^{k+1}| + 1 \leq |Imf^k|$  for all  $0 \leq k < n-2$ . Suppose that there is an integer  $k$

with  $1 \leq k < n-2$  such that  $|Imf^k| > |Imf^{k+1}|+1$ . Then  $|Imf^k| \geq |Imf^{k+1}|+2$ . So, there are  $a \neq b$  and  $c \neq d$  in  $Imf^k$  such that  $f(a) = f(b)$  and  $f(c) = f(d)$  in  $Imf^{k+1}$ . Since  $a, b, c, d \in Imf^k$  with  $a \neq b$  and  $c \neq d$ , there are  $x \neq y$  and  $u \neq v$  in  $A$  such that  $a = f^k(x), b = f^k(y), c = f^k(u)$  and  $d = f^k(v)$ . Thus  $f^k(x) = a \neq b = f^k(y)$  and  $f^k(u) = c \neq d = f^k(v)$  in  $A$  with  $f(a) = f(b)$  and  $f(c) = f(d)$  in  $Imf$ . Hence  $|A| \geq |Imf| + 2$ .

Therefore,

$$\begin{aligned} |A| &\geq |Imf| + 2 \geq |Imf^2| + 2 + 1 \geq \dots \geq |Imf^k| + k + 1 \\ &\geq |Imf^{k+1}| + 2 + k + 1 \\ &\geq |Imf^{k+2}| + k + 2 + 2 \geq \dots \geq |Imf^{n-2}| + (n-2) + 2 \\ &\geq 1 + n - 2 + 2 \\ &= n + 1 \\ &> n, \end{aligned}$$

which is a contradiction. Thus  $|Imf^{k+1}| + 1 \geq |Imf^k|$  for all  $1 \leq k < n-2$ . Hence,  $|Imf^k| = |Imf^{k+1}| + 1$  for all  $1 \leq k < n-2$ .

(iii) Suppose that  $|Imf^{n-2}| \geq 3$ . Since  $Imf \subset A$  and by part (ii), we have

$$\begin{aligned} |A| &\geq |Imf| + 1 = |Imf^2| + 2 = \dots = |Imf^{n-2}| + (n-2) \\ &\geq 3 + (n-2) = n + 1 > n, \end{aligned}$$

which is a contradiction. Therefore,  $|Imf^{n-2}| \leq 2$ ; that is,  $|Imf^{n-2}| = 1$  or  $|Imf^{n-2}| = 2$ .

(iv) Assume that  $|Imf^{n-2}| = 1$ . Let  $Imf^{n-2} = \{a\}$ . By part (i) and part (ii), we get  $|Imf^k| = |Imf^{k+1}|+1$  and  $Imf^{k+1} \subset Imf^k$  for all  $k \in \{1, 2, \dots, n-3\}$ . We claim that there are distinct elements  $a_1, a_2, \dots, a_{j-1}$  such that  $Imf^{n-j} = \{a_1, a_2, \dots, a_{j-1}\}$  for all  $j = 2, 3, \dots, n-1$ . If  $j = 2$ , then  $Imf^{n-2} = \{a\}$ . Let  $m$  be a positive integer such that  $m \geq 2$  and assume that there are distinct elements  $a_1, a_2, \dots, a_{m-1}$  such that  $Imf^{n-m} = \{a_1, a_2, \dots, a_{m-1}\}$ . Since  $Imf^{n-m} \subset Imf^{n-(m+1)}$ , there is a  $a_m \in Imf^{n-(m+1)}$  such that  $a_m \notin Imf^{n-m}$ . Also, since  $Imf^{n-m} = \{a_1, a_2, \dots, a_{m-1}\}$ , it follows that  $Imf^{n-(m+1)} = \{a_1, a_2, \dots, a_{m-1}, a_m\}$  where  $a_1, a_2, \dots, a_{m-1}, a_m$  are distinct elements of  $Imf^{n-(m+1)}$ . Hence by mathematical induction, there are distinct elements  $a_1, a_2, \dots, a_{j-1}$  such that  $Imf^{n-j} = \{a_1, a_2, \dots, a_{j-1}\}$  for all  $j = 2, 3, \dots, n-1$ . Therefore,  $Imf = Imf^{n-(n-1)} = \{a_1, a_2, \dots, a_{n-2}\}$  where  $a_1, a_2, \dots, a_{n-2}$  are distinct elements of  $A$ ; so,  $|Imf| = n-2 = |A| - 2$ . Hence,  $|A| = |Imf| + 2$ .

(v) Assume that  $|Imf^{n-2}| = 2$ . By part (i), we have  $|Imf| < |A|$ . Then  $|Imf| \leq |A| - 1$ ; that is,  $|Imf| + 1 \leq |A|$ . Next, we claim that  $|Imf^{n-k}| \geq k$  for  $k = 2, 3, \dots, n-1$ . For  $k = 2$ , we have  $|Imf^{n-2}| = 2$ . Let  $m$  be a positive integer such that  $m \geq 2$ . Assume that  $|Imf^{n-m}| \geq m$ . Then  $|Imf^{n-(m+1)}| \geq |Imf^{n-m}| +$

$1 = m+1$ . Hence, by mathematical induction,  $|Imf^{n-k}| \geq k$  for  $k = 2, 3, \dots, n-1$ . So  $|Imf| = |Imf^{n-(n-1)}| \geq n-1 = |A| - 1$ ; that is,  $|Imf| + 1 \geq |A|$ . Hence  $|A| = |Imf| + 1$ . □

**Remark 3.6.** [9] *Let  $A$  be a finite set with  $|A| = n \geq 3$  and let  $f$  be a  $LT_1$ -function on  $A$  with  $|Imf^{n-2}| = 1$ . Then*

- (i)  $|A| = |Imf| + 2$ ; so, there are distinct elements  $a, b, c, d \in A$  such that  $f(a) = f(b) = s$  and  $f(c) = f(d) = t$  in  $Imf$ .
- (ii) if  $n = 3$  or  $s = t$  then  $c = d$  and  $f(a) = f(b) = f(c) = s$ ; and if  $n \geq 4$  then  $c \neq d$  if and only if  $s \neq t$ .
- (iii) there are different elements  $u, v \in A$  such that  $f(t') \notin \{u, v\}$  for all  $t' \in A$ ; hence, the function  $f|_{A \setminus \{a, b, c, d\}} : A \setminus \{a, b, c, d\} \rightarrow A \setminus \{s, t, u, v\}$  is a bijection.
- (iv) if  $n = 3$ , then  $f$  is a constant function.

**Lemma 3.7.** [9] *Let  $A$  be a finite set with  $|A| = n \geq 4$ . Assume that  $f$  is a unary operation on  $A$  with  $f(a) = f(b) = s$ ,  $f(c) = f(d) = t$  where  $a, b, c, d \in A$  and  $|A| = |Imf| + 2$ . If  $s, t \notin \{a, b, c, d\}$  and either  $f(s) \notin \{a, b, c, d\}$  or  $f(t) \notin \{a, b, c, d\}$  then  $|Imf^k| \geq 2$  for all  $k \geq 1$ .*

*Proof.* Suppose that  $s, t \notin \{a, b, c, d\}$  and  $f(s) \notin \{a, b, c, d\}$ . Since  $|A| = |Imf| + 2$ , there are two different elements  $u, v \in A$  such that  $u, v \notin Imf$ . Thus  $f|_{A \setminus \{a, b, c, d\}} : A \setminus \{a, b, c, d\} \rightarrow A \setminus \{s, t, u, v\}$  is bijective. And since  $s, t \notin \{a, b, c, d\}$ , we get  $f(s) \notin \{s, t, u, v\}$ . Thus  $f(s) \neq s$  and  $\{s = f^0(s), f(s)\}$  is a two-element subset of  $Imf$ . Inductively, assume that  $\{f^{k-1}(s), f^k(s)\}$  is a two-element subset of  $Imf^k$ . We consider the following cases:

Case 1:  $f^k(s) \notin \{a, b, c, d\}$  and  $f^{k-1}(s) \notin \{a, b, c, d\}$ . Then by the injectivity of  $f|_{A \setminus \{a, b, c, d\}}$  and  $f^{k-1}(s) \neq f^k(s)$ , we get  $f^k(s) \neq f^{k+1}(s)$ .

Case 2:  $f^k(s) \notin \{a, b, c, d\}$  and  $f^{k-1}(s) \in \{a, b, c, d\}$ . Then  $f^k(s) = f(f^{k-1}(s)) \in \{s, t\}$  and  $f^{k+1}(s) = f(f^k(s)) \notin \{s, t\}$ . Therefore,  $f^k(s) \neq f^{k+1}(s)$ .

Case 3:  $f^k(s) \in \{a, b, c, d\}$ . Then  $f^{k+1}(s) \in \{s, t\}$ . Since  $s, t \notin \{a, b, c, d\}$ , we have  $f^k(s) \notin \{s, t\}$ . Thus  $f^k(s) \neq f^{k+1}(s)$ . It follows that  $\{f^k(s), f^{k+1}(s)\} \subseteq Imf^{k+1}$  for all  $k \geq 1$ . Therefore,  $|Imf^k| \geq 2$  for all  $k \geq 1$ . □

**Lemma 3.8.** [9] *Let  $A$  be a finite set with  $|A| = n \geq 3$  and let  $f$  be a unary operation on  $A$  with  $f(a) = f(b) = s$  and  $f(c) = f(d) = t$  where  $a, b, c, d \in A$ . Assume that  $\lambda(f) = n - 2$  and  $|Imf^{n-2}| = 1$ . Then*

- (i) if  $s, t \notin \{a, b, c, d\}$ , then either  $f(s) \notin \{a, b, c, d\}$  or  $f(t) \notin \{a, b, c, d\}$ ,
- (ii)  $s \in \{a, b, c, d\}$  or  $t \in \{a, b, c, d\}$ ,
- (iii) if  $s \in \{a, b\}$  and  $s \neq t$ , there exists a positive integer  $m$  such that  $f^m(c) \in \{a, b\} \setminus \{s\}$  and  $\{c, d\} \cap \{u, v\} \neq \emptyset$  where  $u, v \in A \setminus Imf$ ,
- (iv) if  $|A| \geq 4$  and  $s = t = a$ , then  $\{u, v\} \neq \{b, c\}$  and  $\{u, v\} \cap \{b, c\} \neq \emptyset$ .

*Proof.* (i) Suppose that  $s, t \notin \{a, b, c, d\}$  and  $f(s) \in \{a, b, c, d\}$ . Then  $f(s), f(t) \notin \{s, t\}$ . If  $f(s) \in \{a, b\}$  or  $f(t) \in \{c, d\}$ , then  $f^2(s) = s$  or  $f^2(t) = t$ . That is  $s \in \text{Im}f^{n-2}$  or  $t \in \text{Im}f^{n-2}$ . Thus  $f(s) \neq s \in \text{Im}f^{n-2}$  or  $f(t) \neq t \in \text{Im}f^{n-2}$ . Therefore  $|\text{Im}f^{n-2}| \geq 2$ , a contradiction. Hence  $f(s) \notin \{a, b\}$  and  $f(t) \notin \{c, d\}$ . If  $f(s) \in \{c, d\}$  and  $f(t) \in \{a, b\}$ , then  $f^2(s) = t$  and  $f^2(t) = s$ . Thus  $f(f^2(c)) = f^2(a)$  and  $f(f^2(a)) = f^2(c)$ . Therefore  $f^2(a)$  and  $f^2(c)$  are two elements of  $A$  which are mapped to each other and thus they belong to  $\text{Im}f^k$  for all  $k \geq 1$ . Since  $f(s) \neq f(t)$ , we have  $|\text{Im}f^{n-2}| \geq 2$ , a contradiction. That is  $f(s) \notin \{c, d\}$  or  $f(t) \notin \{a, b\}$ . Therefore  $f(s) \notin \{a, b, c, d\}$  or  $f(t) \notin \{a, b, c, d\}$ .

(ii) Suppose that  $s \notin \{a, b, c, d\}$  and  $t \notin \{a, b, c, d\}$ . By part (i), we get either  $f(s) \notin \{a, b, c, d\}$  or  $f(t) \notin \{a, b, c, d\}$ . Thus by Lemma 3.7, we have  $|\text{Im}f^k| \geq 2$  for all  $k \geq 1$ . It follows that  $|\text{Im}f^{n-2}| \geq 2$ , which is a contradiction. Therefore  $s \in \{a, b, c, d\}$  or  $t \in \{a, b, c, d\}$ .

(iii) Assume that  $s \in \{a, b\}$  and  $s \neq t$ . Since  $c \in A$  and  $|\text{Im}f^{n-2}| = 1$ , we have  $f^{n-2}(c) \in \text{Im}f^{n-2}$  and  $f^{n-2}(c) = s$ . Let  $r$  be the least positive integer such that  $f^r(c) = s$ . Then  $1 < r \leq n - 2$ . Thus  $1 \leq r - 1 < n - 2$  and  $f^{r-1}(c) \in \{a, b\}$  (if  $f^{r-1}(c) \notin \{a, b\}$ , then  $|\text{Im}f| \leq |A| - 3$ , a contradiction). Since  $r$  is the least positive integer such that  $f^r(c) = s$ , we have  $f^{r-1}(c) \in \{a, b\} \setminus \{s\}$  with  $r - 1 \geq 1$ . So, there exists a positive integer  $m = r - 1$  such that  $f^m(c) \in \{a, b\} \setminus \{s\}$ . Next, let  $u, v \in A \setminus \text{Im}f$  and assume that  $\{c, d\} \cap \{u, v\} = \emptyset$ . Then  $\{c, d\} \subseteq \text{Im}f$ . Therefore, there are  $p, q \in A$  such that  $f(p) = c$  and  $f(q) = d$ . Since  $a, b, c, d \in \text{Im}f$  with  $f(a) = f(b)$  and  $f(c) = f(d)$ , it implies that  $|\text{Im}f^2| \leq |\text{Im}f| - 2$ . This is a contradiction. Hence  $\{c, d\} \cap \{u, v\} \neq \emptyset$ .

(iv) Assume that  $|A| \geq 4$  and  $s = t = a$ . We want to show that  $\{u, v\} \neq \{b, c\}$  and  $\{u, v\} \cap \{b, c\} \neq \emptyset$ . Suppose that  $\{u, v\} = \{b, c\}$  or  $\{u, v\} \cap \{b, c\} = \emptyset$ .

Case 1:  $\{u, v\} = \{b, c\}$ . Then  $A \setminus \{s, u, v\} = A \setminus \{a, b, c\}$  and  $f|_{A \setminus \{a, b, c\}}$  is permutation on  $A \setminus \{a, b, c\}$ . Since  $|A| \geq 4$ , we have  $|A \setminus \{a, b, c\}| \geq 1$  and  $\emptyset \neq A \setminus \{a, b, c\} \subseteq \text{Im}f^k$  for  $k \geq 1$ . Since  $a \in \text{Im}f^{n-2}$ , we have  $|\text{Im}f^{n-2}| \geq 2$ , which is a contradiction.

Case 2:  $\{u, v\} \cap \{b, c\} = \emptyset$ . Then  $\{b, c\} \subseteq \text{Im}f$ . Thus there are elements  $p, q \in A$  such that  $f(p) = b \neq c = f(q)$ , which implies that  $\{f(a) = a, f(p) = b, f(q) = c\}$  is a subset of  $\text{Im}f$ . Since  $f(a) = f(b) = s$ , we get  $f^2(a) = f(a) = s$  and  $f^2(p) = f(b) = s$  and since  $f(c) = t$  and  $s = t$ , we have  $f^2(q) = f(c) = t = s$ . Therefore  $f^2(a) = f^2(p) = f^2(q) = s \in \text{Im}f^2$ , which implies that  $|\text{Im}f^2| \leq |\text{Im}f| - 2 < |\text{Im}f| - 1$ , a contradiction. Hence,  $\{u, v\} \neq \{b, c\}$  and  $\{u, v\} \cap \{b, c\} \neq \emptyset$ . □

**Theorem 3.9.** [9] *Let  $A$  be a finite set with  $|A| = n \geq 3$  and let  $f$  be an operation on  $A$ . Then  $f$  is a  $LT_1$ -function with  $|\text{Im}f^{n-2}| = 1$  if and only if there are distinct*

elements  $u, v \in A$  such that  $A = \{u, v, f(v), \dots, f^{n-2}(v)\}$  and there is an integer  $k$  with  $0 \leq k < n - 2$  such that  $f(u) = f^{k+1}(v)$  and a number  $m$  with  $m + k = n - 2$  such that  $f^{m+1}(u) = f^m(u)$ .

*Proof.* Assume that  $f$  is a  $LT_1$ -function with  $|Imf^{n-2}| = 1$ . Then Lemma 3.5 (iv) implies that  $|A| = |Imf| + 2$ . Thus there are two distinct elements  $u, v \in A$  such that  $u, v \notin Imf$ . Let  $g$  be the restriction function of  $f$  on the  $(n - 2)$ -element set  $Imf$  (i.e.  $g = f|_{Imf} : Imf \rightarrow A$ ) such that  $|Im(f|_{Imf})^{n-3}| = |f^{n-3}(Imf)| = |Imf^{n-2}| = 1$ . Thus  $\lambda(f|_{Imf}) = \lambda(f) - 1 = (n - 2) - 1 = |Imf| - 1$ . Hence  $f|_{Imf}$  is a  $LT$ -function. Then by Lemma 3.2 (iv), there is an element  $d \in Imf$  such that  $Imf = \{d, g(d), \dots, g^{n-3}(d)\} = \{d, f|_{Imf}(d), \dots, (f|_{Imf})^{n-3}(d)\}$  with  $g^{n-3}(d) = g^{n-2}(d)$  and  $d \notin Im(f|_{Imf}) = Imf^2$ . Since  $d \in Imf$ , there is an element  $q \in A$  such that  $d = f(q)$ . If  $q \in Imf$ , then  $d \in Imf^2$ , a contradiction. So,  $q \notin Imf$  which implies that  $q = v$  or  $q = u$ . Without loss of generality, let  $q = v$ . Since  $u, v \notin Imf$ , we get  $u$  cannot be mapped to  $u$  or  $v$ . Thus  $u$  is mapped to one of the elements  $d, g(d), \dots, g^{n-3}(d)$ . Let  $f(u) = g^k(d)$  for some  $k$  where  $0 \leq k \leq n - 3$ . Since  $d = f(q)$  and  $q = v$ , we have  $d = f(v)$ . Thus  $g^k(d) = (f|_{Imf})^k(d) = f^k(d) = f^k(f(v)) = f^{k+1}(v)$ . Therefore,  $f(u) = g^k(d) = f^{k+1}(v)$ . Then  $A = \{u, v, f(v), \dots, f^{n-2}(v)\} = \{v, f(v), \dots, f^k(v)\} \cup \{u, f(u), \dots, f^m(u)\}$  with  $m + k = n - 2$ . Since  $|Imf^{n-2}| = 1$ , we have  $f^m(u) = f^{m+1}(u)$ .

Conversely, assume that there are difference elements  $u, v \in A$  such that  $A = \{u, v, f(v), \dots, f^{n-2}(v)\}$  and there is an exponent  $k$  with  $0 \leq k < n - 2$  such that  $f(u) = f^{k+1}(v)$  and a number  $m$  with  $m + k = n - 2$  such that  $f^{m+1}(u) = f^m(u)$ . Then we can write  $A = \{u, f(u), \dots, f^m(u)\} \cup \{v, f(v), \dots, f^k(v)\}$  where  $m + k = n - 2$ ,  $f(u) = f^{k+1}(v)$  and  $f^{m+1}(u) = f^m(u)$ . Since  $m + k = n - 2$ , all elements  $u, v, f(u), \dots, f^m(u), f(v), \dots, f^k(v)$  are distinct. Thus, we have  $a = f^m(u) \neq f^{m-1}(u) = b$  and  $c = f^k(v) \neq u$ . So  $f(a) = f^{m+1}(u) = f^m(u) = f(b)$  and  $f(c) = f^{k+1}(v) = f(u)$ . Therefore  $|A| = |Imf| + 2$ . Thus  $A \supset Imf$ . And, by part (i) of Lemma 3.2, we have  $A \supset Imf \supseteq Imf^2 \supseteq \dots \supseteq Imf^{n-2}$ .

We claim that  $Imf^t \supset Imf^{t+1}$  for all  $1 \leq t < n - 2$ .

Case 1:  $m = 1$  and  $k \geq 0$ . Then  $A = \{u, f(u)\} \cup \{v, f(v), \dots, f^k(v)\}$ .

If  $k = 0$ , then  $A = \{v, u, f(u)\}$  and  $Imf = \{f(u)\} \subset A$  with  $n - 2 = 1$ .

If  $k > 0$ , then  $f^k(v) \in Imf^s$  for all  $1 \leq s \leq k$  with  $n - 2 = k + 1$ . Thus, for all  $1 \leq t < n - 2$ ,  $f^t(v) \in Imf^t$  but  $f^t(v) \notin Imf^{t+1}$ . So,  $Imf^{t+1} \subset Imf^t$  for all  $1 \leq t < n - 2$ .

Case 2:  $m > 1$  and  $k \geq 0$ . Then  $f^{k+1}(v) = f(u)$ . Thus  $f^{m-1}(u) = f^{m-2}(f(u)) = f^{m-2}(f^{k+1}(v)) = f^{m+k-1}(v) = f^{n-3}(v) \in Imf^{n-3}$ . Since  $Imf^{n-3} \subseteq Imf^t$  for all  $1 \leq t \leq n - 3$ , we have  $f^{m-1}(u) \in Imf^t$  for all  $1 \leq t < n - 2$ . Now,  $f^{m-1}(u) \neq f^m(u)$  in  $Imf^t$  whereas  $f(f^{m-1}(u)) = f(f^m(u))$  in  $Imf^{t+1}$  for all  $1 \leq t < n - 2$ . It follows that  $Imf^t \supset Imf^{t+1}$  for all  $1 \leq t < n - 2$ , which complete the proof of the claim.

Therefore,  $|Imf^t| \geq |Imf^{t+1}| + 1$  for all  $1 \leq t < n - 2$ . Since  $f^m(u) = f^{n-2}(u) \in$

$Imf^{n-2}$ , we have  $|Imf^{n-2}| \geq 1$ . If  $|Imf^{n-2}| \geq 2$ , then we have

$$\begin{aligned} |A| &\geq |Imf| + 2 \geq |Imf^2| + 1 + 2 \geq \dots \geq |Imf^{n-2}| + (n-3) + 2 \\ &\geq 2 + (n-3) + 2 \\ &= n+1 \\ &> n, \end{aligned}$$

which is a contradiction. Thus  $|Imf^{n-2}| \leq 1$ . So,  $|Imf^{n-2}| = 1$  which implies that  $Imf^{n-2} = Imf^{n-2+t}$  for all  $t \geq 1$ . Thus  $n-2$  is the least positive integer such that  $Imf^{n-2} = Imf^{n-1}$ ; that is,  $\lambda(f) = n-2$ . Hence,  $f$  is a  $LT_1$ -function.  $\square$

**Theorem 3.10.** [9] *Let  $A$  be a finite set with  $|A| = n \geq 3$  and let  $f$  be an operation on  $A$ . Then  $f$  is a  $LT_1$ -function with  $|Imf^{n-2}| = 2$  if and only if there are different elements  $u, v \in A$  such that either*

(i)  $A = \{v, u, f(u), \dots, f^{n-2}(u)\}$  with  $v = f(v)$  and  $f^{n-1}(u) = f^{n-2}(u)$ ,

or

(ii)  $A = \{u, f(u), f^2(u), \dots, v = f^{n-2}(u), f^{n-1}(u)\}$  where  $v = f^n(u) = f^{n-2}(u)$ .

*Proof.* Assume that  $f$  is a  $LT_1$ -function with  $|Imf^{n-2}| = 2$ . By Lemma 3.5 (v), we have  $|A| = |Imf| + 1$ . Thus there are exactly two distinct elements  $a, b \in A$  such that  $f(a) = f(b) = s \in Imf$  and there is an element  $y \in A$  such that  $y \notin Imf$ . Then the mapping  $f|_{A \setminus \{a, b\}} : A \setminus \{a, b\} \rightarrow A \setminus \{s, y\}$  is bijective. We consider two cases  $s \in \{a, b\}$  and  $s \notin \{a, b\}$ .

Case 1:  $s \in \{a, b\}$ . Without loss of generality, we may assume that  $s = a$ . Then  $f(s) = f(a) = s \in Imf^{n-2}$ . Now we consider two subcases  $y \in \{a, b\}$  and  $y \notin \{a, b\}$ .

Subcase 1.1:  $y \in \{a, b\}$ . Since  $y \neq s$ , we have  $y = b$ , and so,  $\{a, b\} = \{s, y\}$ . Thus  $f|_{A \setminus \{a, b\}}$  is a permutation, and so,  $|A \setminus \{a, b\}| = |Imf|_{A \setminus \{a, b\}} = |Im(f|_{A \setminus \{a, b\}})^{n-2}|$ . Since  $f|_{A \setminus \{a, b\}}$  is a permutation and  $s \notin Imf|_{A \setminus \{a, b\}}$ , we have  $s \notin Im(f|_{A \setminus \{a, b\}})^{n-2}$ . Since  $s \notin Im(f|_{A \setminus \{a, b\}})^{n-2}$  and  $s \in Imf^{n-2}$ , we have  $|Im(f|_{A \setminus \{a, b\}})^{n-2}| < |Imf^{n-2}|$ . Also, since  $|Imf^{n-2}| = 2$ , we have  $|A \setminus \{a, b\}| = |Imf|_{A \setminus \{a, b\}} = |Im(f|_{A \setminus \{a, b\}})^{n-2}| < |Imf^{n-2}| = 2$ ; that is  $|A \setminus \{a, b\}| \leq 1$ . And, since  $|A| \geq 3$ , we get  $|A \setminus \{a, b\}| \geq 1$ . Thus  $|A \setminus \{a, b\}| = 1$ . So,  $|A| = 3$  and there exists  $c \in A \setminus \{a, b\}$  such that  $f(c) = c$ . Therefore,  $A = \{c, y, f(y)\}$  where  $f(c) = c$  and  $f^2(y) = f(y)$ . This corresponds to (i).

Subcase 1.2:  $y \notin \{a, b\}$ . Then  $y \neq b$ . Since  $s = a$  and  $a \neq b$ , we get  $s \neq b$ . Thus  $b \in A \setminus \{s, y\}$ . By the surjectivity of  $f|_{A \setminus \{a, b\}}$  onto  $A \setminus \{s, y\}$  and the finiteness of  $A$ , we can choose  $q-1$  pairwise distinct elements  $x_1, x_2, \dots, x_{q-1} \in A \setminus \{a, b, y\}$  and  $x_q = y$  such that  $f(x_i) = x_{i-1}$  for  $1 < i \leq q$  with  $f(x_1) = b$ . Let  $X = \{x_q = y, f(x_q), \dots, f^q(x_q) = b, f^{q+1}(x_q) = a\}$ . Then  $X \subseteq A$ . If  $X = A$ , then this give (i). Assume that  $X \neq A$ . Thus  $A \setminus X \neq \emptyset$ . It follows

that  $|A \setminus X| \geq 1$ . Since  $f|_{A \setminus \{a,b\}}$  is a bijection,  $f|_{A \setminus X}$  is a permutation. Thus  $|A \setminus X| = |Imf|_{A \setminus X}| = |Im(f|_{A \setminus X})^{n-2}| \leq |Imf^{n-2}|$ . Since  $s \in Imf^{n-2}$  and  $s \notin Im(f|_{A \setminus X})^{n-2}$ , we get  $|Im(f|_{A \setminus X})^{n-2}| < |Imf^{n-2}|$ . Also, since  $|Imf^{n-2}| = 2$ , we have  $|A \setminus X| = |Imf|_{A \setminus X}| = |Im(f|_{A \setminus X})^{n-2}| < |Imf^{n-2}| = 2$ ; that is  $|A \setminus X| \leq 1$ . Thus  $|A \setminus X| = 1$ . So, there exists  $c \in A \setminus X$  such that  $f(c) = c$ . Since  $|X| = q + 2$  and  $|A \setminus X| = 1$ , we have  $|A| = q + 3$ . It follows that  $A = \{c, y, f(y), \dots, f^{q+1}(y)\}$  where  $c \in A \setminus X$ ,  $f(c) = c$  and  $f^{q+2}(y) = f^{q+1}(y)$ . This corresponds to (i).

Case 2:  $s \notin \{a, b\}$ . If  $f(s) = s$ , then  $f(a) = f(b) = f(s) = s$ , which implies that  $|A| \geq |Imf| - 2$ , a contradiction. Thus  $f(s) \neq s$ . We consider two subcases  $f(s) \notin \{a, b\}$  and  $f(s) \in \{a, b\}$ .

Subcase 2.1:  $f(s) \notin \{a, b\}$ . Since  $s \notin \{a, b\}$  and  $f(s) \notin \{a, b\}$ , we have  $\{s, f(s)\} \subseteq A \setminus \{a, b\}$ . For a positive integer  $k \geq 1$ , assume that  $\{s, f(s), \dots, f^k(s)\}$  is a subset of  $A \setminus \{a, b\}$  of distinct elements. If  $f^{k+1}(s) = f^t(s)$  for some  $1 \leq t \leq k$ , then by the injectivity of  $f|_{A \setminus \{a,b\}}$ , we have  $f^k(s) = f^{t-1}(s)$  for some  $0 \leq t-1 \leq k-1$ , a contradiction. Thus  $f^{k+1}(s) \neq f^t(s)$  for all integer  $t$  with  $1 \leq t \leq k$ . And, if  $f^{k+1}(s) = s$ , then  $f^k(s) \in \{a, b\}$ , a contradiction. Thus  $f^{k+1}(s) \neq s$ . Next, we assume that  $f^{k+1}(s) \in \{a, b\}$ . Without loss of generality we may assume that  $f^{k+1}(s) = a$ . If  $b = y$ , then  $|A| \geq k + 3$  and  $Imf = A \setminus \{b\} = Imf^2$ . It follows that  $\lambda(f) = 1$ . Since  $\lambda(f) = n - 2 = |A| - 2$  and  $|A| \geq k + 3$ , we have  $\lambda(f) \geq (k+3) - 2 = k+1 \geq 1+1 = 2$ , a contradiction. If  $b \neq y$ , then  $b \in A \setminus \{s, y\}$ . By the surjectivity of  $f|_{A \setminus \{a,b\}}$  onto  $A \setminus \{s, y\}$ , there is a  $x_1 \in A \setminus \{a, b\}$  such that  $f(x_1) = b$ . If  $x_1 = s$ , then  $f(s) = b$ , a contradiction. Thus  $x_1 \neq s$ . If  $x_1 = y$ , then  $f(y) = b$ . Thus  $|A| \geq k + 4$  and  $Imf^2 = A \setminus \{b, y\} = Imf^3$ . So,  $\lambda(f) = 2$ . Since  $\lambda(f) = n - 2 = |A| - 2$  and  $|A| \geq k + 4$ , we have  $\lambda(f) \geq (k+4) - 2 = k+2 \geq 1+2 = 3$ , a contradiction. Therefore,  $x_1 \neq y$ . It follows that  $x_1 \in A \setminus \{s, y\}$ . By the surjectivity of  $f|_{A \setminus \{a,b\}}$  onto  $A \setminus \{s, y\}$ , there exists a  $x_2 \in A \setminus \{a, b\}$  such that  $f(x_2) = x_1$ . If  $x_2 = s$ , then  $f(s) = f(x_2) = x_1$ , which implies that  $f^2(s) = f(x_1) = b$ , a contradiction. Thus  $x_2 \neq s$ . If  $x_2 = y$ , then  $f(y) = x_1$ . So,  $|A| \geq k + 5$  and  $Imf^3 = A \setminus \{b, y, x_1\} = Imf^4$ . Therefore,  $\lambda(f) = 3$ . Since  $\lambda(f) = n - 2 = |A| - 2$  and  $|A| \geq k + 5$ , we have  $\lambda(f) \geq (k+5) - 2 = k+3 \geq 1+3 = 4$ , a contradiction. Thus  $x_2 \neq y$ . So,  $x_2 \in A \setminus \{s, y\}$ . Continuing in this way, since  $A$  is finite, there is integer  $q$  with  $1 \leq q \leq n - 2$  such that  $y = x_q$  and  $x_1, x_2, \dots, x_{q-1} \in A \setminus \{a, b, y\}$  where  $f(x_i) = x_{i-1}$  for all  $1 < i \leq q$  and  $f(x_1) = b$ . Thus  $|A| \geq k + q + 3$  and  $Imf^{q+1} = \{a, s, f(s), \dots, f^k(s)\} = Imf^{q+2}$ , which implies that  $\lambda(f) = q + 1$ . Since  $\lambda(f) = n - 2 = |A| - 2$  and  $|A| \geq k + q + 3$ , we have  $\lambda(f) \geq (k + q + 3) - 2 = k + q + 1 > 1 + (q + 1)$ , a contradiction. So,  $f^{k+1}(s) \notin \{a, b\}$ . Therefore,  $X = \{s, f(s), \dots, f^k(s), f^{k+1}(s) \dots\}$  is a infinite subset of  $A \setminus \{a, b\}$ , which contradicts to the fact that  $A$  is finite.

Subcase 2.2:  $f(s) \in \{a, b\}$ . Without loss of generality, we may assume that  $f(s) = a$ . Since  $f(a) = s$  and  $f(s) = a$ , we have  $a, s \in Imf^{n-2}$ . Also, since  $|A| = |Imf| + 1$ , we get  $f(t) \notin \{a, s\}$  for all  $t \notin \{a, b, s\}$ .

If  $y = b$ , then  $A \setminus \{a, b, s\} = A \setminus \{a, y, s\}$ . Thus  $f|_{A \setminus \{a, b, s\}}$  is a permutation, which implies that  $|A \setminus \{a, b, s\}| = |Imf|_{A \setminus \{a, b, s\}}| = |Im(f|_{A \setminus \{a, b, s\}})^{n-2}| \leq |Imf^{n-2}| = 2$ . Since  $a, s \in Imf^{n-2}$  and  $a, s \notin A \setminus \{a, b, s\}$ , we have  $|A \setminus \{a, b, s\}| = 0$ . Thus  $A = \{a, b, s\} = \{b, f(b) = s, f^2(b) = a\}$  where  $f^3(b) = f(f^2(b)) = f(a) = s = f(b)$ , this corresponds to (ii).

If  $y \neq b$ , then  $b \in A \setminus \{y, s\}$ . By the surjectivity of  $f|_{A \setminus \{a, b\}}$  onto  $A \setminus \{y, s\}$  and the finiteness of  $A$ , we may choose  $q - 1$  pairwise distinct elements  $x_1, x_2, \dots, x_{q-1} \in A \setminus \{y, s, a, b\}$  and  $x_q = y$  such that  $f(x_i) = x_{i-1}$  for  $1 < i \leq q$  with  $f(x_1) = b$ . Therefore,  $X = \{x_q, f(x_q), \dots, f^q(x_q) = b, f^{q+1}(x_q) = s, f^{q+2}(x_q) = a\} \subseteq A$ . Since  $f|_{A \setminus \{a, b\}}$  is a bijection,  $f|_{A \setminus X}$  is a permutation. Thus  $|A \setminus X| = |Imf|_{A \setminus X}| = |Im(f|_{A \setminus X})^{n-2}| \leq |Imf^{n-2}| = 2$ . Since  $a, s \notin A \setminus X$  but  $a, s \in Imf^{n-2}$ , we get  $|A \setminus X| = 0$ . Therefore,  $A = X$  and  $n = |A| = |X| = q + 3$ ; that is,  $q = n - 3$ . Let  $u = x_q$ . Then  $A = \{u, f(u), \dots, f^{n-1}(u)\}$  with  $f^n(u) = f^{n-2}(u)$ . This corresponds to (ii).

Conversely, let  $A$  be a finite set with  $|A| = n \geq 3$  and let  $f$  be a unary operation on  $A$  satisfying either (i) or (ii).

In case (i), we have  $f^{n-2}(u) \neq f^{n-3}(u)$  but  $f(f^{n-2}(u)) = f^{n-1}(u) = f^{n-2}(u) = f(f^{n-3}(u))$  and in case (ii), we have  $f^{n-1}(u) \neq f^{n-3}(u)$  but  $f(f^{n-1}(u)) = f^n(u) = f^{n-2}(u) = f(f^{n-3}(u))$ . Thus in either cases, we have  $A \supset Imf$ .

If  $n = 3$ , then either  $A = \{v, u, f(u)\}$  where  $f(v) = v$  and  $f^2(u) = f(u)$  in case (i) or  $A = \{u, v = f(u), f^2(u)\}$  where  $v = f(u) = f^3(u)$  in case (ii). Thus in case (i), we have  $Imf = \{v, f(u)\} = Imf^2$  and in case (ii), we have  $Imf = \{f(u), f^2(u)\} = Imf^2$ . So, in either cases,  $\lambda(f) = 1 = 3 - 2$  and  $|Imf| = 2$ . Therefore, we may assume that  $n \geq 4$ . Now, we want to show that  $A \supset Imf \supset Imf^2 \supset \dots \supset Imf^{n-2}$ . By Lemma 3.2 part (i) and  $A \supset Imf$ , we have  $A \supset Imf \supseteq Imf^2 \supseteq \dots \supseteq Imf^{n-2}$ . In case (i), we have  $f^{n-3}(u)$  and  $f^{n-2}(u)$  are distinct elements in  $Imf^t$  which have the same image in  $Imf^{t+1}$  for all  $1 \leq t \leq n - 3$ . Similarly, in case (ii), we have  $f^{n-3}(u)$  and  $f^{n-1}(u)$  are distinct elements in  $Imf^t$  having the same image in  $Imf^{t+1}$  for all  $1 \leq t \leq n - 3$ . It follows that  $|Imf^t| \geq |Imf^{t+1}| + 1$  which implies that  $Imf^t \supset Imf^{t+1}$  for all  $1 \leq t \leq n - 3$ . Next, we want to show that  $|Imf^{n-2}| = 2$ .

In case (i),  $v$  and  $f^{n-2}(u)$  are distinct elements in  $Imf^{n-2}$ . So,  $|Imf^{n-2}| \geq 2$ . We want to show that  $|Imf^{n-2}| \leq 2$ . Assume that  $|Imf^{n-2}| \geq 3$ . Then  $|A| \geq |Imf| + 1 \geq \dots \geq |Imf^{n-2}| + (n - 2) \geq 3 + n - 2 = n + 1$ , a contradiction. Thus  $|Imf^{n-2}| \leq 2$ . Hence,  $|Imf^{n-2}| = 2$ .

In case (ii),  $f^{n-1}(u)$  and  $f^{n-2}(u)$  are distinct elements in  $Imf^{n-2}$ .

So,  $|Imf^{n-2}| \geq 2$ . Similar to case (i), we have  $|Imf^{n-2}| = 2$ .

Finally, we want to show that  $Imf^{n-2} = Imf^{n-1}$ .

In case (i), we have  $v$  and  $f^{n-2}(u)$  are the only two elements in  $Imf^{n-2}$  with  $v = f(v)$  and  $f^{n-1}(u) = f^{n-2}(u)$ . Thus  $v$  and  $f^{n-2}(u)$  are both in  $Imf^t$  for all  $t \geq n - 2$ . Similarly, in case (ii), we have  $f^{n-1}(u)$  and  $f^{n-2}(u)$  are the only two elements in  $Imf^{n-2}$  with  $f^n(u) = f^{n-2}(u)$ . Thus  $f^{n-1}(u)$  and  $f^{n-2}(u)$  are both in  $Imf^t$  for all  $t \geq n - 2$ . So,  $Imf^{n-2} = Imf^{n-1}$ . It follows that  $n - 2$  is the least



positive integer such that  $Imf^{n-2} = Imf^{n-1}$ . Therefore,  $\lambda(f) = n - 2$ ; that is  $f$  is a  $LT_1$ -function. □

## 3.2 Invariant Equivalence Relation

**Definition 3.11.** Let  $\theta \subseteq A \times A$  be an equivalence relation on the finite set  $A$  with  $|A| = n \geq 2$  and let  $f$  be an arbitrary unary operation defined on  $A$ . Then we say,  $f$  **preserves**  $\theta$  or  $\theta$  is **invariant with respect to**  $f$  if for each  $a, b \in A$  such that  $(a, b) \in \theta$ , then  $(f(a), f(b)) \in \theta$ .

Let  $pol^{(1)}\theta$  be the set of all functions defined on  $A$  which preserve  $\theta$ . Now we have the following question: which equivalence relations are invariant with respect to LT or  $LT_1$ -function?

For LT-function the answer is given by the following theorem.

**Theorem 3.12.** [9] Let  $A$  be a finite set with  $|A| = n \geq 2$  and let  $\theta$  be a non-trivial equivalence relation defined on  $A$ . Then there exists a LT-function  $f$  which preserves  $\theta$  if and only if there is only one block with respect to  $\theta$  which has more than one element.

*Proof.* Assume that  $f : A \rightarrow A$  is a LT-function which preserves  $\theta$ . Then  $\lambda(f) = n - 1$ . By Lemma 3.2 (iv), there exists an element  $d \in A$  such that

$$A = \{d, f(d), f^2(d), \dots, f^{n-1}(d)\} \text{ and } f^{n-1}(d) = f^n(d).$$

Since  $\theta$  is a non-trivial equivalence relation, there exist  $x \neq y \in A$  such that  $(x, y) \in \theta$ . Thus there exist integers  $i, j \in \{0, 1, \dots, n-1\}$  with  $i < j$  such that  $x = f^i(d)$  and  $y = f^j(d)$ . So,  $(f^i(d), f^j(d)) \in \theta$ . Since  $f \in pol^{(1)}\theta$  and  $(f^i(d), f^j(d)) \in \theta$ , we have  $(f(f^i(d)), f(f^j(d))) \in \theta$ ; that is  $(f^{i+1}(d), f^{j+1}(d)) \in \theta$ . It follows that  $(f^{i+k}(d), f^{j+k}(d)) \in \theta$  for all integer  $k \geq 0$ . So,  $(f^{i+(j-i)}(d) = f^j(d), f^{j+(j-i)}(d)) \in \theta$ . Since  $(f^i(d), f^j(d)) \in \theta$  and  $(f^j(d), f^{j+(j-i)}(d)) \in \theta$ , by transitivity of  $\theta$ , we have  $(f^i(d), f^{j+(j-i)}(d)) \in \theta$ . If  $(j-i) > (n-1) - j$ , then  $j + (j-i) > j + (n-1) - j = n-1$ , which implies that  $f^{j+(j-i)}(d) = f^{n-1}(d)$ . Thus  $(f^i(d), f^{n-1}(d)) \in \theta$ . If  $(j-i) < (n-1) - j$ , then there is an integer  $t$  such that  $t(j-i) \geq (n-1) - j$ . Thus  $j + t(j-i) \geq j + (n-1) - j = n-1$ , which implies that  $f^{j+t(j-i)}(d) = f^{n-1}(d)$ . Since  $(f^j(d), f^{j+(j-i)}(d)) \in \theta$  and  $f$  preserves  $\theta$ , we get  $(f^{j+m(j-i)}(d), f^{j+(m+1)(j-i)}(d)) \in \theta$  for all integers  $m \geq 1$ . Thus  $(f^{j+m(j-i)}(d), f^{j+(m+1)(j-i)}(d)) \in \theta$  for all integers  $m \geq 0$ . And, since  $\theta$  is transitive,  $(f^i(d), f^{j+(m+1)(j-i)}(d)) \in \theta$  for all integers  $m \geq 0$ . Thus  $(f^i(d), f^{j+t(j-i)}(d)) \in \theta$ . Since  $f^{j+t(j-i)}(d) = f^{n-1}(d)$ , we have  $(f^i(d), f^{n-1}(d)) \in \theta$ . For a positive integer  $k \geq i$ , assume that  $(f^k(d), f^{n-1}(d)) \in \theta$ . Since  $f$  preserves  $\theta$  and  $f^n(d) = f^{n-1}(d)$ , we get  $(f^{k+1}(d), f^{n-1}(d)) \in \theta$ . Hence, by Mathematical Induction, we have  $(f^s(d), f^{n-1}(d)) \in \theta$  for all  $s \geq i$ . Thus  $\{f^i(d), f^{i+1}(d), \dots, f^{n-1}(d)\}$  is a block with respect to  $\theta$  which has more than one element. Let  $B = \{f^i(d), f^{i+1}(d), \dots, f^{n-1}(d)\}$ . If  $i$  is the least non-negative integer such that  $f^i(d) \in B$ , then for each element of  $\{d, f(d), f^2(d), \dots, f^{i-1}(d)\}$

form singleton blocks and  $B$  is the only block with respect to  $\theta$  which has more than one element. If  $i$  is not the least non-negative integer such that  $f^i(d) \in B$ , then there exists an integer  $p$  with  $p < i$  such that  $f^p(d) \in B$ . Thus there is an integer  $q \in \{i, i+1, \dots, n-1\}$  such that  $(f^p(d), f^q(d)) \in \theta$ , which implies that  $\{f^p(d), f^{p+1}(d), \dots, f^{n-1}(d)\}$  is a block with respect to  $\theta$  which has more than one element. Let  $C = \{f^p(d), f^{p+1}(d), \dots, f^{n-1}(d)\}$ . If  $p$  is the least non-negative integer such that  $f^p(d) \in C$ , then for each element of  $\{d, f(d), f^2(d), \dots, f^{p-1}(d)\}$  form singleton blocks and  $C$  is the only block with respect to  $\theta$  which has more than one element. If  $p$  is not the least non-negative integer such that  $f^p(d) \in C$ , then continuing in this way, we have the least non-negative integer  $r \in \{0, 1, \dots, n-1\}$  such that  $\{f^r(d), f^{r+1}(d), \dots, f^{n-1}(d)\}$  is the only block with respect to  $\theta$  which has more than one element and for each element of  $\{d, f(d), f^2(d), \dots, f^{r-1}(d)\}$  form singleton blocks.

Conversely, let  $\theta$  be a non-trivial equivalence relation defined on  $A$ . Assume that there is only one block with respect to  $\theta$  which has more than one element. Since  $A$  is finite, there is an integer  $n$  such that  $A = \{a_0, a_1, \dots, a_{n-1}\}$ . We may assume that  $\{a_i, a_{i+1}, \dots, a_{n-1}\}$ , where  $0 \leq i \leq n-1$ , is the only one block with respect to  $\theta$  which has more than one element. Then we define the operation  $f : A \rightarrow A$  by  $f(a_j) = a_{j+1}$  for  $0 \leq j < n-1$  and  $f(a_{n-1}) = a_{n-1}$ . Now, we will show that  $f$  preserves  $\theta$ . Let  $a, b \in A$  such that  $(a, b) \in \theta$ . If  $a = b$ , then  $f(a) = f(b)$ . Since  $\theta$  is reflexive,  $(f(a), f(b)) \in \theta$ . If  $a \neq b$ , then there are integers  $l$  and  $k$  with  $i \leq l < k \leq n-1$  such that  $a = a_l$  and  $b = a_k$ . Thus  $f(a) = f(a_l) = a_{l+1}$  and  $f(b) = f(a_k) = a_{k+1}$  if  $k \neq n-1$  and  $f(b) = f(a_k) = f(a_{n-1}) = a_{n-1}$  if  $k = n-1$ . So,  $f(a)$  and  $f(b)$  belong to the set  $\{a_i, a_{i+1}, \dots, a_{n-1}\}$ . Thus  $(f(a), f(b)) \in \theta$ . Therefore,  $f$  preserves  $\theta$ ; that is,  $f \in \text{pol}^{(1)}\theta$ . Since  $A = \{a_0, a_1, \dots, a_{n-1}\}$  and by definition of  $f$ , we have  $A = \{a_0, f(a_0), f^2(a_0), \dots, f^{n-1}(a_0)\}$  and  $f^{n-1}(a_0) = a_{n-1} = f^n(a_0)$ . By Lemma 3.2 (iv), we have  $\lambda(f) = n-1$ . Hence,  $f$  is a LT-function. □

**Proposition 3.13.** [9] *Let  $A$  be a finite set with  $|A| = n \geq 3$  and let  $\theta$  be a non-trivial equivalence relation on  $A$ . Then there is a  $LT_1$ -function  $f$  with  $|Im f^{n-2}| = 1$  which preserves  $\theta$  if and only if either*

- (i) *there exists only one block  $B$  with respect to  $\theta$  which has more than one element, or*
- (ii) *there are exactly two blocks  $B$  and  $C$  with respect to  $\theta$  which have more than one element and one of them consists of exactly two elements.*

*Proof.* Assume that  $f$  is a  $LT_1$ -function with  $|Im f^{n-2}| = 1$ . By Theorem 3.9, there are distinct elements  $u, v \in A$  such that  $A = \{u, v, f(v), \dots, f^{n-2}(v)\}$  and there is an exponent  $k$  with  $0 \leq k < n-2$  such that  $f(u) = f^{k+1}(v)$  and a number  $m$  with  $m+k = n-2$  such that  $f^{m+1}(u) = f^m(u)$ . Thus  $A = \{v, f(v), \dots, f^k(v)\} \cup \{u, f(u), \dots, f^m(u)\}$  where  $f^{k+1}(v) = f(u)$  and  $f^{m+1}(u) = f^m(u)$ .

Let  $\theta$  be a non-trivial equivalence relation defined on  $A$  which is invariant with

respect to  $f$ . Let  $X = \{v, f(v), \dots, f^{n-2}(v)\}$  and  $Y = \{u, f(u), \dots, f^m(u)\}$ . Since  $|A| = n \geq 3$ , we have  $|X| \geq 2$  and  $|Y| \geq 2$ . Next, we will show that  $f|_X$  and  $f|_Y$  are LT-functions. Note that  $f|_X(a) = f(a)$  for all  $a \in X$  and  $f|_Y(b) = f(b)$  for all  $b \in Y$ . Thus  $X = \{v, f|_X(v), \dots, (f|_X)^{n-2}(v)\}$  where  $(f|_X)^{n-1}(v) = (f|_X)^{n-2}(v)$  and  $Y = \{u, f|_Y(u), \dots, (f|_Y)^m(u)\}$  where  $(f|_Y)^{m+1}(u) = (f|_Y)^m(u)$ . By Lemma 3.2 (iv), we have  $\lambda(f|_X) = n - 2 = (n - 1) - 1 = |X| - 1$  and  $\lambda(f|_Y) = m = (m + 1) - 1 = |Y| - 1$ . Therefore,  $f|_X$  and  $f|_Y$  are LT-functions. Now, let  $\bar{\theta} = \theta|_{X \times X}$  and  $\bar{\theta} = \theta|_{Y \times Y}$ . We claim that  $f|_X$  preserves  $\bar{\theta}$  and  $f|_Y$  preserves  $\bar{\theta}$ . Let  $a, b \in X$  such that  $(a, b) \in \bar{\theta}$ . Then there are  $r, s \in \{0, 1, \dots, n - 2\}$  such that  $a = f^r(v)$ ,  $b = f^s(v)$  and  $(a, b) \in \theta$ . Thus  $f(a) = f(f^r(v)) = f^{r+1}(v)$  and  $f(b) = f(f^s(v)) = f^{s+1}(v)$  are in  $X$ . Since  $f$  preserves  $\theta$  and  $(a, b) \in \theta$ , we have  $(f(a), f(b)) \in \theta$ . Since  $f(a)$  and  $f(b)$  are in  $X$ , we get  $(f|_X(a), f|_X(b)) \in \bar{\theta}$ . Hence  $f|_X$  preserves  $\bar{\theta}$ . Similarly,  $f|_Y$  preserves  $\bar{\theta}$ . By Theorem 4.6, there is only one block with respect to  $\bar{\theta}$  which has more than one element and there is only one block with respect to  $\bar{\theta}$  which has more than one element. Consider the following cases:

Case 1: If the block of  $u$  with respect to  $\theta$  consists only of one element, then  $\theta = \bar{\theta} \cup \{(u, u)\}$ . Thus there exists only one block with respect to  $\theta$  which has more than one element, this corresponds to (i).

Case 2:  $(u, f^t(v)) \in \theta$  for some  $0 \leq t < k$ .

If  $t = 0$ , then  $(u, v) \in \theta$ . Since  $f$  preserves  $\theta$ , we have  $(f(u), f(v)) \in \theta$ . Since  $f(u) = f^{k+1}(v)$ , we get  $(f^{k+1}(v), f(v)) \in \theta$ . It follows that  $(f^{tk+1}(v), f^{(t-1)k+1}(v)) \in \theta$  for all  $t \geq 1$ , and since  $f$  is transitive,  $(f^{tk+1}(v), f(v)) \in \theta$  for all  $t \geq 1$ .

We claim that  $(f^{k+m}(v), f^i(v)) \in \theta$  for all  $i \geq 1$ .

If  $k \geq m - 1$ , then  $2k + 1 = (k + 1) + k \geq (k + 1) + (m - 1) = k + m$ . Thus  $f^{2k+1}(v) = f^{k+m}(v)$ . Since  $(f^{tk+1}(v), f(v)) \in \theta$  for all  $t \geq 1$ , we have  $(f^{2k+1}(v), f(v)) \in \theta$ . And, since  $f^{2k+1}(v) = f^{k+m}(v)$ , we get  $(f^{k+m}(v), f(v)) \in \theta$ . Assume that  $k < m - 1$ . Then there is a positive integer  $s$  such that  $sk \geq m - 1$ . Thus  $(k + 1) + sk \geq (k + 1) + (m - 1) = k + m$ ; that is  $(s + 1)k + 1 \geq k + m$ , which implies that  $f^{(s+1)k+1}(v) = f^{k+m}(v)$ . Since  $(f^{tk+1}(v), f(v)) \in \theta$  for all  $t \geq 1$ , we have  $(f^{(s+1)k+1}(v), f(v)) \in \theta$ . And, since  $f^{(s+1)k+1}(v) = f^{k+m}(v)$ , we have  $(f^{k+m}(v), f(v)) \in \theta$ . For a positive integer  $j \geq 1$ , assume that  $(f^{k+m}(v), f^j(v)) \in \theta$ . Since  $f$  preserves  $\theta$  and  $f^{k+m+1}(v) = f^{k+m}(v)$ , we get  $(f^{k+m}(v), f^{j+1}(v)) \in \theta$ . Hence, by Mathematical Induction, we have  $(f^{k+m}(v), f^i(v)) \in \theta$  for all integers  $i \geq 1$ . So,  $\{f(v), \dots, f^{m+k}(v)\} = X \setminus \{v\}$  is a subset of the block  $C$  of the element  $f(v)$  with respect to  $\bar{\theta} = \theta|_{X \times X}$  and also with respect to  $\theta$ . If  $u \in C$ , then  $\theta = A \times A$ , a contradiction since  $\theta$  is a non-trivial. Thus  $u \notin C$ . It follows that  $B = \{u, v\}$  and  $C = X \setminus \{v\}$  are the only two blocks with respect to  $\theta$  which have more than one element. Since  $k > 0$ , this gives (ii).

If  $t > 0$  then  $k > 0$  and  $f(u) \neq f^k(v)$ . So,  $\{f^{t+1}(v), \dots, f^{k+1}(v) = f(u), \dots, f^{m+k}(v)\}$  is a subset of the block  $C$  of the element  $f(u)$  with respect to  $\bar{\theta}$  (and also with respect to  $\theta$ ) containing  $f^k(v)$  and  $f(u)$ , hence  $|C| > 1$ . If  $u \in C$ , then  $C$  is the

only block with respect to  $\theta$  with cardinality greater than 1, this corresponds to (i). And if  $u \notin C$ , then  $\{u, f^t(u)\}$  and  $C$  are the only blocks with respect to  $\theta$  having cardinalities greater than 1 and  $|\{u, f^t(v)\}| = 2$ , this corresponds to (ii).

Case 3:  $(u, f^t(u)) \in \theta$  for some  $0 \leq t \leq m$  and  $(u, f^s(v)) \notin \theta$  for all  $0 \leq s < k$ . Then  $Y$  is a block with respect to  $\theta$  and the block of each  $f^s(v)$  for  $0 \leq s < k$  is singleton. Therefore,  $Y$  is the only block with respect to  $\theta$  with  $|Y| \geq 2$ , this corresponds to (i).

Case 4:  $(u, f^k(v)) \in \theta$ . If  $(c, d) \notin \theta$  for all  $c \neq d$  in  $A \setminus \{u, f^k(v)\}$ , then  $\{u, f^k(v)\}$  is the only block with respect to  $\theta$  having more than one element. We consider the case that there are  $c \neq d$  in  $A \setminus \{u, f^k(v)\}$  such that  $(c, d) \in \theta$ . If  $c$  or  $d$  belongs to  $X \setminus Y$ , then  $\{c, d, f^k(v)\}$  is a subset of the only block  $C$  with respect to  $\theta$  (also with respect to  $\theta$ ) with  $|C| > 1$  and so,  $C \cup \{u\}$  is the only block with respect to  $\theta$  which has more than one element. But, if  $c$  and  $d$  both are in  $Y \setminus \{u\}$ , then they are in the only block  $C$  with respect to  $\theta$  (also with respect to  $\theta$ ) with  $|C| > 1$ , so, in this case,  $C$  and  $\{u, f^k(v)\}$  are the only blocks having more than one element and one of them has cardinality 2.

Conversely, let  $A$  be a set with  $|A| = n \geq 3$  and let  $\theta$  be a non-trivial equivalence relation on  $A$  satisfy either (i) or (ii). We may assume that  $A = \{a_0, a_1, \dots, a_{n-1}\}$  and either  $B = \{a_i, a_{i+1}, \dots, a_{n-1}\}$  for some  $0 < i < n - 1$  in case (i) or  $B = \{a_0, a_i\}$  and  $C = \{a_{i+1}, \dots, a_{n-1}\}$  for some  $0 < i < n - 1$  in case (ii) are the blocks with respect to  $\theta$ . In either case, we define  $f : A \rightarrow A$  by  $f(a_j) = a_{j+1}$  if  $j \notin \{0, n - 1\}$ ,  $f(a_0) = a_{i+1}$  and  $f(a_{n-1}) = a_{n-1}$ . In both cases,  $f$  preserves  $\theta$ . Further, we have  $f(a_i) = a_{i+1} = f(a_0)$  and  $f(a_{n-2}) = a_{n-1} = f(a_{n-1})$  for  $a_i \neq a_0$  and  $a_{n-2} \neq a_{n-1}$  and there are no other elements  $c \neq d$  in  $A$  such that  $f(c) = f(d)$ . Thus  $|Imf| = |A| - 2$ . Since  $a_{n-1} \neq a_{n-2}$  and  $a_{n-1}, a_{n-2} \in Imf^k$  for all  $1 \leq k < n - 2$  and  $f(a_{n-1}) = f(a_{n-2})$ , we have  $Imf^k \supset Imf^{k+1}$  for all  $1 \leq k < n - 2$ . Together with  $|A| = |Imf| + 2$ , we get  $|Imf^{n-2}| \leq 1$ . Since  $a_{n-1} \in Imf^{n-2}$ , we have  $|Imf^{n-2}| \geq 1$ . Thus  $|Imf^{n-2}| = 1$ , and so,  $Imf^{n-2} = Imf^{n-1}$ . Hence,  $\lambda(f) = n - 2$ ; that is  $f$  is a  $LT_1$ -function.  $\square$

# Chapter 4

## All Congruence-modular Symmetric Algebras

In chapter 3, we studied a characterization of all unary operations  $f$  on a finite set  $A$  with long pre-period; that is,  $\lambda(f) = n - 1$  for  $n \geq 2$  and  $\lambda(f) = n - 2$  for  $n \geq 3$ . In contrary, we are interested in studying a unary operation  $f$  on a finite set  $A$  with  $\lambda(f) \in \{0, 1\}$  which we will call a unary operation with short pre-period.

In this chapter, we characterize all unary operations  $f$  on a finite set  $A$  with  $\lambda(f) = 0$  such that the unary algebra  $(A; f)$  is congruence-distributive or congruence-modular.

Note that  $\lambda(f) = 0$  if and only if  $f$  is a permutation on  $A$ . In the theory of groups, the set of all permutations on a set  $A$  together with composition is called a symmetric group. And it is known that every permutation can be decomposed into simple parts called cycles.

Let  $A$  be a finite set and let  $f$  be a permutation on  $A$ . Then the algebra  $(A; f)$  is called a **symmetric algebra**.

If  $A$  is a singleton or a two-element set, the congruence lattice of  $(A; f)$  is also singleton chain  $\{\Delta_A\}$  or two-element chain  $\{\Delta_A, A \times A\}$ , respectively. So,  $(A; f)$  is congruence-distributive ( see Figure 5 ). We are interested in the case that the cardinality of  $A$  is more than two.



Figure 5. The singleton chain and two-element chain

We begin by considering necessary conditions for a permutation  $f$  on  $A$  whose  $(A; f)$  is congruence-distributive.

**Proposition 4.1.** *Let  $(A; f)$  be a symmetric algebra with  $|A| \geq 3$ . If  $(A; f)$  is congruence-distributive, then either*

- (i)  *$f$  is a cycle having at most one fixed point, or*
- (ii)  *$f$  has no fixed points and  $f$  is a product of two disjoint cycles whose lengths are relatively prime.*

*Proof.* We will prove by the contrapositive. Suppose that (i) and (ii) are not true. Then  $f$  is a product of at least three disjoint cycles. Let  $f = \alpha_1 \alpha_2 \dots \alpha_r$  where  $r \geq 3$  and all  $\alpha_i$  are cycles (can be of length 1) and  $\alpha_i$  and  $\alpha_j$  are disjoint for each  $1 \leq i \neq j \leq r$ . For each  $1 \leq i \leq r$ , let  $\alpha_i = (a_{i1} a_{i2} \dots a_{im_i})$  and define  $B_i = \{a_{i1}, a_{i2}, \dots, a_{im_i}\}$  for some non-negative integer  $m_i$ . Then  $B_i \cap B_j = \emptyset$  for all  $1 \leq i \neq j \leq r$ .

Because  $r \geq 3$ , we let  $\sigma = (123)$  and define  $\theta_j \subseteq A \times A$  for each  $j \in \{1, 2, 3\}$  by

$$\theta_j = \Delta_A \cup \{(x, y) \mid \{x, y\} \subseteq B_j \cup B_{\sigma(j)} \text{ or } \{x, y\} \subseteq B_{\sigma^2(j)}\}.$$

Since  $f(x) \in B_i$  for all  $x \in B_i$  and for all  $i \in \{1, 2, 3\}$ , we have that  $\theta_i$  is invariant under  $f$  for each  $i \in \{1, 2, 3\}$ .

Let  $\phi := \Delta_A \cup (\bigcup_{k=1}^3 \{(x, y) \mid x, y \in B_k\})$ . By cyclicity of  $\sigma$  and  $B_i \cap B_j = \emptyset$  for all  $1 \leq i \neq j \leq 3$ , we have  $\theta_j \wedge \theta_{\sigma(j)} = \phi$  for each  $j \in \{1, 2, 3\}$ .

Let  $\theta := \Delta_A \cup \{(x, y) \mid x, y \in B_1 \cup B_2 \cup B_3\}$ . Then  $\theta_j \subseteq \theta$  for all  $j \in \{1, 2, 3\}$ . Thus  $\theta_j \cup \theta_{\sigma(j)} \subseteq \theta$  for all  $j \in \{1, 2, 3\}$  which implies that  $\theta_j \vee \theta_{\sigma(j)} \subseteq \theta$  for all  $j \in \{1, 2, 3\}$ . On the other hand, let  $(a, b) \in \theta$ . Then  $a = b$  or  $a, b \in B_1 \cup B_2 \cup B_3$ . If  $a = b$ , then  $(a, b) \in \theta_j \cup \theta_{\sigma(j)}$  for all  $j \in \{1, 2, 3\}$ . So,  $\theta \subseteq \theta_j \vee \theta_{\sigma(j)}$  for all  $j \in \{1, 2, 3\}$ . In other cases, if  $a, b \in B_1 \cup B_2 \cup B_3$  then  $\{a, b\} \subseteq B_j \cup B_{\sigma(j)}$  for some  $j \in \{1, 2, 3\}$  which implies that  $(a, b) \in \theta_j \cup \theta_{\sigma(j)}$ . So,  $\theta \subseteq \theta_j \vee \theta_{\sigma(j)}$ . Hence,  $\theta_j \vee \theta_{\sigma(j)} = \theta$ .

So,  $\{\theta_1, \theta_2, \theta_3, \phi, \theta\}$  is a sublattice of the congruence lattice of  $(A; f)$  which is isomorphic to  $M_3$  ( see Figure 6 ). Hence,  $M_3 - N_5$  Theorem implies that the congruence lattice of the symmetric algebra  $(A; f)$  is not distributive. □

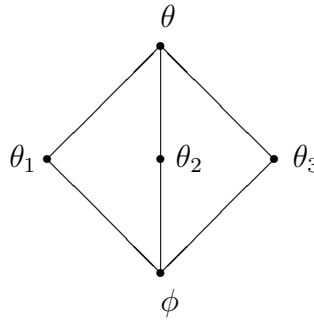


Figure 6. A sublattice of the congruence lattice of  $(A; f)$  which is isomorphic to  $M_3$

**Remark 4.2.** From Proposition 4.1, the congruence lattice of  $(A; f)$  is not distributive if  $f$  is an identity on a set  $A$  whose cardinality is more than two. Moreover, if the cardinality of  $A$  is three, the congruence lattice of  $(A; f)$  is the modular lattice  $M_3$ .

**Lemma 4.3.** Let  $(B; f^B)$  be a subalgebra of  $(A; f^A)$ .

(i) If  $\theta \in \text{Con}(A; f^A)$ , then the restriction  $\theta|_B$  of  $\theta$  onto  $B$  is a congruence relation on  $(B; f^B)$ .

(ii) If  $\theta \in \text{Con}(B; f^B)$ , then the relation  $\theta \cup \{(x, x) | x \in A\}$  is a congruence relation on  $(A; f^A)$ .

The proofs of Lemma 4.3 are straight forward. We will denote the relations  $\theta|_B$  and  $\theta \cup \{(x, x) | x \in A\}$  in Lemma 4.3 by  $\theta_B$  and  $\theta^A$ , respectively.

**Lemma 4.4.** Let  $\bar{A} := (A; f)$  be a symmetric algebra and let  $(a f(a) \dots f^{p-1}(a))$  and  $(b f(b) \dots f^{q-1}(b))$  be cycles in a product of  $f$  for some positive integers  $p$  and  $q$ . Let  $\theta \in \text{Con}(\bar{A})$ .

(i) If  $(a, f^r(a)) \in \theta$  for some  $0 < r \leq p - 1$ , then  $(a, f^{kr}(a)) \in \theta$  for all non-negative integer  $k$ .

(ii) If there exists integer  $0 < r \leq p - 1$  such that  $(a, f^r(a)) \in \theta$  and  $r$  is not a factor of  $p$ , then  $\{a, f(a), \dots, f^{p-1}(a)\}$  is a subset of a block of  $A/\theta$ .

(iii) If  $(p, q) = 1$  and  $(a, b) \in \theta$ , then  $\{a, f(a), \dots, f^{p-1}(a), b, f(b), \dots, f^{q-1}(b)\}$  is a subset of a block of  $A/\theta$ .

*Proof.* (i) Assume that  $(a, f^r(a)) \in \theta$  for some  $0 < r \leq p - 1$ . If  $k = 0$  then  $(a, f^0(a)) = (a, a) \in \theta$ . We assume that  $k \neq 0$ . Then (i) follows by taking  $f^r$  to  $(a, f^r(a))$  for  $k$  times for all non-negative integer  $k$  and by the transitivity of  $\theta$ .

(ii) Assume that there exists integer  $0 < r \leq p - 1$  such that  $(a, f^r(a)) \in \theta$  and  $r$  is not a factor of  $p$ . Then the division algorithm implies that there are integers  $t_1$  and  $0 \leq s_1 < r$  such that  $p = rt_1 + s_1$ . Since  $(a, f^r(a)) \in \theta$  and by (i), we have  $(a, f^{rt_1}(a)) \in \theta$ . Let  $r_1 = r - s_1$ . Then  $0 < r_1 < r$ . After applying  $f^r$  to  $(a, f^r(a))$  for  $t_1$  times, we will get  $(f^{rt_1}(a), f^{r_1}(a)) \in \theta$ ; hence, the transitivity of  $\theta$  implies that  $(a, f^{r_1}(a)) \in \theta$ . By repeating the same process, we will get a decreasing sequence of non-negative integers  $0 < \dots < r_1 < r < p$  such that  $(a, f^{r_i}(a)) \in \theta$  for all integers  $i \geq 1$ . Hence, the process will be stop after finite steps; that is, there is a positive integer  $k$  such that  $r_k > 0$  and  $r_{k+1} = 0$ .

It is easily see that  $r_k \neq 1$  implies the continuation of the process; so,  $r_k = 1$ . Hence,  $(a, f(a)) \in \theta$  which implies by applying  $f$  and the transitivity of  $\theta$  that  $(a, f^t(a)) \in \theta$  for all  $0 \leq t \leq p - 1$ .

(iii) Assume that  $(p, q) = 1$  and  $(a, b) \in \theta$ . Without loss of generality, we may assume that  $p < q$ . By the division algorithm and  $(p, q) = 1$ , we have  $q = pk + r$  for some integers  $k$  and  $r$  where  $0 < r < p$ . Then  $(f^r(a), b) = (f^q(a), f^q(b)) \in \theta$ . Since  $(a, b) \in \theta$ , the transitivity of  $\theta$  implies that  $(a, f^r(a)) \in \theta$  for some  $0 < r < p$ . By part(ii),  $(a, f^t(a)) \in \theta$  for all  $0 \leq t \leq p - 1$ . Since  $(a, b) \in \theta$

and by the transitivity of  $\theta$ , we have  $(b, f^t(a)) \in \theta$  for all  $0 \leq t \leq p - 1$ . After applying  $f$  to  $(b, f^t(a))$  for all  $0 \leq t \leq p - 1$ , we can get  $(f^t(a), f^s(b)) \in \theta$  for all  $0 \leq t \leq p - 1$  and  $0 \leq s \leq q - 1$ .  $\square$

In the following proposition, we will show that the converse of Proposition 4.1 is also true by showing that the congruence lattice of  $(A; f)$  is a product of chains; or, a linear sum of a product of chains and a one-element chain.

Recall that a linear sum of an ordered set  $P$  with a one-element chain  $\underline{1}$  is an ordered set  $P \oplus \underline{1}$  which can represent  $P$  with a new top element added.

**Proposition 4.5.** *If  $f$  satisfies (i) or (ii) of Proposition 4.1, then the congruence lattice of  $(A; f)$  is a product of chains; or, a linear sum of a product of chains  $P$  with a one-element chain  $\underline{1}$ .*

*Proof.* Assume that  $f$  is a cycle having no fixed point. Let  $a \in A$ . Then, we may consider  $f = (a f(a) \dots f^{n-1}(a))$ .

We will prove that  $Con(A; f)$  is dually isomorphic to  $\downarrow n$ .

Let  $m \in \downarrow n$ . Then  $0 < m \leq n$ ; hence, there exists an integer  $c_m$  such that  $n = mc_m$ . By Remark 2.25,  $\mathbb{Z}$  can be partitioned into the set  $\mathbb{Z}_m = \{[0], [1], [2], \dots, [m-1]\}$  of all residue classes modulo  $m$  where  $[j]$  is the class of integers which is congruence to  $j$  modulo  $m$  for all  $j \in \{0, 1, 2, \dots, m-1\}$ ; that is,  $[j] = \{x \mid x \equiv j \pmod{m}\}$  for all  $j \in \{0, 1, 2, \dots, m-1\}$ . We define  $f^{[j]m}(a) := \{f^s(a) \mid s \equiv j \pmod{m} \text{ for some integer } s\}$ . Then  $\wp_m := \{f^{[j]m}(a) \mid j \in \{0, 1, 2, \dots, m-1\}\}$  is a partition of  $A$ . It is clear that  $\wp_m$  corresponds to the congruence modulo  $m$  restriction to  $A$  which will be denoted by  $\theta_m$ . Hence,  $\theta_m = \{(x, y) \mid x, y \in f^{[j]m}(a) \text{ for some } j \in \{0, 1, 2, \dots, m-1\}\}$ .

Note that: (i) if  $m = 1$ , then  $c_1 = n$ . Thus  $\wp_1 = \{A\}$  and so,  $\theta_1 = A \times A$  and

(ii) if  $m = n$ , then  $c_n = 1$ . Thus  $\wp_n = \{\{1\}, \{2\}, \dots, \{n\}\}$  and so,  $\theta_n = \Delta_A$ .

Now, we define  $\alpha : \downarrow n \longrightarrow Con(A; f)$  by  $\alpha(m) = \theta_m$  for all  $m \in \downarrow n$ .

Let  $u, v \in \downarrow n$  be such that  $u|v$  and let  $(x, y) \in \theta_v$ . Then there exists  $j \in \{0, 1, \dots, v-1\}$  such that  $x, y \in f^{[j]v}(a)$ . Thus there are integers  $s$  and  $t$  with  $s \equiv j \pmod{v}$  and  $t \equiv j \pmod{v}$  such that  $x = f^s(a)$  and  $y = f^t(a)$ . Since  $u|v$ , Theorem 2.18 implies that  $s \equiv j \pmod{u}$  and  $t \equiv j \pmod{u}$ . Thus  $x, y \in f^{[j]u}(a)$  for some  $j \in \{0, 1, \dots, u-1\}$ ; and so,  $(x, y) \in \theta_u$ . Conversely, let  $u, v \in \downarrow n$  be such that  $\theta_v \subseteq \theta_u$ . Then the partition  $A/\theta_v$  is finer than  $A/\theta_u$  which means  $u = |A/\theta_u| \leq |A/\theta_v| = v$ . If  $u = v$ , then clearly,  $u|v$ . We assume that  $u < v$ . Since  $v|v$ , we have  $v \equiv 0 \pmod{v}$ . So,  $f^v(a) \in f^{[0]v}(a)$ . Since  $f^0(a) \in f^{[0]v}(a)$ , we have  $(f^v(a), f^0(a)) \in \theta_v \subseteq \theta_u$ . So,  $f^0(a) \in f^{[0]u}(a)$  implies that  $f^v(a) \in f^{[0]u}(a)$ . Therefore,  $v \equiv 0 \pmod{u}$ ; hence,  $u|v$ . Thus  $\alpha$  is an order-embedding.

It remains to show that  $\alpha$  is onto.

Let  $\theta \in Con(A; f)$ . If  $\theta$  is an identity relation on  $A$ , then  $\theta = \theta_0 = \theta_n$ . We consider the case that there exist  $x \neq y \in A$  such that  $(x, y) \in \theta$ . Since  $f$  is



a cycle of length  $n$ , we can write  $f = (x f(x) \dots f^{n-1}(x))$ ; hence, there exists  $0 < r \leq n - 1$  such that  $y = f^r(x)$ . If  $r$  is not a factor of  $n$ , Lemma 4.4(ii) implies that  $\theta = A \times A = \theta_1$ . But, if  $r$  is a factor of  $n$ , Lemma 4.4(i) and the transitivity of  $\theta$  implies that  $(f^s(x), f^t(x)) \in \theta$  if and only if  $s \equiv t \pmod{r}$ ; hence,  $\theta = \theta_r$ . In either cases, there exists  $0 \leq r \leq n - 1$  such that  $\theta = \theta_r$ . Thus,  $\alpha(r) = \theta_r = \theta$ . Therefore,  $\alpha$  is onto.

Hence,  $Con(A; f)$  is dually isomorphic to  $\downarrow n$  which is a product of chains. So, the congruence lattice of  $(A; f)$  is a product of chains.

Now, we assume that  $f$  is a cycle with one fixed point or  $f$  satisfies (ii) of Proposition 4.1. In either cases, we may assume that  $f = \alpha_1 \alpha_2$  where  $\alpha_1$  and  $\alpha_2$  are disjoint cycles whose lengths are relatively prime whenever both of them are of lengths more than one. Let  $B_i$  be a subset of  $A$  whose elements are in  $\alpha_i$  for each  $i \in \{1, 2\}$ . Then,  $f|_{B_i}$  is a cycle on  $B_i$  for all  $i \in \{1, 2\}$ . By condition (i), the congruence lattice of  $(B_i; f|_{B_i})$  is a product of chains for all  $i \in \{1, 2\}$ .

For each  $\theta_i \in Con(B_i; f|_{B_i})$  for  $i \in \{1, 2\}$ , we define  $\theta_i^A := \theta_i \cup \{(x, x) | x \in A\}$  for each  $i \in \{1, 2\}$ . Then  $\theta_i^A$  is a congruence relation on  $(A; f)$  for all  $i \in \{1, 2\}$ .

Now, we define  $\beta : Con(B_1; f|_{B_1}) \times Con(B_2; f|_{B_2}) \longrightarrow Con(A; f)$  by  $\beta(\theta_1, \theta_2) = \theta_1^A \vee \theta_2^A$  for each  $\theta_i \in Con(B_i; f|_{B_i})$  and for all  $i \in \{1, 2\}$ .

Let  $\theta_i$  and  $\phi_i$  be congruence relations on  $(B_i; f|_{B_i})$  for each  $i \in \{1, 2\}$ . Since  $B_1 \cap B_2 = \emptyset$  and  $B_1 \cup B_2 = A$ , we have  $\theta_1^A \cup \theta_2^A$  and  $\phi_1^A \cup \phi_2^A$  are congruence relations on  $(A; f)$ . It is clear that  $\theta_1^A \vee \theta_2^A = \theta_1^A \cup \theta_2^A$  and  $\phi_1^A \vee \phi_2^A = \phi_1^A \cup \phi_2^A$ . Thus

$$\begin{aligned} (\theta_1, \theta_2) \subseteq (\phi_1, \phi_2) &\iff \theta_i \subseteq \phi_i \text{ for each } i \in \{1, 2\}, \\ &\iff \theta_i^A \subseteq \phi_i^A \text{ for each } i \in \{1, 2\}, \\ &\iff \theta_1^A \cup \theta_2^A \subseteq \phi_1^A \cup \phi_2^A, \\ &\iff \theta_1^A \vee \theta_2^A \subseteq \phi_1^A \vee \phi_2^A, \\ &\iff \beta(\theta_1, \theta_2) \subseteq \beta(\phi_1, \phi_2). \end{aligned}$$

So,  $\beta$  is an order-embedding.

Therefore,  $Con(B_1; f|_{B_1}) \times Con(B_2; f|_{B_2})$  can be embedded as a sublattice of  $Con(A; f)$ .

Now, we will show that  $\theta_1^A \vee \theta_2^A \neq A \times A$  for each  $\theta_i \in Con(B_i; f|_{B_i})$  and for all  $i \in \{1, 2\}$ .

Let  $\theta_i \in Con(B_i; f|_{B_i})$  for  $i \in \{1, 2\}$ . Since  $B_1 \cap B_2 = \emptyset$ , we have  $(x, y) \notin \theta_1^A \vee \theta_2^A$  for each  $x \in B_1$  and  $y \in B_2$ . Since  $B_i \neq \emptyset$  for  $i \in \{1, 2\}$ , it is clear that  $\theta_1^A \vee \theta_2^A \subset A \times A$ . It follows that  $Im \beta \subseteq Con(A; f) \setminus \{A \times A\}$ . Let  $\theta \in Con(A; f) \setminus \{A \times A\}$ . Then  $\theta_{B_i}$  is a congruence relation on  $(B_i; f|_{B_i})$  such that  $\theta_{B_i}^A \subseteq \theta$  for each  $i \in \{1, 2\}$ . Since  $B_1 \cap B_2 = \emptyset$  and  $f(B_i) = B_i$  for all  $i \in \{1, 2\}$ , we have  $\theta_{B_1}^A \cup \theta_{B_2}^A$  is a congruence relation on  $(A; f)$ ; so,  $\theta_{B_1}^A \vee \theta_{B_2}^A = \theta_{B_1}^A \cup \theta_{B_2}^A \subseteq \theta$ . Conversely, let  $(a, b) \in \theta$ . We

suppose that  $a \in B_1$  and  $b \in B_2$ . Since the lengths of  $\alpha_1$  and  $\alpha_2$  are relatively prime, Lemma 4.4(iii) implies that  $B_1 \cup B_2$  is a subset of a block of  $A/\theta$ . Since  $B_1 \cup B_2 = A$ , we have  $\theta = A \times A$ , a contradiction. Thus  $\{a, b\} \subseteq B_i$  for some  $i \in \{1, 2\}$ . So,  $(a, b) \in \theta_{B_i} \subseteq \theta_{B_i}^A \subseteq \theta_{B_1}^A \cup \theta_{B_2}^A$ . Therefore,  $\theta = \theta_{B_1}^A \vee \theta_{B_2}^A$ ; that is,  $\theta = \beta(\theta_{B_1}, \theta_{B_2})$ . So,  $\theta \in \text{Im}\beta$ . Therefore,  $\text{Con}(A; f) \setminus \{A \times A\} \subseteq \text{Im}\beta$ .

Hence,  $\text{Im}\beta = \text{Con}(A; f) \setminus \{A \times A\}$ .

Therefore,  $\text{Con}(B_1; f|_{B_1}) \times \text{Con}(B_2; f|_{B_2}) \cong \text{Con}(A; f) \setminus \{A \times A\}$ . Since  $\text{Con}(B_i; f|_{B_i})$  is a product of chains for each  $i \in \{1, 2\}$ , we have that  $\text{Con}(B_1; f|_{B_1}) \times \text{Con}(B_2; f|_{B_2})$  is a product of chains which implies that  $\text{Con}(A; f) \setminus \{A \times A\}$  is a product of chains.

Hence, the congruence lattice of  $(A; f)$  is a linear sum of a product of chains  $P$  and a one-element chain  $\underline{1}$ .  $\square$

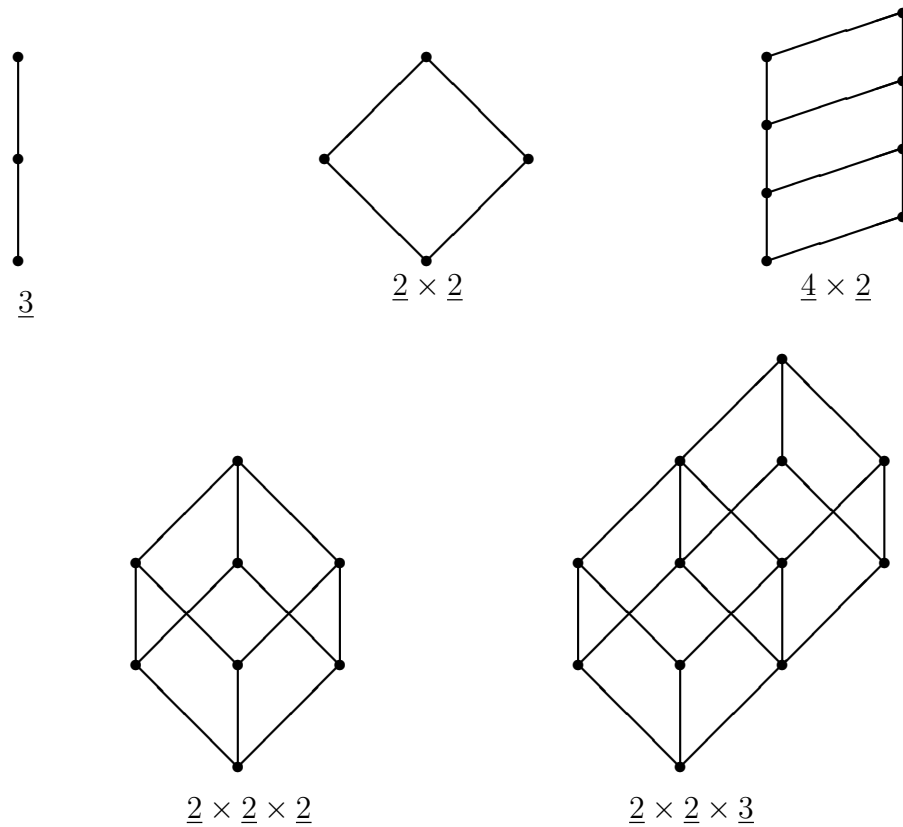


Figure 7. The congruence lattices of some symmetric algebras whose the permutation operation is a cycle having no fixed point.

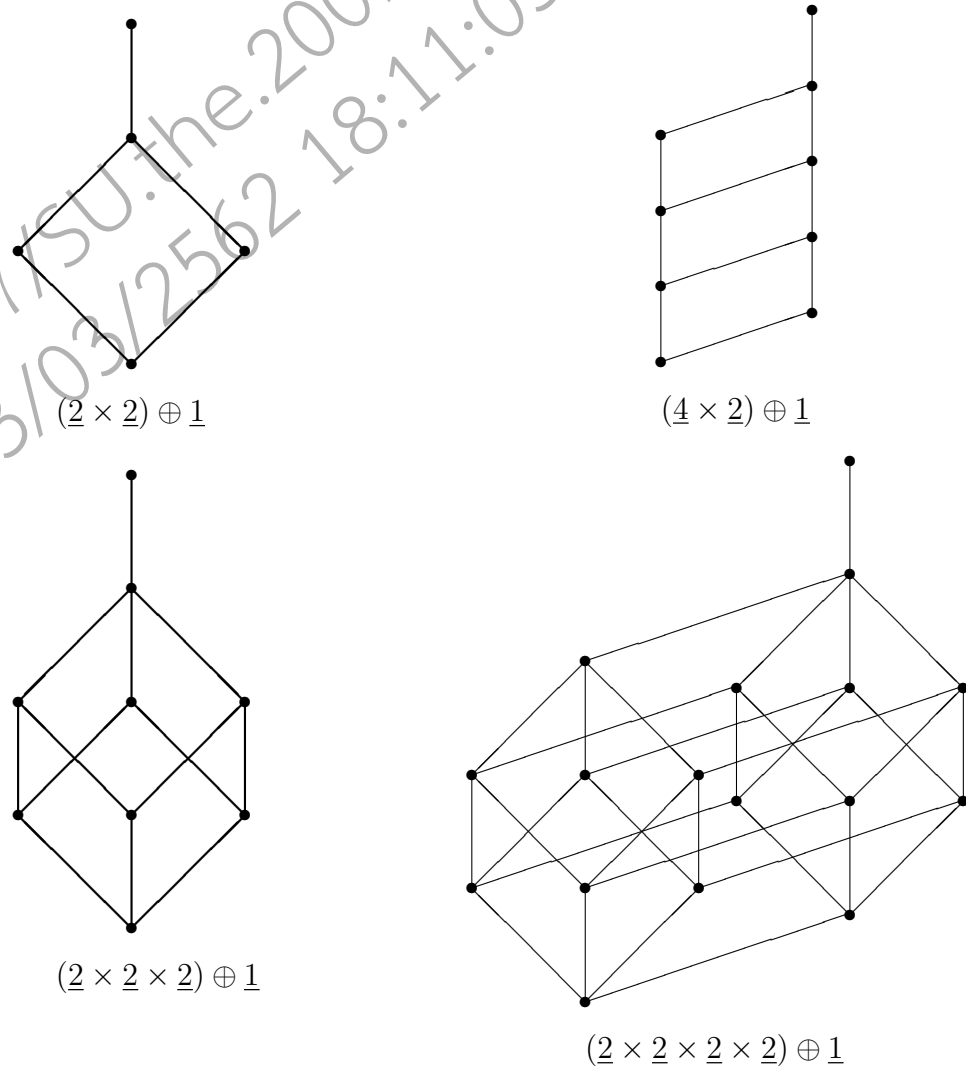


Figure 8. The congruence lattices of some symmetric algebras whose the permutation operation is a product of atmost two disjoint cycles whose lengths are relatively prime and one of them can be of length 1.

The following theorem shows a characterization of all symmetric algebras which are congruence-distributive and the proof of the theorem is followed directly by Proposition 4.1 and Proposition 4.5.

**Theorem 4.6.** *Let  $\bar{A} := (A; f)$  be a symmetric algebra. Then the followings are equivalent:*

- (i)  $\bar{A}$  is congruence-distributive.
- (ii) Conditions (i) or (ii) of Proposition 4.1 are satisfied.
- (iii)  $\text{Con}(\bar{A})$  is either a product of chains or a linear sum of a product of chains with a one-element chain  $\underline{1}$ .

Now, we are looking for conditions on  $f$  whose symmetric algebra  $(A; f)$  is congruence-modular. It is known that if  $A$  is singleton or a two-element set then  $(A; f)$  is congruence-distributive and so,  $(A; f)$  is congruence-modular. In the case  $A$  having cardinality 3, if  $f$  is an identity on  $A$  then the congruence lattice of  $(A; f)$  is modular and if  $f$  is not an identity then Theorem 4.6 implies that the congruence lattice of  $(A; f)$  is distributive which implies that it is congruence-modular. Therefore, we will study the case that the cardinality of  $A$  is more than three.

In the following proposition, we will prove necessary conditions for a permutation  $f$  on  $A$  which  $(A; f)$  is congruence-modular.

**Proposition 4.7.** *Let  $(A; f)$  be a symmetric algebra with  $|A| \geq 4$ . If  $(A; f)$  is congruence-modular, then  $f$  is either one of the followings:*

- (i)  $f$  is a cycle having at most two fixed points, or
- (ii)  $f$  has at most one fixed point and  $f$  is a product of two disjoint cycles whose lengths are relatively prime, or
- (iii)  $f$  has no fixed point and  $f$  is a product of three disjoint cycles whose lengths are relatively prime.

*Proof.* We will prove by the contrapositive. Suppose that (i), (ii) and (iii) are not true. Then  $f$  is a product of at least four disjoint cycles. Let  $f = \alpha_1 \alpha_2 \dots \alpha_r$  where  $r \geq 4$  and all  $\alpha_i$  are cycles (can be of length 1) and  $\alpha_i$  and  $\alpha_j$  are disjoint for each  $1 \leq i \neq j \leq r$ . Let  $B_i$  be a subset of  $A$  whose elements are in  $\alpha_i$  for each  $i \in \{1, 2, \dots, r\}$ . Because  $r \geq 4$ , let  $\sigma = (1234)$  and let  $i \in \{1, 2, 3, 4\}$ .

Now, let define  $\theta_j \subseteq A \times A$  for each  $j \in \{1, 2, 3\}$  as follows:

$$\theta_1 = \Delta_A \cup \{(x, y) \mid \{x, y\} \subseteq B_i \cup B_{\sigma(i)} \text{ or } \{x, y\} \subseteq B_{\sigma^2(i)} \text{ or } \{x, y\} \subseteq B_{\sigma^3(i)}\},$$

$$\theta_2 = \Delta_A \cup \{(x, y) \mid \{x, y\} \subseteq B_i \cup B_{\sigma(i)} \text{ or } \{x, y\} \subseteq B_{\sigma^2(i)} \cup B_{\sigma^3(i)}\}, \text{ and}$$

$$\theta_3 = \Delta_A \cup \{(x, y) \mid \{x, y\} \subseteq B_i \cup B_{\sigma^2(i)} \text{ or } \{x, y\} \subseteq B_{\sigma(i)} \cup B_{\sigma^3(i)}\}.$$

Then  $\theta_i$  is invariant under  $f$  for each  $i \in \{1, 2, 3\}$ . Thus  $\theta_1 \subseteq \theta_2$  and

$$\theta_1 \wedge \theta_3 = \Delta_A \cup \left( \bigcup_{k=1}^4 \{(x, y) \mid x, y \in B_k\} \right) = \theta_2 \wedge \theta_3.$$

Now, we will show that  $\theta_1 \vee \theta_3 = \theta_2 \vee \theta_3$ .

It is clearly,  $\theta_1 \vee \theta_3 \subseteq \theta_2 \vee \theta_3$ .

Let  $(a, b) \in \theta_2 \vee \theta_3$ . There are  $a = q_0, q_1, \dots, q_t = b \in A$  such that  $(q_k, q_{k+1}) \in \theta_2 \cup \theta_3$  for all  $0 \leq k \leq t-1$ . Without loss of generality, we may assume that  $(a, q_1) \in \theta_2$ . Since  $\{a = q_0, q_1, \dots, q_t = b\}$  is finite, there is the greatest element  $q_k \in \{a = q_0, q_1, \dots, q_t = b\}$  such that  $(q_{i-1}, q_i) \in \theta_2$  for each  $1 \leq i \leq k$  but  $(q_k, q_{k+1}) \in \theta_3$ , and so, the transitivity of  $\theta_2$  implies that  $(a, q_k) \in \theta_2$ .

If  $(q_j, q_{j+1}) \in \theta_3$  for each  $k \leq j \leq t-1$ , the transitivity of  $\theta_3$  implies that  $(q_k, b) \in \theta_3$ . Since  $(a, q_k) \in \theta_2$ , we have  $\{a, q_k\} \subseteq B_i \cup B_{\sigma(i)}$  or  $\{a, q_k\} \subseteq B_{\sigma^2(i)} \cup B_{\sigma^3(i)}$ .

If  $\{a, q_k\} \subseteq B_i \cup B_{\sigma(i)}$ , then  $(a, q_k) \in \theta_1$ , and since  $(q_k, b) \in \theta_3$ , we have  $(a, b) \in \theta_1 \vee \theta_3$ .

Thus, we assume that  $\{a, q_k\} \subseteq B_{\sigma^2(i)} \cup B_{\sigma^3(i)}$ .

If  $\{a, q_k\} \subseteq B_{\sigma^2(i)}$  or  $\{a, q_k\} \subseteq B_{\sigma^3(i)}$ , then  $(a, q_k) \in \theta_3$  and since  $(q_k, b) \in \theta_3$ , we have  $(a, b) \in \theta_3 \subseteq \theta_1 \vee \theta_3$ .

Without loss of generality, we may assume that  $a \in B_{\sigma^2(i)}$  and  $q_k \in B_{\sigma^3(i)}$ . Since  $(q_k, b) \in \theta_3$  and  $q_k \in B_{\sigma^3(i)}$ , we have  $b \in B_{\sigma(i)}$  or  $b \in B_{\sigma^3(i)}$ . Since  $a \in B_{\sigma^2(i)}$  and by definition of  $\theta_3$ , we have  $(a, k) \in \theta_3$  for all  $k \in B_i$ . Since  $B_i \neq \emptyset$ , there is a  $x \in B_i$  such that  $(a, x) \in \theta_3$ . Since  $x \in B_i$  and by the definition of  $\theta_1$ , we have  $(x, l) \in \theta_1$  for all  $l \in B_{\sigma(i)}$ . Since  $B_{\sigma(i)} \neq \emptyset$ , there is a  $s \in B_{\sigma(i)}$  such that  $(x, s) \in \theta_1$ . Since  $s \in B_{\sigma(i)}$  and by the definition of  $\theta_3$ , we have  $(s, m) \in \theta_3$  for all  $m \in B_{\sigma^3(i)}$ . Since  $q_k \in B_{\sigma^3(i)}$ , we have  $(s, q_k) \in \theta_3$ . Thus  $a \theta_3 x \theta_1 s \theta_3 q_k \theta_3 b$  which implies that  $(a, b) \in \theta_1 \vee \theta_3$ . So,  $\theta_2 \vee \theta_3 \subseteq \theta_1 \vee \theta_3$ .

Next, we assume that  $(q_j, q_{j+1}) \notin \theta_3$  for each  $k \leq j \leq t-1$ . The finitary of  $\{a = q_0, q_1, \dots, q_t = b\}$  implies that there is a greatest element  $q_r \in \{a = q_0, q_1, \dots, q_t = b\}$  such that  $(q_{c-1}, q_c) \in \theta_3$  for each  $k+1 \leq c \leq r$  but  $(q_r, q_{r+1}) \in \theta_2$ , and so, the transitivity of  $\theta_3$  implies that  $(q_k, q_r) \in \theta_3$ .

Now, we have  $(a, q_k) \in \theta_2$  and  $(q_k, q_r) \in \theta_3$ . The proof above implies that  $(a, q_r) \in \theta_1 \vee \theta_3$ . Since  $(q_r, q_{r+1}) \in \theta_2$ , we can prove by continuing in this process, and so,  $\theta_2 \vee \theta_3 \subseteq \theta_1 \vee \theta_3$ .

Hence,  $\theta_1 \vee \theta_3 = \theta_2 \vee \theta_3$ .

So,  $\{\theta_1, \theta_2, \theta_3, \theta_1 \wedge \theta_3, \theta_1 \vee \theta_3\}$  is a sublattice of the congruence lattice of  $(A; f)$  which is isomorphic to  $N_5$  ( see Figure 9 ). Hence, by  $M_3 - N_5$  Theorem, the congruence lattice of  $(A; f)$  is not modular. □

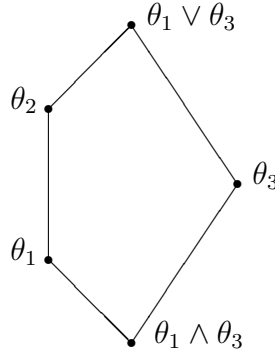


Figure 9. A sublattice of the congruence lattice of  $(A; f)$  which is isomorphic to  $N_5$

We note from Proposition 4.7 that the congruence lattice of  $(A; f)$  is not modular if the cardinality of  $A$  is more than three and  $f$  is an identity on  $A$ .

We will now prove sufficient conditions for a permutation  $f$  on  $A$  whose the congruence lattice of  $(A; f)$  is modular which is not distributive.

**Proposition 4.8.** *Let  $(A; f)$  be a symmetric algebra with  $|A| \geq 4$ . If  $f$  is a product of three disjoint cycles (can be of length 1) and each pair of them are of relatively prime lengths, then the congruence lattice of  $(A; f)$  is modular which is not distributive.*

*Proof.* Assume that  $f$  is a product of three disjoint cycles  $\alpha_1\alpha_2\alpha_3$  where one or two of them can be of length 1 and each pair of  $\alpha_i$  and  $\alpha_j$  with  $1 \leq i \neq j \leq 3$  are relatively prime lengths. Let  $B_i$  be a subset of  $A$  whose elements are in  $\alpha_i$  for each  $i \in \{1, 2, 3\}$ . Then,  $f|_{B_i}$  is a cycle on  $B_i$  for all  $i \in \{1, 2, 3\}$ . Proposition 4.5 implies that the congruence lattice of  $(B_i; f|_{B_i})$  is a product of chains for each  $i \in \{1, 2, 3\}$ . Since the lengths of  $\alpha_i$  and  $\alpha_j$  for  $1 \leq i \neq j \leq 3$  are relatively prime, Proposition 4.5 implies that the congruence lattice of  $(B_i \cup B_j; f|_{B_i \cup B_j})$  is a linear sum of a product of chains  $P$  with a one-element chain  $\underline{1}$ .

**Claim 1:** If  $\theta \in \text{Con}(A; f) \setminus \{A \times A\}$ , then  $\theta$  is one of the following forms:

$$\theta = \bigcup_{i=1}^3 \theta_{B_i} \quad \text{or} \quad \theta = \theta_{B_i \cup B_j} \cup \theta_{B_k}.$$

Assume that  $\theta \in \text{Con}(A; f) \setminus \{A \times A\}$ . Then  $\theta_{B_i} \subseteq \theta$  for all  $i \in \{1, 2, 3\}$ . If  $\theta = \Delta_A$ , then  $\theta_{B_i} = \Delta_{B_i}$  for all  $i \in \{1, 2, 3\}$ . Since  $A = B_1 \cup B_2 \cup B_3$ , we have  $\Delta_A = \bigcup_{i=1}^3 \Delta_{B_i} = \bigcup_{i=1}^3 \theta_{B_i}$ . We will consider  $\theta \in \text{Con}(A; f) \setminus \{\Delta_A, A \times A\}$  and  $\theta \neq \bigcup_{i=1}^3 \theta_{B_i}$ . Since  $\theta_{B_i} \subseteq \theta$  for all  $i \in \{1, 2, 3\}$  and  $\theta_{B_i \cup B_j} \subseteq \theta$  for all  $1 \leq i \neq j \leq 3$ , we have  $\theta_{B_i \cup B_j} \cup \theta_{B_k} \subseteq \theta$ .

Now, let  $(x, y) \in \theta$ . Since  $\theta \neq \bigcup_{i=1}^3 \theta_{B_i}$ ,  $\{x, y\} \not\subseteq B_i$  for all  $i \in \{1, 2, 3\}$ . Since  $A = B_1 \cup B_2 \cup B_3$ , there are  $1 \leq i \neq j \leq 3$  such that  $x \in B_i$  and  $y \in B_j$ . Since  $B_i \cap B_j = \emptyset$ , we have  $(x, y) \in \theta_{B_i \cup B_j} \subseteq \theta_{B_i \cup B_j} \cup \theta_{B_k}$ . So,  $\theta \subseteq \theta_{B_i \cup B_j} \cup \theta_{B_k}$ . Hence,  $\theta = \theta_{B_i \cup B_j} \cup \theta_{B_k}$ .

**Claim 2:** For each  $1 \leq i \neq j \leq 3$  and  $k \notin \{i, j\}$ ,  $\text{Con}(B_i \cup B_j; f|_{B_i \cup B_j}) \times \text{Con}(B_k; f|_{B_k})$  can be embedded as a sublattice of  $\text{Con}(A; f)$ .

We define  $\beta : \text{Con}(B_i \cup B_j; f|_{B_i \cup B_j}) \times \text{Con}(B_k; f|_{B_k}) \longrightarrow \text{Con}(A; f)$  by  $(\theta, \phi) \longmapsto \bar{\theta} \vee \bar{\phi}$  where  $\bar{\theta} = \theta \cup \Delta_{B_k}$  and  $\bar{\phi} = \phi \cup \Delta_{B_i \cup B_j}$  for all  $\theta \in \text{Con}(B_i \cup B_j; f|_{B_i \cup B_j})$  and  $\phi \in \text{Con}(B_k; f|_{B_k})$ .

Let  $\theta_t \in \text{Con}(B_i \cup B_j; f|_{B_i \cup B_j})$  and  $\phi_t \in \text{Con}(B_k; f|_{B_k})$  for each  $t \in \{1, 2\}$ . Since  $B_i \cap B_j = \emptyset$  for all  $i \in \{1, 2, 3\}$  and  $B_1 \cup B_2 \cup B_3 = A$ , we have  $\bar{\theta}_t \cup \bar{\phi}_t = \bar{\theta}_t \vee \bar{\phi}_t$  for each  $t \in \{1, 2\}$ . Thus

$$\begin{aligned} (\theta_1, \phi_1) \subseteq (\theta_2, \phi_2) &\iff \theta_1 \subseteq \theta_2 \text{ and } \phi_1 \subseteq \phi_2 \\ &\iff \bar{\theta}_1 \subseteq \bar{\theta}_2 \text{ and } \bar{\phi}_1 \subseteq \bar{\phi}_2 \\ &\iff \bar{\theta}_1 \cup \bar{\phi}_1 \subseteq \bar{\theta}_2 \cup \bar{\phi}_2, \\ &\iff \bar{\theta}_1 \vee \bar{\phi}_1 \subseteq \bar{\theta}_2 \vee \bar{\phi}_2, \\ &\iff \beta(\theta_1, \phi_1) \subseteq \beta(\theta_2, \phi_2). \end{aligned}$$

So,  $\beta$  is an order-embedding.

For each  $i \in \{1, 2, 3\}$ , let  $C_i$  be a sublattice of  $Con(A; f)$  which is isomorphic to  $Con(B_i \cup B_{\sigma(i)}; f|_{B_i \cup B_{\sigma(i)}}) \times Con(B_{\sigma^2(i)}; f|_{B_{\sigma^2(i)}})$  and let  $m_i$  be the greatest element of  $C_i$ .

**Claim 3:**  $m_1, m_2$  and  $m_3$  are the only co-atoms of  $Con(A; f)$ .

First of all, we will show that  $m_i \neq A \times A$  for each  $i \in \{1, 2, 3\}$ .

Let  $i \in \{1, 2, 3\}$ . Since  $|A| \geq 4$  and  $\{B_1, B_2, B_3\}$  is a partition of  $A$ , there are  $x \in B_i$  and  $y \in B_{\sigma^2(i)}$ . Therefore,  $(x, y) \notin m_i$ . So,  $m_i \neq A \times A$  for each  $i \in \{1, 2, 3\}$ . Next, let  $i \in \{1, 2, 3\}$  and let  $\theta \in Con(A; f)$  such that  $m_i \subset \theta \subseteq A \times A$ . Then there exist  $a, b \in A$  such that  $(a, b) \in \theta$  but  $(a, b) \notin m_i$ . Thus  $\{a, b\} \not\subseteq B_i \cup B_{\sigma(i)}$  and  $\{a, b\} \not\subseteq B_{\sigma^2(i)}$ . Since  $\{B_1, B_2, B_3\}$  is a partition of  $A$ , we may assume that  $a \in B_i$  and  $b \in B_{\sigma^2(i)}$ . Now, let  $x, y \in A$ . If  $\{x, y\} \subseteq B_i \cup B_{\sigma(i)}$  or  $\{x, y\} \subseteq B_{\sigma^2(i)}$  then  $(x, y) \in m_i$  for some  $i \in \{1, 2, 3\}$ . If  $\{x, y\} \not\subseteq B_i \cup B_{\sigma(i)}$  and  $\{x, y\} \not\subseteq B_{\sigma^2(i)}$ , we may assume that  $x \in B_i \cup B_{\sigma(i)}$  and  $y \in B_{\sigma^2(i)}$ . Then  $x, a \in B_i \cup B_{\sigma(i)}$ ; and so,  $(x, a) \in m_i \subseteq \theta$  and  $(b, y) \in m_i \subseteq \theta$ . Since  $(a, b) \in \theta$  and by the transitivity of  $\theta$ , we have  $(x, y) \in \theta$ . So,  $\theta = A \times A$ .

Hence,  $m_i$  is a co-atom of  $Con(A; f)$  for each  $i \in \{1, 2, 3\}$ .

Finally, let  $\theta \in Con(A; f)$  be such that  $\theta \not\subseteq m_i$  for each  $i \in \{1, 2, 3\}$ . Then for each  $i \in \{1, 2, 3\}$ , there are  $a, b, c, d, p, q \in A$  such that  $(a, b) \in \theta$ ,  $(c, d) \in \theta$  and  $(p, q) \in \theta$  but  $(a, b) \notin m_i$ ,  $(c, d) \notin m_{\sigma(i)}$  and  $(p, q) \notin m_{\sigma^2(i)}$ . Hence, by the definition of  $m_i$ ,  $m_{\sigma(i)}$  and  $m_{\sigma^2(i)}$ , we have

$$\begin{aligned} a &\in B_i \cup B_{\sigma(i)} \quad \text{and} \quad b \in B_{\sigma^2(i)}, \\ c &\in B_{\sigma(i)} \cup B_{\sigma^2(i)} \quad \text{and} \quad d \in B_i, \\ p &\in B_{\sigma^2(i)} \cup B_i \quad \text{and} \quad q \in B_{\sigma(i)}. \end{aligned}$$

If  $a \in B_i$ , the cyclicity of  $f$  and  $(a, b) \in \theta$  implies that  $(x, y) \in \theta$  for all  $x, y \in B_i \cup B_{\sigma^2(i)}$ . From  $(p, q) \in \theta$ , we have either  $(s, t) \in \theta$  for all  $s, t \in B_{\sigma(i)} \cup B_{\sigma^2(i)}$  or  $(s, t) \in \theta$  for all  $B_i \cup B_{\sigma(i)}$ .

In any cases, the transitivity of  $\theta$  implies that  $(s, t) \in \theta$  for all  $s, t \in A$ . Hence,  $\theta = A \times A$ .

We can also prove similarly for the case  $a \in B_{\sigma(i)}$  that  $\theta = A \times A$ .

Therefore,  $m_1, m_2$  and  $m_3$  are the only co-atoms of  $Con(A; f)$ .

It is clearly,  $m_i \vee m_{\sigma(i)} = A \times A$ , for each  $i \in \{1, 2, 3\}$ .

Let  $m$  be the greatest element of the sublattice  $C := \bigcap_{i=1}^3 C_i$  of  $Con(A; f)$ .

**Claim 4:**  $m = m_i \wedge m_{\sigma(i)}$ , for each  $i \in \{1, 2, 3\}$ .

Let  $i \in \{1, 2, 3\}$ . It is clearly,  $m$  is a lower bound of  $\{m_1, m_2, m_3\}$ . So,  $m \subseteq m_i \wedge m_{\sigma(i)}$ .

Let  $\theta$  is a lower bound of  $\{m_i, m_{\sigma(i)}\}$ . Then  $\theta \subseteq m_i$  and  $\theta \subseteq m_{\sigma(i)}$ . Thus  $\theta \in C_i$  and  $\theta \in C_{\sigma(i)}$ . So,  $\theta \in C_i \cap C_{\sigma(i)}$  which implies that  $\theta \subseteq m$ .

Therefore,  $m = m_i \wedge m_{\sigma(i)}$  for each  $i \in \{1, 2, 3\}$ .

From Claim 3 and Claim 4, we have that  $\{m, m_1, m_2, m_3, A \times A\}$  is a sublattice of

$Con(A; f)$  which is isomorphic to  $M_3$ . Therefore,  $M_3 - N_5$  Theorem implies that  $Con(A; f)$  is not distributive.

Now, we will show that  $Con(A; f)$  has no sublattice which is isomorphic to  $N_5$ . Note that: if  $\theta, \phi \in Con(A; f)$  such that  $\phi \subseteq \theta$  then  $\theta, \phi \in C_i$  for some  $i \in \{1, 2, 3\}$ . Let  $\theta, \phi, \varphi \in Con(A; f)$  such that  $\phi \subset \theta$ ,  $\varphi \parallel \theta$  and  $\varphi \parallel \phi$ . Then there exists a  $1 \leq i \leq 3$  such that  $\theta, \phi \in C_i$ . If  $\varphi \in C_i$  then  $\theta, \phi, \varphi \in C_i$  and so, the distributivity of  $C_i$  imply that  $\phi \wedge \varphi \neq \theta \wedge \varphi$  and  $\phi \vee \varphi \neq \theta \vee \varphi$ . We consider the case  $\varphi \in C_j$  for some  $1 \leq j \neq i \leq 3$ . If  $\varphi \in C$  then the distributivity of  $C_i$  imply that  $\phi \wedge \varphi \neq \theta \wedge \varphi$  and  $\phi \vee \varphi \neq \theta \vee \varphi$ . We consider the case  $\varphi \in C_j \setminus C$ .

If  $\theta \in C_i \setminus C$  and  $\phi \in C$ , then  $\theta \vee \varphi = A \times A$  and  $\phi \vee \varphi = m_j$ . Thus  $\phi \vee \varphi \subset \theta \vee \varphi$ . If  $\theta, \phi \in C_i \setminus C$ , by claim 1,  $\theta = \theta_{B_i \cup B_{\sigma(i)}} \cup \theta_{B_{\sigma^2(i)}}$  and  $\varphi = \varphi_{B_j \cup B_{\sigma(j)}} \cup \varphi_{B_{\sigma^2(j)}}$ . Thus

$$\begin{aligned} \theta \wedge \varphi &= (\theta_{B_i \cup B_{\sigma(i)}} \cup \theta_{B_{\sigma^2(i)}}) \cap (\varphi_{B_j \cup B_{\sigma(j)}} \cup \varphi_{B_{\sigma^2(j)}}) \\ &\supset (\phi_{B_i \cup B_{\sigma(i)}} \cup \phi_{B_{\sigma^2(i)}}) \cap (\varphi_{B_j \cup B_{\sigma(j)}} \cup \varphi_{B_{\sigma^2(j)}}) \\ &= \phi \wedge \varphi. \end{aligned}$$

Therefore, there are no sublattices of  $Con(A; f)$  which are isomorphic to  $N_5$ . Hence,  $Con(A; f)$  is modular.  $\square$

**Corollary 4.9.** *If  $(A; f)$  is a symmetric algebra with  $|A| \geq 4$  then there exist co-atoms  $m_1, m_2$  and  $m_3$  of  $Con(A; f)$  which satisfy the following conditions:*

(i) *for each  $i \in \{1, 2, 3\}$ ,  $\downarrow m_i$  is a lattice of one of the following forms:*

$$P \text{ or } P \oplus \underline{1} \text{ or } (P \oplus \underline{1}) \times Q$$

where  $P$  and  $Q$  are product of chains.

(ii) *The set  $\{m, m_1, m_2, m_3, A \times A\}$  is a sublattice of  $Con(A; f)$  which is isomorphic to  $M_3$  where  $m$  is the greatest element of a sublattice  $\bigcap_{i=1}^3 \downarrow m_i$  of  $Con(A, f)$ .*

The proof of Corollary 4.9 is followed by Proposition 4.7 and Proposition 4.8.

**Definition 4.10.** *Let  $L$  be a lattice with the greatest element 1. We say that  $L$  is a  $M_3$ -head lattice if  $L$  satisfies the following conditions:*

(i)  *$L$  contains exactly three co-atoms  $m_1, m_2$  and  $m_3$  which  $\downarrow m_i$  satisfy the Condition (i) of Corollary 4.9 for each  $i \in \{1, 2, 3\}$ , and*

(ii) *The set  $\{m, m_1, m_2, m_3, 1\}$  forms a sublattice of  $L$  which is isomorphic to  $M_3$  where  $m$  is the greatest element of  $\bigcap_{i=1}^3 \downarrow m_i$ .*

**Proposition 4.11.**  *$M_3$ -head lattice is a modular which is not distributive.*

We can prove Proposition 4.11 similarly of the proof of Proposition 4.8.



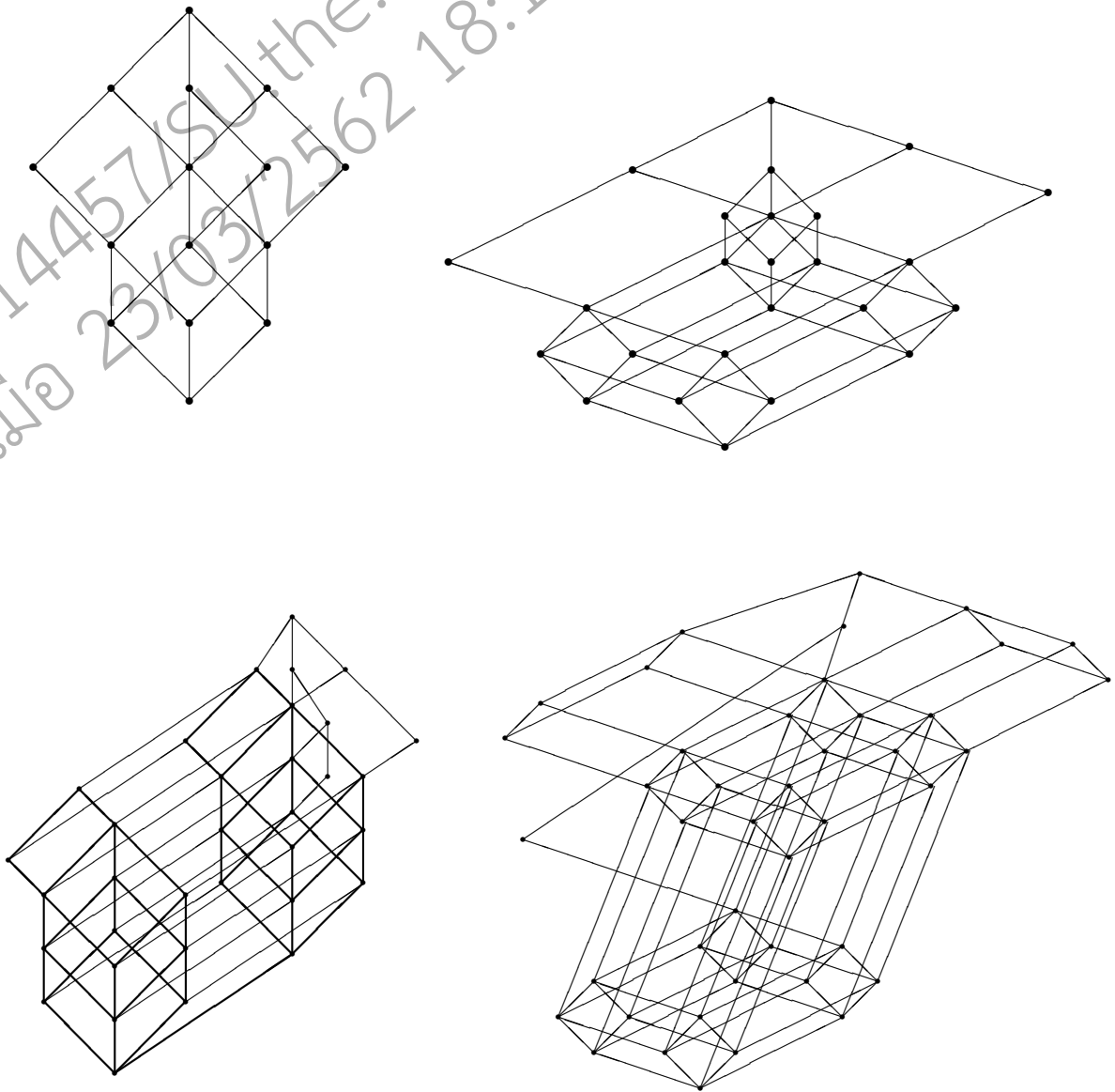


Figure 10. The congruence lattices of some symmetric algebras whose the permutation is a product of three disjoint cycles whose lengths are relatively prime; and, one or two of them can be of length 1.

Theorem 4.12 shows a characterization of a permutation  $f$  on a finite set  $A$  whose  $(A; f)$  is congruence-modular and the proof of the theorem is followed directly by Proposition 4.7, Proposition 4.8, Corollary 4.9 and Proposition 4.11.

**Theorem 4.12.** *Let  $\bar{A} := (A; f)$  be a symmetric algebra. Then the followings are equivalent:*

- (i)  $\bar{A}$  is a congruence-modular,
- (ii) Conditions (i), (ii) or (iii) of Proposition 4.7 are satisfied,
- (iii)  $\text{Con}(\bar{A})$  is either a product of chains or a linear sum of a product of chains with one-element chain or a  $M_3$ -head lattice.

# Chapter 5

## All Congruence-modular Near-symmetric Algebras

In chapter 4, we characterize all unary operations  $f$  on a finite set  $A$  with  $\lambda(f) = 0$  whose algebra is congruence-distributive or congruence-modular. In this chapter, we will study in a similar way for characterizations of all unary operations  $f$  on a finite set  $A$  with  $\lambda(f) = 1$  whose algebra is congruence-distributive or congruence-modular.

Let  $A$  be a finite set and let  $f$  be a unary operation on  $A$  with  $\lambda(f) = 1$ . Then we call  $(A; f)$  a **near-symmetric algebra**. Recall that  $\lambda(f) = 1$  if and only if  $Imf = Imf^2$ .

The following proposition proves a characterization of a unary operation  $f$  on a finite set  $A$  with  $\lambda(f) = 1$ .

**Proposition 5.1.** *Let  $A$  be a finite set with  $|A| \geq 2$  and let  $f$  be a unary operation on  $A$ . Then the followings are equivalent:*

1.  $\lambda(f) = 1$ ,
2. there is a  $\emptyset \neq B \subset A$  such that  $B \cap Imf = \emptyset$  and  $f|_{A \setminus B}$  is a permutation,
3.  $Imf \subset A$  and  $f|_{Imf}$  is a permutation, and
4.  $Imf \subset A$  and  $B \cap Imf$  is a one-element set for all  $B \in A_{/ker f}$ .

*Proof.* (1)  $\Rightarrow$  (2) Assume that  $\lambda(f) = 1$ . Then  $Imf \subset A$ . Therefore, there is a  $\emptyset \neq B \subset A$  such that  $A = B \cup Imf$  is a disjoint union and  $f|_{Imf \lambda(f)}$  is a permutation. Since  $\lambda(f) = 1$  and  $Imf = A - B$ , we have  $f|_{A \setminus B}$  is a permutation.

(2)  $\Rightarrow$  (3) Assume that there is a  $\emptyset \neq B \subset A$  such that  $B \cap Imf = \emptyset$  and  $f|_{A \setminus B}$  is a permutation. Then, clearly,  $Imf \subset A$ . Now, we will show that  $A \setminus B = Imf$ . Clearly,  $Imf \subseteq A \setminus B$ . Let  $x \in A \setminus B$ . Since  $f|_{A \setminus B}$  is a permutation,  $x \in Imf|_{A \setminus B}$ , and so,  $x \in Imf$ , that is  $A \setminus B \subseteq Imf$ . So,  $A \setminus B = Imf$ . Hence,  $f|_{Imf}$  is a permutation.

(3)  $\Rightarrow$  (4) Assume that  $Imf \subset A$  and  $f|_{Imf}$  is a permutation. Let  $B \in A/\ker f$ . Then for each  $b \in B$ , there is a  $c \in A$  such that  $f(b) = c$ , that is  $f(B) = \{c\}$ . Since  $f|_{Imf}$  is a permutation,  $B \cap Imf$  is singleton.

(4)  $\Rightarrow$  (1) From (4), we have  $f|_{Imf}$  is a permutation; and together with  $Imf \subset A$ , we have  $\lambda(f) = 1$ . □

Note that: the congruence lattice of any two-element algebra  $(A; f)$  is the two-element chain  $\{\Delta_A, \nabla_A\}$ . We will consider the case that the cardinality of  $A$  is more than two.

The following proposition provides some necessary conditions of a near-symmetric algebra which is congruence-distributive and congruence-modular.

**Proposition 5.2.** *Let  $(A; f)$  be a near-symmetric algebra with  $|A| = n \geq 3$ .*

1. *If  $(A; f)$  is congruence-modular, then  $A/\ker f$  contains only one block whose cardinality more than one.*
2. *If  $(A; f)$  is congruence-distributive, then  $|Imf| = n - 1$ .*

*Proof.* (1) Assume that  $(A; f)$  is congruence-modular. Since  $\lambda(f) = 1$ , we have  $A/\ker f = \{B_1, B_2, \dots, B_t\}$  for some integers  $t \geq 1$ . By Proposition 5.1, we may assume that there are  $1 \leq s \leq t$  such that  $B_1, B_2, \dots, B_s$  are the blocks which have more than one-element and  $B_i \cap Imf$  is a one-element set for all  $1 \leq i \leq t$  and  $f|_{Imf}$  is a permutation. Let  $f|_{Imf} := \alpha_1 \alpha_2 \dots \alpha_r$  for some  $r \geq 2$  where  $\alpha_1, \alpha_2, \dots, \alpha_r$  are disjoint cycles.

Suppose that  $s \geq 2$ . Then  $|B_1| > 1$  and  $|B_2| > 1$ . Let  $f(B_1) = \{b_1\}$  and  $f(B_2) = \{b_2\}$ . Then  $b_1 \neq b_2$ . Since  $B_i \cap Imf$  is singleton for all  $1 \leq i \leq t$  and  $|B_1| > 1$  and  $|B_2| > 1$ , there are  $u \in B_1$  and  $v \in B_2$  such that  $u, v \notin Imf$  and there are  $a_1 \neq a_2$  such that  $a_i \in B_i \cap Imf$  for all  $i \in \{1, 2\}$ . So,  $a_i$  and  $b_i$  are in the same cycle  $\alpha_j$  for each  $i \in \{1, 2\}$  and for some  $1 \leq j \leq r$ .

Let  $C$  be the union of cycles containing  $b_1$  and  $b_2$  and define  $\theta_1 := \Delta_A \cup \{(x, y) | x, y \in C\}$  and  $\theta_2 := \theta_1 \cup \{(u, v), (v, u)\}$ . Then  $\theta_1$  and  $\theta_2$  are invariant under  $f$  and  $\Delta_A \subset \theta_1 \subset \theta_2$ . Since  $(u, v) \notin \ker f$  and  $B_i \cap Imf$  is a one-element set for each  $i \in \{1, 2\}$ , we have  $\theta_1 \cap \ker f = \Delta_A = \theta_2 \cap \ker f$ . Since  $u \ker f a_1 \theta_1 b_1 \theta_1 b_2 \theta_1 a_2 \ker f v$ , we have  $(u, v) \in \theta_1 \vee \ker f$ . So,  $\theta_1 \vee \ker f = \theta_2 \vee \ker f$ . Therefore,  $\{\Delta_A, \theta_1, \theta_2, \ker f, \theta_1 \vee \ker f\}$  is a sublattice of the congruence lattice of  $(A; f)$  which is isomorphic to  $N_5$ ; a contradiction. So,  $s = 1$ .

(2) Assume that  $(A; f)$  is congruence-distributive. Then, it is congruence-modular. By part (1),  $A/\ker f$  contain exactly one block  $B$  such that  $|B| \geq 2$ . Now, we want to show that  $|B| = 2$ . Suppose that  $|B| > 2$ . Then there are  $x \neq y$  such that  $x, y \in B$  and there exists only one  $z \in B \cap Imf$ .

Now, we define

$$\theta_1 = \Delta_A \cup \{(x, y), (y, x)\},$$

$\theta_2 = \Delta_A \cup \{(y, z), (z, y)\}$ ,  
and  $\theta_3 = \Delta_A \cup \{(x, z), (z, x)\}$ .

Since  $x, y, z$  are in the same block  $B$  of  $A/\ker f$ , all  $\theta_1, \theta_2$  and  $\theta_3$  are invariant under  $f$ . It is clear from the definitions of  $\theta_1, \theta_2$  and  $\theta_3$  that  $\theta_1 \cap \theta_2 = \theta_1 \cap \theta_3 = \theta_2 \cap \theta_3 = \Delta_A$ . Now,  $\theta_1 \vee \theta_2, \theta_1 \vee \theta_3$  and  $\theta_2 \vee \theta_3$  are the least equivalence relations on  $A$  containing  $\{(x, y), (y, x), (y, z), (z, y), (z, x), (x, z)\}$ ; they are the same relations. Hence,  $\{\Delta_A, \theta_1, \theta_2, \theta_3, \theta_1 \vee \theta_3\}$  is a sublattice of the congruence lattice of  $(A; f)$  which is isomorphic to  $M_3$ . Therefore, the congruence lattice of  $(A; f)$  is not distributive, a contradiction. So,  $|B| = 2$ . Hence,  $|Imf| = n - 1$ .  $\square$

The next proposition shows the forms of the congruence lattice of a near-symmetric algebra  $(A; f)$  with  $|Imf| = n - 1$ .

**Proposition 5.3.** *Let  $(A; f)$  be a near-symmetric algebra with  $|A| = n \geq 4$  and  $|Imf| = n - 1$ . Then  $Con(A; f)$  is isomorphic to  $\underline{2} \times Con(Imf; f)$ .*

*Proof.* Let  $B$  be the only one block of  $A/\ker f$  with  $|B| = 2$ .

Let  $u \in B - Imf$  and  $b \in B \cap Imf$ . Then  $f(u) = f(b)$ .

Assume that  $\theta \in Con(Imf; f)$ . It is clear that  $\theta \cup \{(u, u)\} \in Con(A; f)$ . Now, we define  $\bar{\theta} := \theta \cup \{(x, y) | x, y \in [b]_\theta \cup \{u\}\}$  and let  $(x, y) \in \bar{\theta}$  with  $x \neq y$ . If  $(x, y) \in \theta$ , then  $(f(x), f(y)) \in \theta \subseteq \bar{\theta}$ . We may assume that  $(x, y) = (u, c)$  for some  $c \in [b]_\theta$ .

Case 1:  $f(b) = b$ . Then  $(f(x), f(y)) = (f(u), f(c)) = (f(b), f(c)) = (b, f(c))$ ; so,  $b, f(c) \in [b]_\theta$  which implies that  $(b, f(c)) \in \bar{\theta}$ .

Case 2:  $f(b) \neq b$ . Then  $b, c \in [b]_\theta$  implies that  $(b, c) \in \theta$ ; so,  $(f(b), f(c)) \in \theta$ . Since  $(f(u), f(c)) = (f(b), f(c))$ , we have  $(f(x), f(y)) = (f(u), f(c)) = (f(b), f(c)) \in \theta \subseteq \bar{\theta}$ .

In either cases,  $\bar{\theta}$  is invariant under  $f$ .

We define  $g : \underline{2} \times Con(Imf; f) \longrightarrow Con(A; f)$  for each  $\theta \in Con(Imf; f)$  by  $g((0, \theta)) = \theta \cup \{(u, u)\}$  and  $g((1, \theta)) = \bar{\theta} \cup \{(x, y) | x, y \in [b]_\theta \cup \{u\}\}$ . Then, clearly,  $g$  is an order-embedding. Now, let  $\bar{\theta} \in Con(A; f)$ . If  $[u]_{\bar{\theta}}$  is singleton, then  $\theta = \bar{\theta} - \{(u, u)\} \in Con(Imf; f)$  with  $g((0, \theta)) = \bar{\theta}$ . But, if  $[u]_{\bar{\theta}}$  is not singleton, then  $f(u)$  and  $b$  are in the block  $[u]_{\bar{\theta}}$  since  $f(u) = f(b)$ . Let  $B := [u]_{\bar{\theta}} - \{u\}$ . Then  $B \neq \emptyset$  and  $\mathcal{P} := (A/\bar{\theta} - \{[u]_{\bar{\theta}}\}) \cup \{B\}$  is a partition of  $Imf$ . Let  $\theta$  be the corresponding equivalence relation on  $Imf$  to  $\mathcal{P}$ . Then, clearly,  $\theta$  is invariant under  $f$  and  $g((1, \theta)) = \bar{\theta}$ . Therefore,  $g$  is an order-isomorphism.  $\square$

The following corollary is a consequent of Proposition 5.3.

**Corollary 5.4.** *Let  $(A; f)$  be a near-symmetric algebra with  $|A| = n \geq 4$  and  $|Imf| = n - 1$ . Then  $Con(Imf; f)$  can be embedded as a sublattice of  $Con(A; f)$ .*

**Lemma 5.5.** *Let  $(A; f)$  be a near-symmetric algebra with  $|A| = n \geq 4$  and  $|Imf| = n - 1$ . If  $f|_{Imf}$  is an identity function, the congruence lattice of  $(A; f)$  is not distributive.*

*Proof.* Since  $|Imf| = n - 1$ , we have  $|Imf| \geq 3$ . Remark 4.2 implies that the congruence lattice of  $(Imf; f)$  is not distributive. So, by Corollary 5.4, the congruence lattice of  $(A; f)$  is not distributive.  $\square$

The following theorem shows some characterizations of a congruence-distributive near-symmetric algebra.

**Theorem 5.6.** *Let  $(A; f)$  be a near-symmetric algebra with  $|A| = n \geq 4$ . Then the followings are equivalent:*

1.  $(A; f)$  is congruence-distributive,
2.  $|Imf| = n - 1$  and  $(Imf; f)$  is congruence-distributive,
3.  $|Imf| = n - 1$  and  $f|_{Imf}$  is one of (i) or (ii) of Proposition 4.1,
4. the congruence lattice of  $(A; f)$  is one of the followings:

$$\underline{2} \times P \quad \text{or} \quad \underline{2} \times (P \oplus \underline{1})$$

where  $P$  is a product of chains.

*Proof.* (1)  $\Rightarrow$  (2) Assume that  $(A; f)$  is congruence-distributive. Then, by part (2) of Proposition 5.2,  $|Imf| = n - 1$ . Hence, Proposition 2.50 and Corollary 5.4 imply that  $(Imf; f)$  is congruence-distributive.

(2)  $\Rightarrow$  (3) Assume that  $|Imf| = n - 1$  and  $(Imf; f)$  is congruence-distributive. By Lemma 5.5,  $f|_{Imf}$  is not an identity function; so, Proposition 4.1 implies the conclusion.

(3)  $\Rightarrow$  (4) Assume that  $|Imf| = n - 1$  and  $f|_{Imf}$  is one of conditions (i) or (ii) of Proposition 4.1. If  $f|_{Imf}$  is a cycle having no fixed point, Proposition 4.5 and Proposition 5.3 imply that  $Con(A; f)$  is of the form  $\underline{2} \times P$  where  $P$  is a product of chains. If  $f|_{Imf}$  is a cycle with one fixed point or  $f|_{Imf}$  satisfies (ii) of Proposition 4.1 then Proposition 4.5 and Proposition 5.3 imply that  $Con(A; f)$  is of the form  $\underline{2} \times (P \oplus \underline{1})$  where  $P$  is a product of chains.

(4)  $\Rightarrow$  (1) Lemma 2.48 and Proposition 2.50 imply that the lattice of the forms  $\underline{2} \times P$  and  $\underline{2} \times (P \oplus \underline{1})$  where  $P$  is a product of chains are distributive.  $\square$

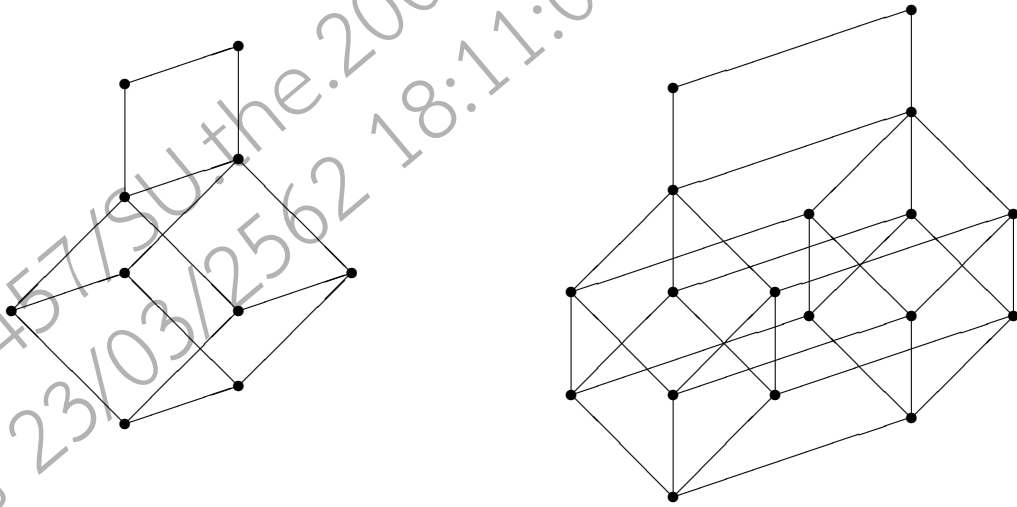


Figure 11. The congruence lattices of some congruence-distributive near-symmetric algebras.

In the following proposition, we prove a necessary condition of a congruence-modular near-symmetric algebra.

**Proposition 5.7.** *Let  $(A; f)$  be a near-symmetric algebra with  $|A| = n \geq 4$ . If  $(A; f)$  is congruence-modular, then  $|Imf| = n - 1$  or  $|Imf| = n - 2$ .*

*Proof.* Assume that  $(A; f)$  is congruence-modular and  $|Imf| \leq n - 3$ . By Proposition 5.2(1), there are  $a, b, c, d \in A$  such that  $f(a) = f(b) = f(c) = f(d)$ . Then for each  $i \in \{1, 2, 3\}$ , we define  $\theta_i \subseteq A \times A$  as the follows:

$$\theta_1 = \Delta_A \cup \{(a, b), (b, a)\},$$

$$\theta_2 = \theta_1 \cup \{(c, d), (d, c)\},$$

$$\text{and } \theta_3 = \Delta_A \cup \{(a, c), (c, a), (b, d), (d, b)\}.$$

Since  $f(a) = f(b) = f(c) = f(d)$ , we have that  $\theta_i$  is invariant under  $f$  for each  $i \in \{1, 2, 3\}$ . Then  $\theta_1 \subseteq \theta_2$ ,  $\theta_1 \cap \theta_3 = \Delta_A = \theta_2 \cap \theta_3$  and  $\theta_1 \vee \theta_3 = \Delta_A \cup \{(x, y) | x, y \in \{a, b, c, d\}\} = \theta_2 \vee \theta_3$ . So,  $\{\theta_1, \theta_2, \theta_3, \Delta_A, \theta_1 \vee \theta_3\}$  is a sublattice of the congruence lattice of  $(A; f)$  which is isomorphic to  $N_5$ . Hence,  $M_3 - N_5$  Theorem implies that the congruence lattice of  $(A; f)$  is not modular, a contradiction. So,  $|Imf| \geq n - 2$ . But,  $|Imf| \leq |A| - 1 = n - 1$  implies that  $|Imf| = n - 1$  or  $|Imf| = n - 2$ .  $\square$

The next proposition shows the forms of the congruence lattice of a congruence-modular algebra.

**Proposition 5.8.** *Let  $(A; f)$  be a congruence-modular near-symmetric algebra with  $|A| = n \geq 4$ .*

- (i) *If  $|Imf| = n - 1$ , then  $Con(A; f)$  is isomorphic to  $\underline{2} \times Con(Imf; f)$ ,*
- (ii) *If  $|Imf| = n - 2$ , then  $Con(A; f)$  is isomorphic to  $M_3 \times Con(Imf; f)$ .*

*Proof.* (i) follows from Proposition 5.3.

(ii) Suppose that  $|Imf| = n - 2$ . Since  $Con(A; f)$  is modular, Proposition 5.2 implies that  $A/\ker f$  contains only one block whose cardinality is more than one.

Let  $B$  be the only one block of  $A/\ker f$  whose cardinality is more than one; that is,  $|B| \geq 2$ . If  $|B| = 2$ , then  $|Imf| = n - 1$ , a contradiction. Therefore,  $|B| \geq 3$ . We will show that  $|B| \leq 3$ . Suppose that  $|B| \geq 4$ . Then there are distinct elements  $x, y, u, v \in A$  such that  $f(x) = f(y) = f(u) = f(v)$ . We define

$$\theta_1 = \Delta_A \cup \{(x, y), (y, x)\},$$

$$\theta_2 = \theta_1 \cup \{(u, v), (v, u)\},$$

$$\text{and } \theta_3 = \Delta_A \cup \{(x, u), (u, x), (y, v), (v, y)\}.$$

It is clearly,  $\theta_i$  is invariant under  $f$  for each  $i \in \{1, 2, 3\}$ . Then  $\theta_1 \subset \theta_2, \theta_1 \cap \theta_3 = \Delta_A = \theta_2 \cap \theta_3$  and  $\theta_1 \vee \theta_3 = \Delta_A \cup \{(a, b) | a, b \in \{x, y, u, v\}\} = \theta_2 \vee \theta_3$ . So,  $\{\theta_1, \theta_2, \theta_3, \Delta_A, \theta_1 \vee \theta_3\}$  is a sublattice of  $Con(A; f)$  which is isomorphic to  $N_5$ . By  $M_3 - N_5$  Theorem,  $Con(A; f)$  is not modular, a contradiction. Thus  $|B| \leq 3$ . Hence,  $|B| = 3$ .

Let  $B = \{a, b, c\}$ . Then  $f(a) = f(b) = f(c)$ . By Proposition 5.1(4), we have  $|B \cap Imf| = 1$ . Without loss of generality, we may assume that  $c \in B \cap Imf$ . Let define  $\phi_1, \phi_2, \phi_3 \subseteq B \times B$  by:

$$\phi_1 = \Delta_B \cup \{(a, b), (b, a)\},$$

$$\phi_2 = \Delta_B \cup \{(a, c), (c, a)\},$$

$$\text{and } \phi_3 = \Delta_B \cup \{(b, c), (c, b)\}.$$

Then, clearly,  $\phi_1, \phi_2$  and  $\phi_3$  are congruence relations on  $B$  such that  $\phi_1 \cap \phi_2 = \Delta_B = \phi_2 \cap \phi_3 = \phi_1 \cap \phi_3$  and  $\phi_1 \vee \phi_2 = \Delta_B \cup \{(x, y) | x, y \in B\} = \phi_2 \vee \phi_3 = \phi_1 \vee \phi_3$ . So,  $\{\phi_1, \phi_2, \phi_3, \Delta_B, \phi_1 \vee \phi_2\}$  form  $M_3$ .

Let denote  $\{\phi_1, \phi_2, \phi_3, \Delta_B, \phi_1 \vee \phi_2\}$  by  $\bar{M}_3$ .

We are going to prove that  $Con(A; f)$  is isomorphic to  $\bar{M}_3 \times Con(Imf; f)$ . Let  $\phi \in Con(B; f|_B)$  and  $\theta \in Con(Imf; f|_{Imf})$ .

Define  $\bar{\phi} = \phi \cup \{(x, x) | x \in Imf\}$  and  $\bar{\theta} = \theta \cup \{(x, x) | x \in B\}$ . Then  $\bar{\phi}$  and  $\bar{\theta}$  are in  $Con(A; f)$ .

Now, we define

$$\alpha : \bar{M}_3 \times Con(Imf; f) \longrightarrow Con(A; f) \text{ by } \alpha(\phi, \theta) = \bar{\phi} \vee \bar{\theta} \text{ for all } (\phi, \theta) \in \bar{M}_3 \times Con(Imf; f).$$

and define

$$\beta : Con(A; f) \longrightarrow \bar{M}_3 \times Con(Imf; f) \text{ by } \beta(\theta) = (\theta|_B, \theta|_{Imf}) \text{ for all } \theta \in Con(A; f).$$

We claim that  $\alpha \circ \beta = id_{Con(A; f)}$  and  $\beta \circ \alpha = id_{\bar{M}_3 \times Con(Imf; f)}$ .

Let  $\theta \in Con(A; f)$ . Then  $\alpha(\beta(\theta)) = \alpha((\theta|_B, \theta|_{Imf})) = \bar{\theta}|_B \vee \bar{\theta}|_{Imf}$ .

Now, we want to show that  $\theta = \bar{\theta}|_B \vee \bar{\theta}|_{Imf}$ .

Since  $\bar{\theta}|_B \subseteq \theta$  and  $\bar{\theta}|_{Imf} \subseteq \theta$ , we have  $\bar{\theta}|_B \cup \bar{\theta}|_{Imf} \subseteq \theta$ . Thus  $\bar{\theta}|_B \vee \bar{\theta}|_{Imf} \subseteq \theta$ .

On the other hand, let  $(x, y) \in \theta$ . Then  $x, y \in A = B \cup Imf$ . If  $x, y \in B$ , then  $(x, y) \in \bar{\theta}|_B \subseteq \bar{\theta}|_B \cup \bar{\theta}|_{Imf}$  and if  $x, y \in Imf$ , then  $(x, y) \in \bar{\theta}|_{Imf} \subseteq \bar{\theta}|_B \cup \bar{\theta}|_{Imf}$ . Without loss of generality, we may assume that  $x \in B$  and  $y \in Imf$ . If  $x = y$ , then  $x = c$  and  $y = c$ . Thus  $(x, y) \in \bar{\theta}|_B \cup \bar{\theta}|_{Imf}$ . So, we assume that  $x \neq y$ . Then we consider the following cases:



Case1:  $x = c$  and  $y \neq c$ , then  $(x, y) \in \bar{\theta}|_{Imf} \subseteq \bar{\theta}|_B \cup \bar{\theta}|_{Imf}$ .

Case2:  $x \neq c$  and  $y = c$ , then  $(x, y) \in \bar{\theta}|_B \subseteq \bar{\theta}|_B \cup \bar{\theta}|_{Imf}$ .

Case3:  $x \neq c$  and  $y \neq c$ , then  $x \in \{a, b\}$  and  $y \in Imf \setminus \{c\}$ .

Since  $(x, y) \in \theta$ , we have  $(x, c) \in \theta|_B$  and  $(x, c) \in \theta|_{Imf}$ , that is  $(x, c), (c, y) \in \theta|_B \cup \theta|_{Imf}$ . Thus  $(x, y) \in \bar{\theta}|_B \vee \bar{\theta}|_{Imf}$ .

In either cases, we conclude that  $(x, y) \in \bar{\theta}|_B \cup \bar{\theta}|_{Imf} \subseteq \bar{\theta}|_B \vee \bar{\theta}|_{Imf}$ . Thus  $\theta \subseteq \bar{\theta}|_B \vee \bar{\theta}|_{Imf}$ . Hence,  $\theta = \bar{\theta}|_B \vee \bar{\theta}|_{Imf}$ , which implies that  $\alpha \circ \beta = id_{Con(A;f)}$ .

Next, we will show that  $\beta \circ \alpha = id_{\bar{M}_3 \times Con(Imf;f)}$ .

Let  $\phi \in \bar{M}_3$  and  $\theta \in Con(Imf; f)$ .

Then  $\beta(\alpha((\phi, \theta))) = \beta(\bar{\phi} \vee \bar{\theta}) = ((\bar{\phi} \vee \bar{\theta})|_B, (\bar{\phi} \vee \bar{\theta})|_{Imf})$ .

Now, we want to show that  $\phi = (\bar{\phi} \vee \bar{\theta})|_B$  and  $\theta = (\bar{\phi} \vee \bar{\theta})|_{Imf}$ .

Since  $\phi \in \bar{M}_3$  and  $\theta \in Con(Imf; f)$ , we have  $\phi \subseteq (\phi \cup \theta)|_B \subseteq (\bar{\phi} \cup \bar{\theta})|_B \subseteq (\bar{\phi} \vee \bar{\theta})|_B$  and  $\theta \subseteq (\phi \cup \theta)|_{Imf} \subseteq (\bar{\phi} \cup \bar{\theta})|_{Imf} \subseteq (\bar{\phi} \vee \bar{\theta})|_{Imf}$ . Thus  $\phi \subseteq (\bar{\phi} \vee \bar{\theta})|_B$  and  $\theta \subseteq (\bar{\phi} \vee \bar{\theta})|_{Imf}$ .

Let  $(x, y) \in (\bar{\phi} \vee \bar{\theta})|_B$ . Then  $x, y \in B$  and there are  $q_0 = x, q_1, q_2, \dots, q_n = y \in A$  such that  $(q_i, q_{i+1}) \in \bar{\phi} \cup \bar{\theta}$  for all  $0 \leq i \leq n - 1$ . But,  $x \in B$ . So, we consider only two cases:  $x \in \{a, b\}$  or  $x = c$ .

Case 1:  $x \in \{a, b\}$ .

Since  $\{q_0 = x, q_1, q_2, \dots, q_n = y\}$  is finite, there is the greatest element,  $q_k$ , in  $\{q_0 = x, q_1, q_2, \dots, q_n = y\}$  such that  $(q_{j-1}, q_j) \in \phi$  for all  $1 \leq j \leq k$  but  $(q_k, q_{k+1}) \notin \phi$ , and so, the transitivity of  $\phi$  implies that  $(x, q_k) \in \phi$ . Since  $(q_{k-1}, q_k) \in \phi$  and  $(q_k, q_{k+1}) \notin \phi$ , we have  $q_k = c$  and  $q_{k+1} \in Imf \setminus \{c\}$ . So,  $(c, q_{k+1}) \in \theta$ . If  $q_{k+1} = y$ , then  $y = c$ , by the transitivity of  $\theta$ , we have  $(c, c) \in \theta$ , and so,  $(c, c) \in \phi$ . Thus  $(x, y) \in \phi$ . Now, assume that  $q_{k+1} \neq y$ . Then there is an integer  $l > k$  such that  $(q_{t-1}, q_t) \in \theta$  for all  $k \leq t \leq l$  but  $(q_l, q_{l+1}) \notin \theta$ . By transitivity of  $\theta$ , we have  $(q_k, q_l) \in \theta$  and so,  $q_l = c$  and  $q_{l+1} \in \{a, b\}$ . Thus  $(c, c) \in \theta$  and so,  $(c, c) \in \phi$ . Continuing in this process, we have  $(x, y) \in \phi$ .

Case 2:  $x = c$ .

If  $q_1 \in \{a, b\}$ , then  $(x, q_1) \in \phi$ , and by case 1, we have  $(q_1, y) \in \phi$ . So, the transitivity of  $\phi$  implies that  $(x, y) \in \phi$ . We assume that  $q_1 \in Imf \setminus \{c\}$ . Then  $(x, q_1) \in \theta$ . Since  $\{q_0 = x, q_1, q_2, \dots, q_n = y\}$  is finite, there is the greatest element,  $q_s$ , in  $\{q_0 = x, q_1, q_2, \dots, q_n = y\}$  such that  $(q_{r-1}, q_r) \in \theta$  for all  $1 \leq r \leq s$  and  $(q_s, q_{s+1}) \notin \theta$ . So, by transitivity of  $\theta$ , we have  $(x, q_s) \in \theta$ , and so,  $q_s = c$  and  $q_{s+1} \in \{a, b\}$ . Since  $x = c$  and  $q_s = c$ , we have  $(x, q_s) \in \phi$ . Since  $q_{s+1} \in \{a, b\}$  and by case 1, we have  $(q_{s+1}, y) \in \phi$ . By the transitivity of  $\phi$ , we have  $(x, y) \in \phi$ .

Hence,  $(\bar{\phi} \vee \bar{\theta})|_B \subseteq \phi$

Similarly,  $(\bar{\phi} \vee \bar{\theta})|_{Imf} \subseteq \theta$ . Thus  $(\bar{\phi} \vee \bar{\theta})|_B = \phi$  and  $(\bar{\phi} \vee \bar{\theta})|_{Imf} = \theta$ .

Hence  $\alpha$  and  $\beta$  are bijections.

It remain to show that  $\alpha$  is a homomorphism.

Let  $(\varphi_i, \psi_i) \in \bar{M}_3 \times Con(Imf; f)$  for each  $i \in \{1, 2\}$ . Then  $\alpha((\varphi_1, \psi_1) \vee (\varphi_2, \psi_2)) = \alpha(\varphi_1 \vee \varphi_2, \psi_1 \vee \psi_2) = \overline{\varphi_1 \vee \varphi_2 \vee \psi_1 \vee \psi_2} = (\overline{\varphi_1 \vee \varphi_2}) \vee (\overline{\psi_1 \vee \psi_2}) = (\overline{\varphi_1} \vee \overline{\varphi_2}) \vee (\overline{\psi_1} \vee \overline{\psi_2}) = \alpha(\varphi_1, \psi_1) \vee \alpha(\varphi_2, \psi_2)$  and  $\alpha((\varphi_1, \psi_1) \wedge (\varphi_2, \psi_2)) = \alpha(\varphi_1 \wedge \varphi_2, \psi_1 \wedge \psi_2) = \overline{\varphi_1 \wedge \varphi_2} \vee \overline{\psi_1 \wedge \psi_2}$

$$\overline{\psi_1 \wedge \psi_2} = (\bar{\varphi}_1 \wedge \bar{\varphi}_2) \vee (\bar{\psi}_1 \wedge \bar{\psi}_2) = (\bar{\varphi}_1 \wedge \bar{\psi}_1) \wedge (\bar{\varphi}_2 \wedge \bar{\psi}_2) = \alpha(\varphi_1, \psi_1) \wedge \alpha(\varphi_2, \psi_2). \quad \square$$

**Lemma 5.9.** *Let  $(A; f)$  be a near-symmetric algebra with  $|A| = n \geq 6$  such that  $|Imf| = n - 1$  or  $|Imf| = n - 2$ . If  $f|_{Imf}$  is an identity function,  $Con(A; f)$  is not modular.*

*Proof.* Since  $|Imf| = n - 1$  or  $|Imf| = n - 2$ , we have  $|Imf| \geq 5$  or  $|Imf| \geq 4$ . Remark 4.2 implies that  $Con(Imf; f)$  is not modular. So, by Proposition 5.8,  $Con(A; f)$  is not modular. □

The next theorem shows characterizations of a congruence-modular near-symmetric algebra.

**Theorem 5.10.** *Let  $(A; f)$  be a near-symmetric algebra with  $|A| = n \geq 4$ . Then the followings are equivalent:*

1.  $(A; f)$  is congruence-modular,
2.  $(Imf; f)$  is congruence-modular and either  $|Imf| = n - 1$  or  $|Imf| = n - 2$ ,
3.  $f|_{Imf}$  is one of (i) or (ii) or (iii) of Proposition 4.7 and either  $|Imf| = n - 1$  or  $|Imf| = n - 2$ ,
4.  $Con(A; f)$  is one of the following lattices

$$\underline{2} \times P \quad \text{or} \quad \underline{2} \times (P \oplus \underline{1}) \quad \text{or} \quad \underline{2} \times L$$

or

$$M_3 \times P \quad \text{or} \quad M_3 \times (P \oplus \underline{1}) \quad \text{or} \quad M_3 \times L$$

where  $P$  is a product of chains and  $L$  is a  $M_3$ -head lattice.

*Proof.* (1)  $\Rightarrow$  (2) Assume that  $(A; f)$  is congruence-modular. Then, by Proposition 5.7,  $|Imf| = n - 1$  or  $|Imf| = n - 2$  which implies by Proposition 5.8 that  $Con(Imf; f)$  is modular.

(2)  $\Rightarrow$  (3) Assume that  $|Imf| = n - 1$  or  $|Imf| = n - 2$  and  $(Imf; f)$  is congruence-modular. Lemma 5.5 implies that  $f|_{Imf}$  is not an identity function; so,  $f|_{Imf}$  is one of (i) or (ii) or (iii) of Proposition 4.7.

(3)  $\Rightarrow$  (4) Assume that  $|Imf| = n - 1$  or  $|Imf| = n - 2$  and  $f|_{Imf}$  is one of (i) or (ii) or (iii) of Proposition 4.7. We consider the following cases:

Case1:  $|Imf| = n - 1$  and  $f|_{Imf}$  is one of (i) or (ii) or (iii) of Proposition 4.7.

If  $f|_{Imf}$  is a cycle having no fixed point, Proposition 4.5 and Proposition 5.8(i) imply that  $Con(A; f)$  is of the form  $\underline{2} \times P$  where  $P$  is a product of chains.

If  $f|_{Imf}$  is a cycle with one fixed point or  $\alpha$  satisfies (ii) of Proposition 4.1 then Proposition 4.5 and Proposition 5.8(i) imply that  $Con(A; f)$  is of the form

$\underline{2} \times (P \oplus \underline{1})$  where  $P$  is a product of chains.

If  $f|_{Imf}$  satisfies the condition of Proposition 4.8 then Proposition 5.8(i) implies that  $Con(A; f)$  is of the form  $\underline{2} \times L$  where  $L$  is a  $M_3$ -head lattice.

Case2:  $|Imf| = n - 2$  and  $f|_{Imf}$  is one of (i) or (ii) or (iii) of Proposition 4.7.

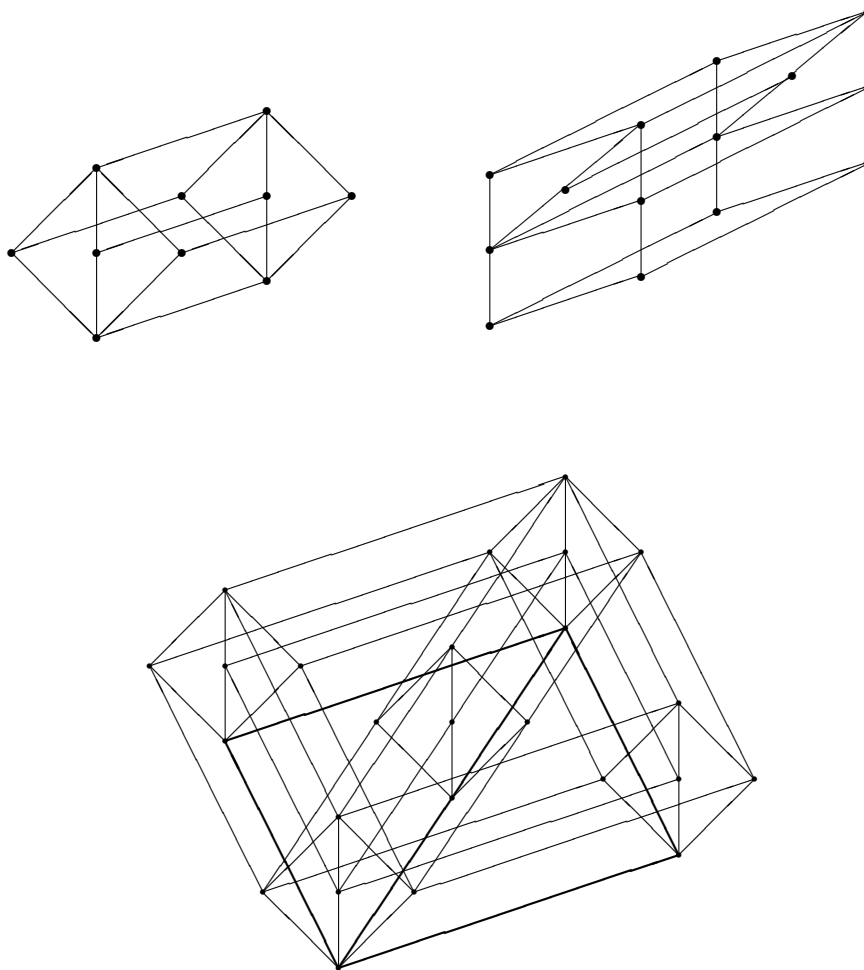
If  $f|_{Imf}$  is a cycle having no fixed point, then Proposition 4.5 and Proposition 5.8(ii) imply that  $Con(A; f)$  is of the form  $M_3 \times P$  where  $P$  is a product of chains.

If  $f|_{Imf}$  is a cycle with one fixed point or  $f$  satisfies (ii) of Proposition 4.1, Proposition 4.5 and Proposition 5.8(ii) imply that  $Con(A; f)$  is of the form  $M_3 \times (P \oplus \underline{1})$  where  $P$  is a product of chains.

If  $f|_{Imf}$  satisfies the condition of Proposition 4.8, then Proposition 5.8(ii) implies that  $Con(A; f)$  is of the form  $M_3 \times L$  where  $L$  is a  $M_3$ -head lattice.

(4)  $\Rightarrow$  (1) If the congruence lattice of a near-symmetric algebra  $(A; f)$  is one of the form in (4), then Lemma 2.48 and Proposition 2.50 imply that  $(A; f)$  is a congruence-modular.

□



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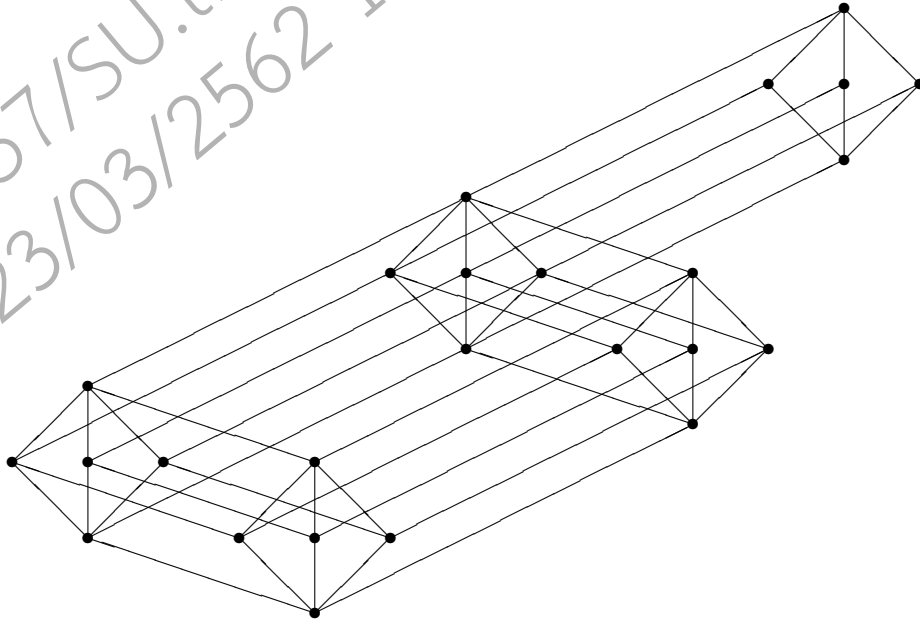


Figure 12. The congruence lattices of some congruence-modular near-symmetric algebras.

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## APPENDIX

## List of Symbols

$Con(A; f)$	set of all congruence relations on an algebra $(A; f)$
$P \oplus \underline{1}$	a linear sum of a product of chains with one-element chain $\underline{1}$
$\lambda(f)$	pre-period of a unary operation $f$
$\downarrow n$	a lattice of a non-negative integer $n$

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